

Parameterized Algorithms

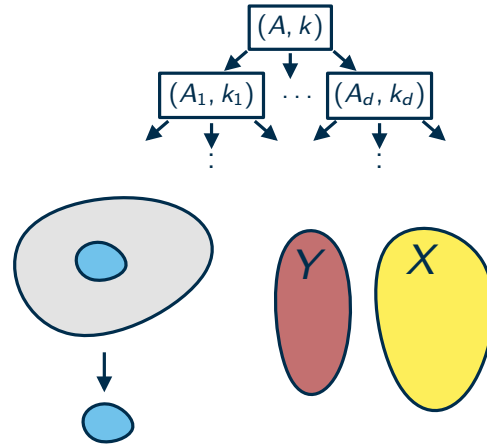
Parameterized Complexity and Databases

Thomas Bläsius

Content

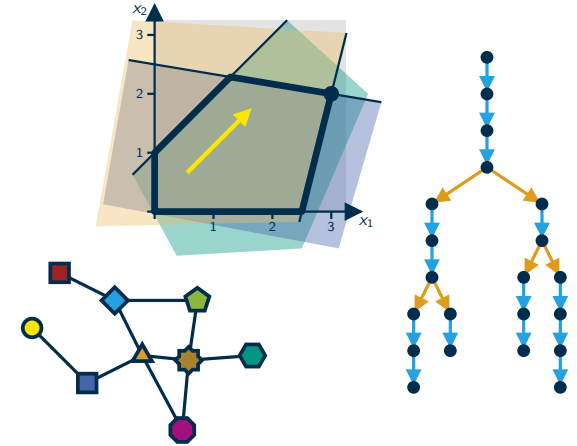
Basic toolbox

- bounded search trees
- kernelization
- iterative compression



Extended toolbox

- linear programs
- branch-and-reduce
- color coding



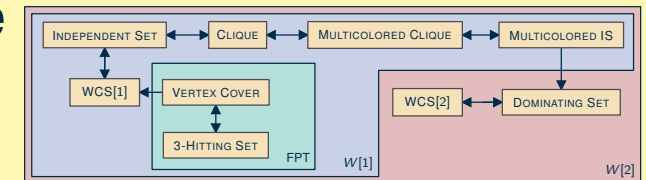
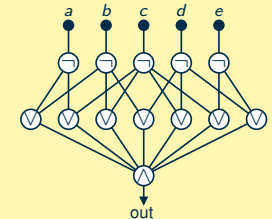
Tree width

- dynamic programming
- chordal and planar graphs
- Courcelle's theorem



Lower bounds

- kernel lower bounds
- parameterized reductions
- circuits and the W-hierarchy
- ETH and SETH



Reminder: Boolean Circuits

Definition

The largest number of nodes with in-degree > 2 on a directed path is called **weft** of the circuit.

Problem

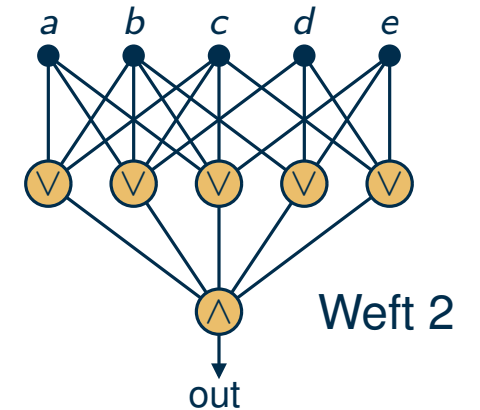
WCS $[t]$ restricts WCS to circuits of constant height and weft $\leq t$.

Definition

The class **W** $[t]$ is the set of problems that have a parameterized reduction to WCS $[t]$.

Remarks

- problem $\mathcal{L}$ is $W[t]$ -complete if
 - $\mathcal{L} \in W[t]$ (parameterized reduction from $\mathcal{L}$ to WCS $[T]$)
 - $\mathcal{L}$ is $W[t]$ -hard (parameterized reduction from WCS $[T]$ to $\mathcal{L}$)



Reminder: Boolean Circuits

Definition

The largest number of nodes with in-degree > 2 on a directed path is called **weft** of the circuit.

Problem

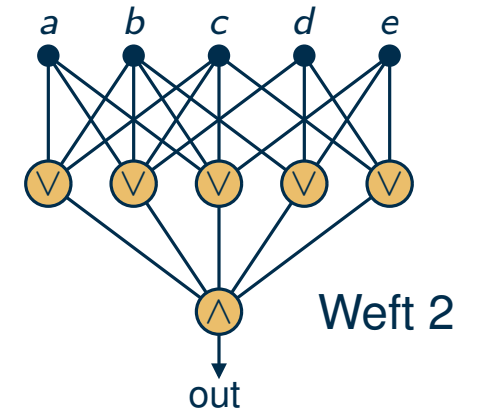
WCS $[t]$ restricts WCS to circuits of constant height and weft $\leq t$.

Definition

The class **W** $[t]$ is the set of problems that have a parameterized reduction to WCS $[t]$.

Remarks

- problem $\mathcal{L}$ is $W[t]$ -complete if
 - $\mathcal{L} \in W[t]$ (parameterized reduction from $\mathcal{L}$ to WCS $[T]$)
 - $\mathcal{L}$ is $W[t]$ -hard (parameterized reduction from WCS $[T]$ to $\mathcal{L}$)
- WCS $[t]$ is $W[t]$ -complete by definition



Reminder: Boolean Circuits

Definition

The largest number of nodes with in-degree > 2 on a directed path is called **weft** of the circuit.

Problem

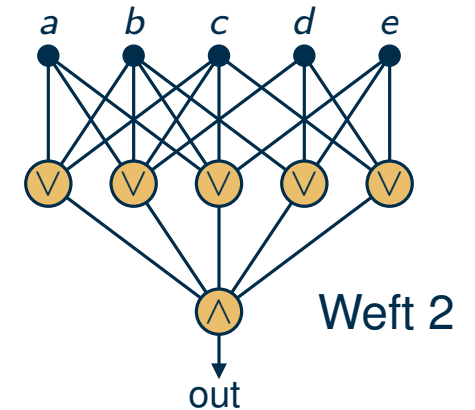
WCS $[t]$ restricts WCS to circuits of constant height and weft $\leq t$.

Definition

The class **W** $[t]$ is the set of problems that have a parameterized reduction to WCS $[t]$.

Remarks

- problem $\mathcal{L}$ is $W[t]$ -complete if
 - $\mathcal{L} \in W[t]$ (parameterized reduction from $\mathcal{L}$ to WCS $[T]$)
 - $\mathcal{L}$ is $W[t]$ -hard (parameterized reduction from WCS $[T]$ to $\mathcal{L}$)
- WCS $[t]$ is $W[t]$ -complete by definition
- we believe: $\text{FPT} \subset W[1] \subset W[2] \subset W[3] \subset \dots$



Simple and difficult reductions

How do we prove $W[t]$ -completeness?

- reduce to and from another complete problem

Simple and difficult reductions

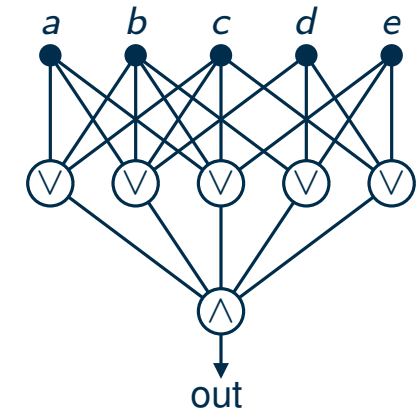
How do we prove $W[t]$ -completeness?

- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$

Simple and difficult reductions

How do we prove $W[t]$ -completeness?

- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$
- for $t > 2$: here we only have $WCS[t]$ (so far)



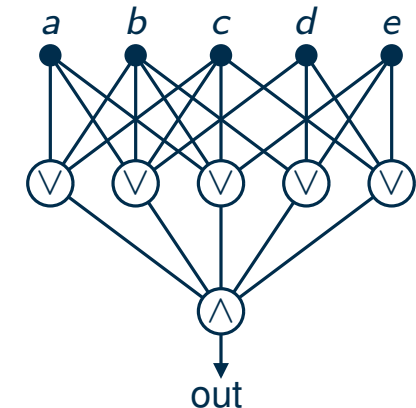
Simple and difficult reductions

How do we prove $W[t]$ -completeness?

- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$
- for $t > 2$: here we only have $WCS[t]$ (so far)

Reduction to $WCS[t]$ (containment in $W[t]$)

- WCS is a powerful modeling language $\rightarrow$ reduction usually rather simple



Simple and difficult reductions

How do we prove $W[t]$ -completeness?

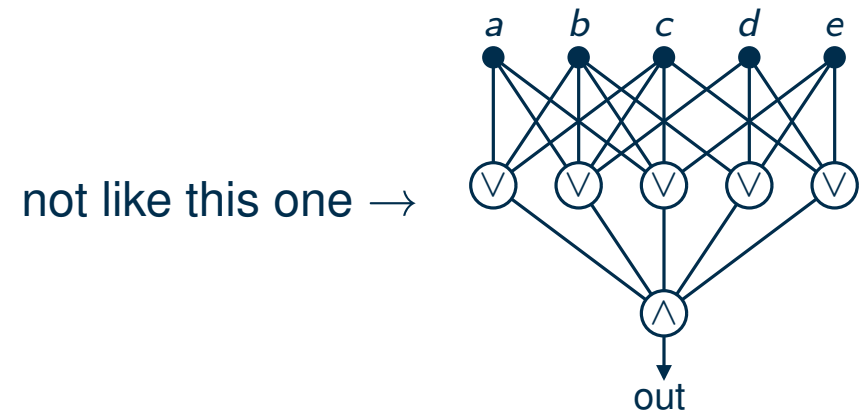
- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$
- for $t > 2$: here we only have $WCS[t]$ (so far)

Reduction to $WCS[t]$ (containment in $W[t]$)

- WCS is a powerful modeling language $\rightarrow$ reduction usually rather simple

Reduction from $WCS[t]$ ($W[t]$ -completeness)

- this is usually more difficult
- one reason: the circuit might look really wild



Simple and difficult reductions

How do we prove $W[t]$ -completeness?

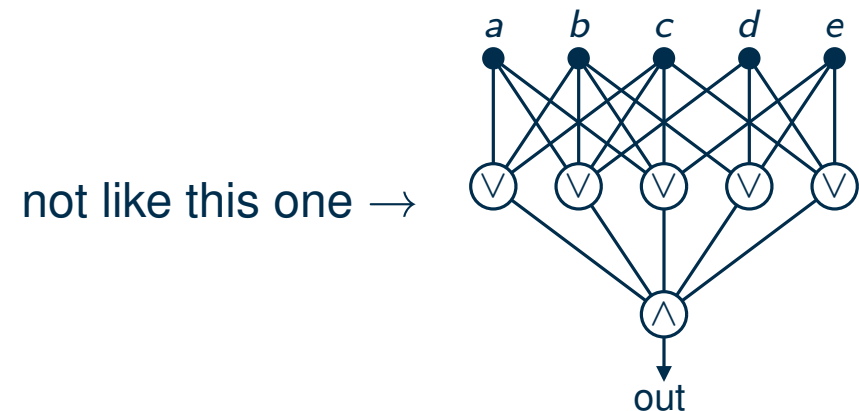
- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$
- for $t > 2$: here we only have $WCS[t]$ (so far)

Reduction to $WCS[t]$ (containment in $W[t]$)

- WCS is a powerful modeling language $\rightarrow$ reduction usually rather simple

Reduction from $WCS[t]$ ($W[t]$ -completeness)

- this is usually more difficult
- one reason: the circuit might look really wild
- idea: forbid too wild circuits $\rightarrow$ normalization



Simple and difficult reductions

How do we prove $W[t]$ -completeness?

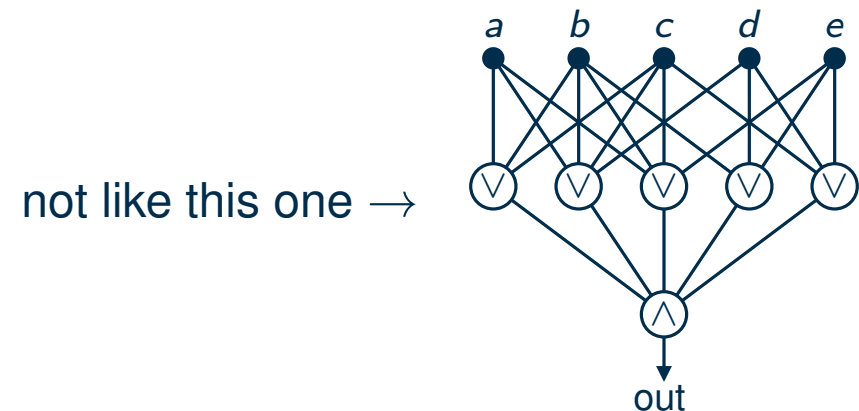
- reduce to and from another complete problem
- INDEPENDENT SET or CLIQUE for $W[1]$ and DOMINATING SET or HITTING SET for $W[2]$
- for $t > 2$: here we only have $WCS[t]$ (so far)

Reduction to $WCS[t]$ (containment in $W[t]$)

- WCS is a powerful modeling language $\rightarrow$ reduction usually rather simple

Reduction from $WCS[t]$ ($W[t]$ -completeness)

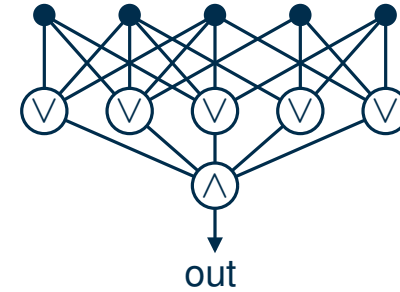
- this is usually more difficult
- one reason: the circuit might look really wild
- idea: forbid too wild circuits $\rightarrow$ normalization
- show $W[t]$ -hardness for normalized circuits



Normalized circuits

Properties of the circuit for DOMINATING SET

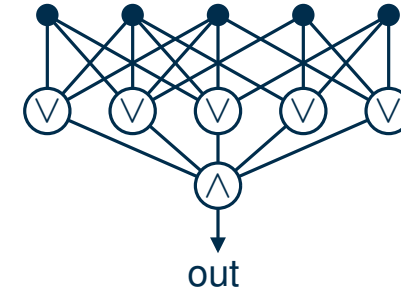
- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node



Normalized circuits

Properties of the circuit for DOMINATING SET

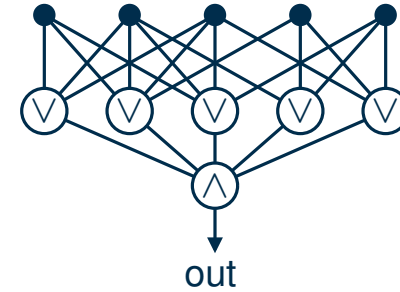
- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**



Normalized circuits

Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution



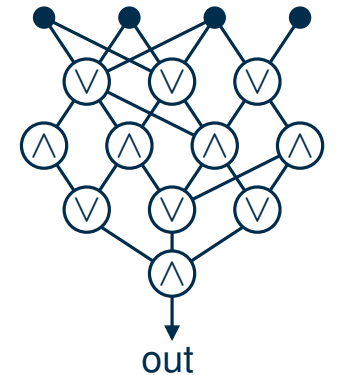
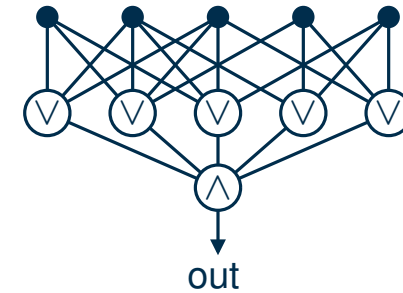
Normalized circuits

Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution

WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY

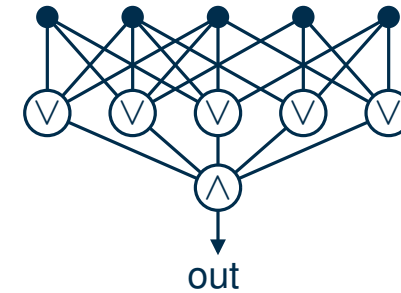
- t layers of alternating $\wedge$ and $\vee$, output is $\wedge$, no $\neg$ nodes



Normalized circuits

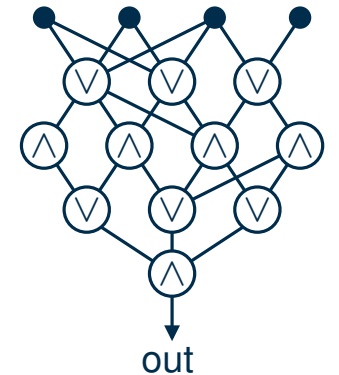
Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution



WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY

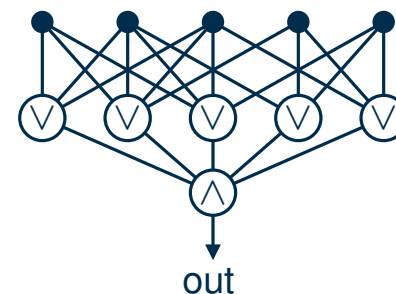
- t layers of alternating $\wedge$ and $\vee$, output is $\wedge$, no $\neg$ nodes
- thus: conjunction of disjunctions of conjunctions . . . of positive literals
 t times “of”



Normalized circuits

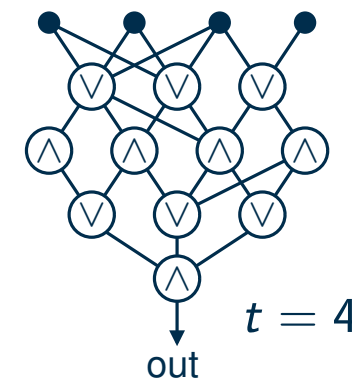
Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution



WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY

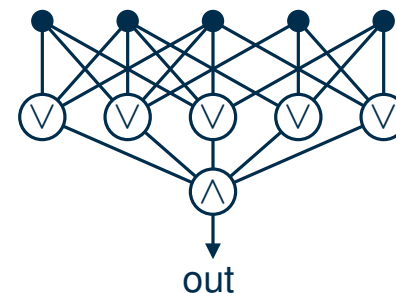
- t layers of alternating $\wedge$ and $\vee$, output is $\wedge$, no $\neg$ nodes
- thus: conjunction of disjunctions of conjunctions . . . of positive literals
 t times “of”



Normalized circuits

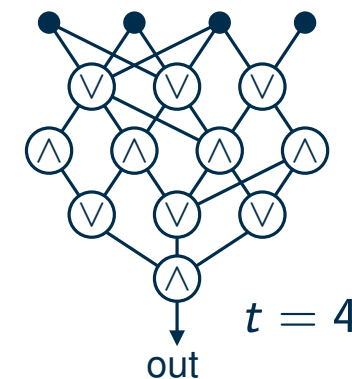
Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution



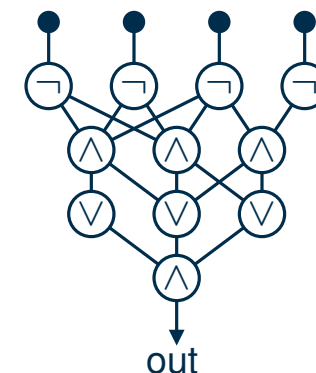
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY

- t layers of alternating $\wedge$ and $\vee$, output is $\wedge$, no $\neg$ nodes
- thus: conjunction of disjunctions of conjunctions ... of positive literals
 t times “of”



WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY

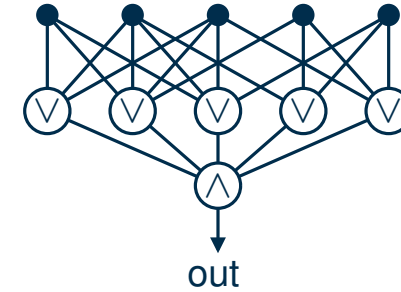
- same, but every input node must be negated
- thus: conjunction of disjunctions of conjunctions ... of negative literals
 t times “of”



Normalized circuits

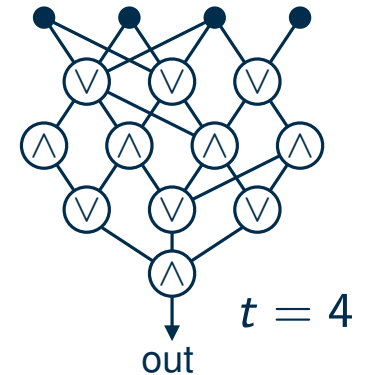
Properties of the circuit for DOMINATING SET

- on every path $\wedge$ and $\vee$ nodes alternate and output is $\wedge$ node
- no negations ($\neg$ node) $\Rightarrow$ circuit is **monoton**
- implication: superset of a solution is also a solution



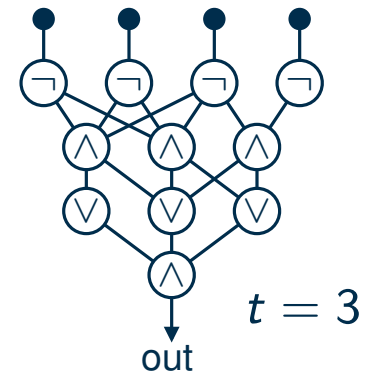
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY

- t layers of alternating $\wedge$ and $\vee$, output is $\wedge$, no $\neg$ nodes
- thus: conjunction of disjunctions of conjunctions ... of positive literals
 t times “of”



WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY

- same, but every input node must be negated
- thus: conjunction of disjunctions of conjunctions ... of negative literals
 t times “of”



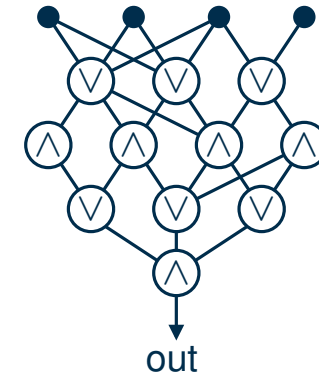
Completeness of normalized circuits

Theorem (without proof)

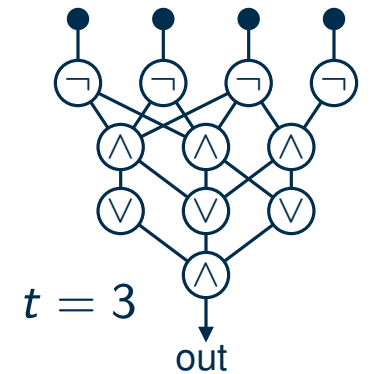
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every even t .

Theorem (without proof)

WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every odd $t \geq 3$.



$t = 4$



$t = 3$

Completeness of normalized circuits

Theorem (without proof)

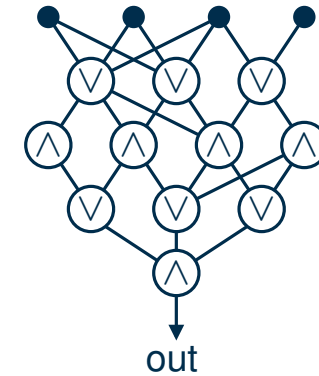
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every even t .

Theorem (without proof)

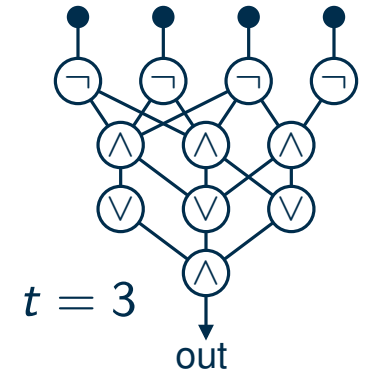
WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every odd $t \geq 3$.

Remarks

- WMNS[t] and WANS[t] are obviously in $W[t]$
- the difficult part is proving $W[t]$ -hardness



$t = 4$



$t = 3$

Completeness of normalized circuits

Theorem (without proof)

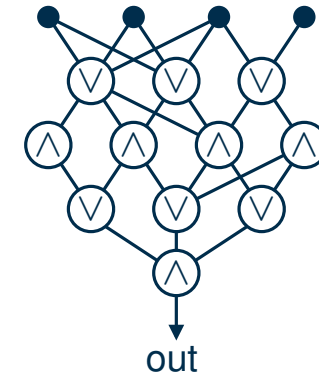
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every even t .

Theorem (without proof)

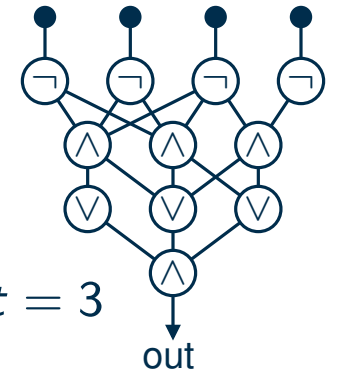
WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every odd $t \geq 3$.

Remarks

- WMNS[t] and WANS[t] are obviously in $W[t]$
- the difficult part is proving $W[t]$ -hardness
- using the theorems, we can now reduce from WMNS[t] or WANS[t] to prove $W[t]$ -hardness



$t = 4$



$t = 3$

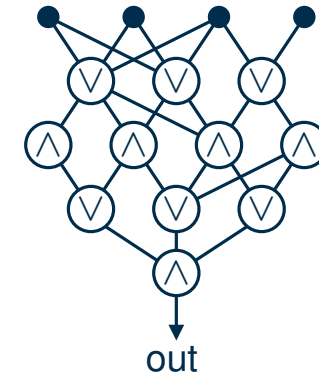
Completeness of normalized circuits

Theorem (without proof)

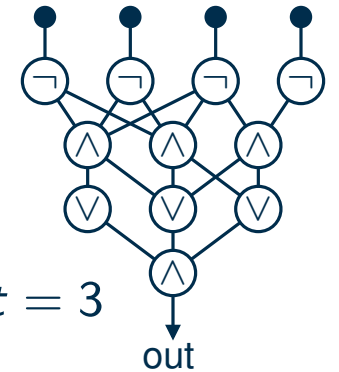
WEIGHTED MONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every even t .

Theorem (without proof)

WEIGHTED ANTIMONOTONE t -NORMALIZED SATISFIABILITY is $W[t]$ -complete for every odd $t \geq 3$.



$t = 4$



$t = 3$

Remarks

- WMNS[t] and WANS[t] are obviously in $W[t]$
- the difficult part is proving $W[t]$ -hardness
- using the theorems, we can now reduce from WMNS[t] or WANS[t] to prove $W[t]$ -hardness
- rule of thumb: choose even t for minimization and odd t for maximization problems

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

first name	name	birthday	place
John	Doe	1.6.	Karlsruhe
Bob	Doe	7.10.	Berlin
Bob	Builder	4.3.	Hamburg
Alice	Liddell	4.5.	Wonderland
Alice	Smith	7.10.	Berlin

Example

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

first name	name	birthday	place
John	Doe	1.6.	Karlsruhe
Bob	Doe	7.10.	Berlin
Bob	Builder	4.3.	Hamburg
Alice	Liddell	4.5.	Wonderland
Alice	Smith	7.10.	Berlin

Example

- no single column is unique

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

first name	name	birthday	place
John	Doe	1.6.	Karlsruhe
Bob	Doe	7.10.	Berlin
Bob	Builder	4.3.	Hamburg
Alice	Liddell	4.5.	Wonderland
Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

first name	name	birthday	place
John	Doe	1.6.	Karlsruhe
Bob	Doe	7.10.	Berlin
Bob	Builder	4.3.	Hamburg
Alice	Liddell	4.5.	Wonderland
Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Problem: UNIQUE

Given a database and a parameter k . Is there a unique column combination of size $\leq k$?

first name	name	birthday	place
John	Doe	1.6.	Karlsruhe
Bob	Doe	7.10.	Berlin
Bob	Builder	4.3.	Hamburg
Alice	Liddell	4.5.	Wonderland
Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Problem: UNIQUE

Given a database and a parameter k . Is there a unique column combination of size $\leq k$?

Slightly different perspective

- row pair (r_2, r_5) $\Rightarrow$ **first name** or **name** must be included

	first name	name	birthday	place
	John	Doe	1.6.	Karlsruhe
r_2	Bob	Doe	7.10.	Berlin
	Bob	Builder	4.3.	Hamburg
	Alice	Liddell	4.5.	Wonderland
r_5	Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Problem: UNIQUE

Given a database and a parameter k . Is there a unique column combination of size $\leq k$?

	first name	name	birthday	place
	John	Doe	1.6.	Karlsruhe
r_2	Bob	Doe	7.10.	Berlin
	Bob	Builder	4.3.	Hamburg
	Alice	Liddell	4.5.	Wonderland
r_5	Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Slightly different perspective

- row pair $(r_2, r_5) \Rightarrow$ **first name** or **name** must be included
- every row pair (r_i, r_j) yields column set $A_{i,j}$ from which at least one element must be selected

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Problem: UNIQUE

Given a database and a parameter k . Is there a unique column combination of size $\leq k$?

	first name	name	birthday	place
	John	Doe	1.6.	Karlsruhe
r_2	Bob	Doe	7.10.	Berlin
	Bob	Builder	4.3.	Hamburg
	Alice	Liddell	4.5.	Wonderland
r_5	Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Slightly different perspective

- row pair $(r_2, r_5) \Rightarrow$ **first name** or **name** must be included
- every row pair (r_i, r_j) yields column set $A_{i,j}$ from which at least one element must be selected

Do you know this problem?

Candidate keys in databases

Definition

A column set A is a **unique column combination** if every tuple is unique with respect to A .

Problem: UNIQUE

Given a database and a parameter k . Is there a unique column combination of size $\leq k$?

	first name	name	birthday	place
	John	Doe	1.6.	Karlsruhe
r_2	Bob	Doe	7.10.	Berlin
	Bob	Builder	4.3.	Hamburg
	Alice	Liddell	4.5.	Wonderland
r_5	Alice	Smith	7.10.	Berlin

Example

- no single column is unique
- {first name, name} is unique
- {birthday, place} is not

Slightly different perspective

- row pair $(r_2, r_5) \Rightarrow$ **first name** or **name** must be included
- every row pair (r_i, r_j) yields column set $A_{i,j}$ from which at least one element must be selected

Do you know this problem?

→ HITTING SET

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

A B C D E

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair

instance of HITTING SET
 $U = \{a, b, c, d, e\}$

$$S_1 = \{a, b, c\}$$

$$S_2 = \{a, d, e\}$$

$$S_3 = \{b, d, e\}$$

$$S_4 = \{b, c\}$$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair
- pair (r_0, r_i) guarantees that at least one element from S_i is selected
- thus: solution for UNIQUE $\Rightarrow$ solution for HITTING SET

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair
- pair (r_0, r_i) guarantees that at least one element from S_i is selected
- thus: solution for UNIQUE $\Rightarrow$ solution for HITTING SET
- **careful:** other pairs also yield requirements

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair
- pair (r_0, r_i) guarantees that at least one element from S_i is selected
- thus: solution for UNIQUE $\Rightarrow$ solution for HITTING SET
- careful:** other pairs also yield requirements
- but: (r_i, r_j) yields superset $S_i \cup S_j$
- r_i and r_0 different $\Rightarrow r_i$ and r_j different

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair
- pair (r_0, r_i) guarantees that at least one element from S_i is selected
- thus: solution for UNIQUE $\Rightarrow$ solution for HITTING SET
- **careful:** other pairs also yield requirements
- but: (r_i, r_j) yields superset $S_i \cup S_j$
- r_i and r_0 different $\Rightarrow r_i$ and r_j different
- thus: solution for HITTING SET $\Rightarrow$ solution for UNIQUE

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

UNIQUE is $W[2]$ -complete

Just seen

- param. reduction from UNIQUE to the $W[2]$ -complete problem HITTING SET $\Rightarrow$ UNIQUE $\in W[2]$

Now: Reduction from HITTING SET to UNIQUE

- use one column per element
- every S_i must be represented by a row pair
- use same 0-row s_0 for each pair
- pair (r_0, r_i) guarantees that at least one element from S_i is selected
- thus: solution for UNIQUE $\Rightarrow$ solution for HITTING SET
- **careful:** other pairs also yield requirements
- but: (r_i, r_j) yields superset $S_i \cup S_j$
- r_i and r_0 different $\Rightarrow r_i$ and r_j different
- thus: solution for HITTING SET $\Rightarrow$ solution for UNIQUE $\Rightarrow$ UNIQUE is $W[2]$ -hard

instance of HITTING SET

$U = \{a, b, c, d, e\}$

$S_1 = \{a, b, c\}$

$S_2 = \{a, d, e\}$

$S_3 = \{b, d, e\}$

$S_4 = \{b, c\}$

instance of UNIQUE

	A	B	C	D	E
r_0	0	0	0	0	0
r_1	1	1	1	0	0
r_2	2	0	0	2	2
r_3	0	3	0	3	3
r_4	0	4	4	0	0

Functional dependencies

Observation

- {name} is not a unique column combination
- the name alone does not uniquely identify the row
- but: the name identifies the place

first name	name	birthday	place
John	Doe	7.10.	Karlsruhe
Bob	Doe	1.6.	Karlsruhe
Bob	Builder	7.10.	Karlsruhe
Alice	Liddell	4.5.	Wonderland
Alice	Smith	4.3.	Berlin

Functional dependencies

Observation

- $\{\text{name}\}$ is not a unique column combination
- the name alone does not uniquely identify the row
- but: the name identifies the place

first name	name	birthday	place
John	Doe	7.10.	Karlsruhe
Bob	Doe	1.6.	Karlsruhe
Bob	Builder	7.10.	Karlsruhe
Alice	Liddell	4.5.	Wonderland
Alice	Smith	4.3.	Berlin
	X		A

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Functional dependencies

Observation

- $\{\text{name}\}$ is not a unique column combination
- the name alone does not uniquely identify the row
- but: the name identifies the place

first name	name	birthday	place
John	Doe	7.10.	Karlsruhe
Bob	Doe	1.6.	Karlsruhe
Bob	Builder	7.10.	Karlsruhe
Alice	Liddell	4.5.	Wonderland
Alice	Smith	4.3.	Berlin
	X		A

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Which of the following are functional dependencies?

- $\{\text{first name}\} \rightarrow \text{birthday}$
- $\{\text{birthday}\} \rightarrow \text{first name}$
- $\{\text{birthday}\} \rightarrow \text{place}$
- $\{\text{first name}\} \rightarrow \text{place}$
- $\{\text{first name, birthday}\} \rightarrow \text{place}$
- $\{\text{place, birthday}\} \rightarrow \text{first name}$

Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Prove $W[2]$ -hardness for FD

- reduce from UNIQUE

Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

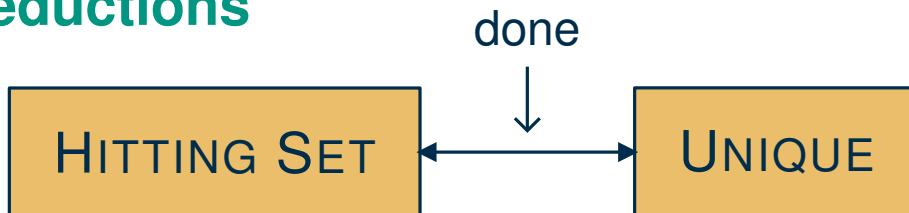
Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Reductions



Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

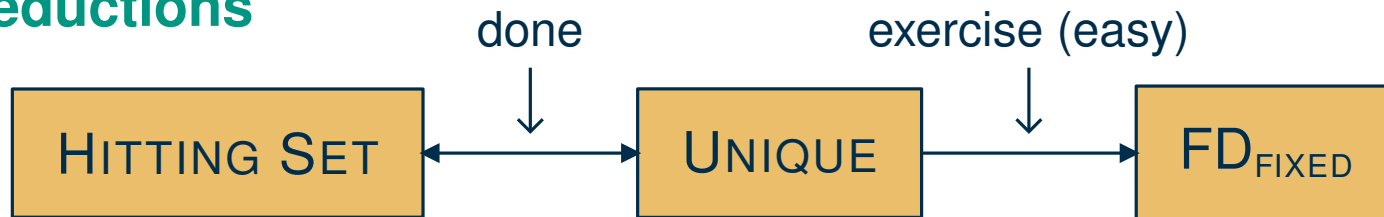
Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Reductions



Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

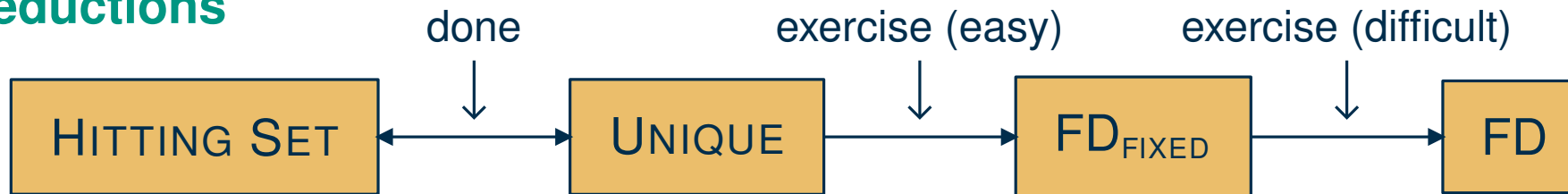
Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Reductions



Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

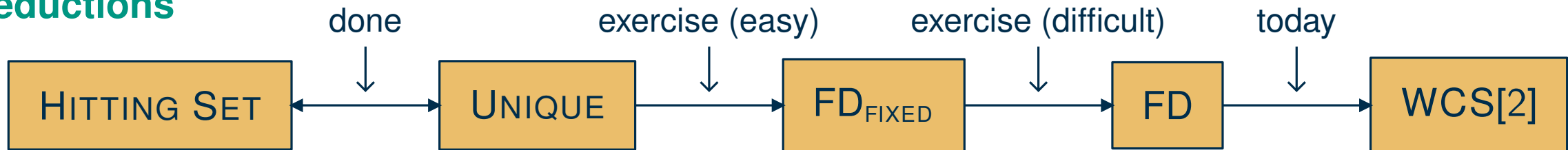
Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Reductions



Complexity of functional dependencies

Problem: FD

Given a database and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

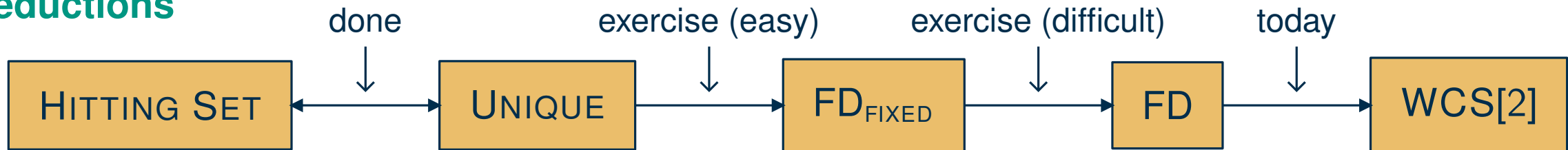
Prove $W[2]$ -hardness for FD

- reduce from UNIQUE
- easier if we fix right-hand-side A

Problem: FD_{FIXED}

Given a database, a column A , and a parameter k . Is there a functional dependency $X \rightarrow A$ with $|X| \leq k$?

Reductions



- HITTING SET and WCS[2] are $W[2]$ -complete $\Rightarrow$ FD_{FIXED} and FD are $W[2]$ -complete

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
- **LHS** and **RHS** are disjoint column sets
- $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

How does the parameter change?

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

LHS and RHS are disjoint

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

LHS and RHS are disjoint

- for every column A : $\neg x_A \vee \neg y_A$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

LHS and RHS form functional dependency

LHS and RHS are disjoint

- for every column A : $\neg x_A \vee \neg y_A$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

LHS and RHS are disjoint

- for every column A : $\neg x_A \vee \neg y_A$

LHS and RHS form functional dependency

- for every column A and pair (r_i, r_j) with $r_i[A] \neq r_j[A]$:

$$\neg y_A \vee \bigvee_{\substack{\text{column } A \neq B \\ r_i[B] \neq r_j[B]}} x_B$$

FD $\rightarrow$ WCS[2]

Definition

For a column set X and a column $A \notin X$, $X \rightarrow A$ is a **functional dependency** if for every row pair (r_i, r_j) , it holds that $r_i[A] \neq r_j[A] \Rightarrow r_i[X] \neq r_j[X]$.

Rough plan: model conditions of FD using Boolean formulas

- use only $\vee$ for the conditions and then one $\wedge$ in the end (CNF) $\Rightarrow$ weft 2

What are the conditions? What variables do we use?

- **RHS** consists of exactly one column
 - **LHS** and **RHS** are disjoint column sets
 - $A \in \text{RHS}$ and $r_i[A] \neq r_j[A] \Rightarrow \exists B$ with $B \in \text{LHS}$ and $r_i[B] \neq r_j[B]$
- } indicator variables x_A and y_A for $A \in \text{LHS}$ and $A \in \text{RHS}$

RHS: exactly one column

- at least one: $\bigvee_{\text{column } A} y_A$
- not both A and B : $\neg y_A \vee \neg y_B$

LHS and RHS are disjoint

- for every column A : $\neg x_A \vee \neg y_A$

LHS and RHS form functional dependency

- for every column A and pair (r_i, r_j) with $r_i[A] \neq r_j[A]$:

$$\neg y_A \vee \bigvee_{\substack{\text{column } A \neq B \\ r_i[B] \neq r_j[B]}} x_B$$

Theorem: UNIQUE, FD_{FIXED} , and FD are $W[2]$ -complete.

Inclusion dependencies

Goal: find data of one database in another database

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Inclusion dependencies

Goal: find data of one database in another database

- consider columns $X = \{A, C\} \subseteq R$
- and the map $\sigma: X \rightarrow S$ with $\sigma(A) = G$ and $\sigma(C) = E$

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Inclusion dependencies

Goal: find data of one database in another database

- consider columns $X = \{A, C\} \subseteq R$
- and the map $\sigma: X \rightarrow S$ with $\sigma(A) = G$ and $\sigma(C) = E$
- tuple for X $\{11, 32, 23, 34\} \subseteq$ tuple for $\sigma(X)$ $\{11, 13, 23, 32, 34\}$

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Inclusion dependencies

Goal: find data of one database in another database

- consider columns $X = \{A, C\} \subseteq R$
- and the map $\sigma: X \rightarrow S$ with $\sigma(A) = G$ and $\sigma(C) = E$
- tuple for X $\{11, 32, 23, 34\} \subseteq$ tuple for $\sigma(X)$ $\{11, 13, 23, 32, 34\}$

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Definition

Consider two instances r and s of two schemata R and S . A subset $X \subseteq R$ together with an injective map $\sigma: X \rightarrow S$ is an **inclusion dependency**, if $r[X] \subseteq s[\sigma(X)]$.

Inclusion dependencies

Goal: find data of one database in another database

- consider columns $X = \{A, C\} \subseteq R$
- and the map $\sigma: X \rightarrow S$ with $\sigma(A) = G$ and $\sigma(C) = E$
- tuple for X $\{11, 32, 23, 34\} \subseteq$ tuple for $\sigma(X)$ $\{11, 13, 23, 32, 34\}$

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Definition

Consider two instances r and s of two schemata R and S . A subset $X \subseteq R$ together with an injective map $\sigma: X \rightarrow S$ is an **inclusion dependency**, if $r[X] \subseteq s[\sigma(X)]$.

Problem: IND

Given two databases r and s , and a parameter k . Is there an inclusion dependency of size k ?

Inclusion dependencies

Goal: find data of one database in another database

- consider columns $X = \{A, C\} \subseteq R$
- and the map $\sigma: X \rightarrow S$ with $\sigma(A) = G$ and $\sigma(C) = E$
- tuple for X $\{11, 32, 23, 34\} \subseteq$ tuple for $\sigma(X)$ $\{11, 13, 23, 32, 34\}$

schema R			schema S			
A	B	C	D	E	F	G
1	1	1	4	1	3	1
3	1	2	3	3	4	1
1	2	1	3	3	3	2
2	3	3	4	2	1	3
3	4	4	2	4	1	3
			4	4	4	3

Definition

Consider two instances r and s of two schemata R and S . A subset $X \subseteq R$ together with an injective map $\sigma: X \rightarrow S$ is an **inclusion dependency**, if $r[X] \subseteq s[\sigma(X)]$.

Problem: IND

Given two databases r and s , and a parameter k . Is there an inclusion dependency of size k ?

Problem: IND_{FIXED}

Given two databases r, s with the same schema and a parameter k . Is there an inclusion dependency of size k with σ being the identity?

Find the largest inclusion dependency

5	<i>M</i>	<i>I</i>	<i>N</i>	<i>B</i>	<i>R</i>	<i>E</i>	<i>A</i>	<i>K</i>
1	1	4	3	3	3	1	1	3
4	1	2	4	4	3	3	1	3
2	4	3	2	3	1	3	4	2
1	3	4	4	2	3	2	2	4
2	3	4	1	1	2	3	2	3
4	1	1	4	2	2	2	3	1
2	3	1	1	2	1	4	2	2
				4	4	1	4	4

Definition

Consider two instances r and s of two schemata R and S . A subset $X \subseteq R$ together with an injective map $\sigma: X \rightarrow S$ is an **inclusion dependency**, if $r[X] \subseteq s[\sigma(X)]$.

Find the largest inclusion dependency

5	M	I	N	B	R	E	A	K
1	1	4	3	3	3	1	1	3
4	1	2	4	4	3	3	1	3
2	4	3	2	3	1	3	4	2
1	3	4	4	2	3	2	2	4
2	3	4	1	1	2	3	2	3
4	1	1	4	2	2	2	3	1
2	3	1	1	2	1	4	2	2
				4	4	1	4	4

Solution

- $X = \{5, M, N\}$
- $\sigma(5) = A, \sigma(M) = E, \text{ and } \sigma(N) = B$

Definition

Consider two instances r and s of two schemata R and S . A subset $X \subseteq R$ together with an injective map $\sigma: X \rightarrow S$ is an **inclusion dependency**, if $r[X] \subseteq s[\sigma(X)]$.

IND is $W[3]$ -complete

Reduction from $\text{IND}_{\text{FIXED}}$ to IND

- easy

How?



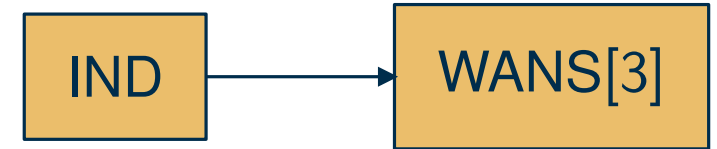
IND is $W[3]$ -complete

Reduction from $\text{IND}_{\text{FIXED}}$ to IND

- easy

Reduction from IND to $\text{WANS}[3]$

How?



IND is $W[3]$ -complete

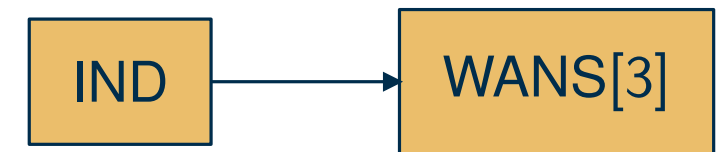
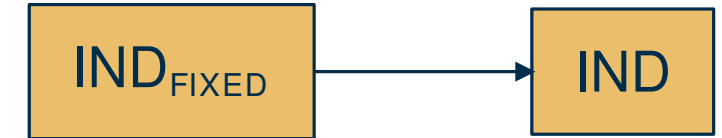
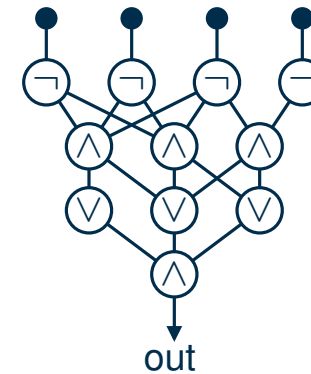
Reduction from $\text{IND}_{\text{FIXED}}$ to IND

- easy

Reduction from IND to $\text{WANS}[3]$

- model IND-conditions as Boolean formulas
- ensure: conj. of disj. of conj. of negative literals

How?



IND is $W[3]$ -complete

Reduction from $\text{IND}_{\text{FIXED}}$ to IND

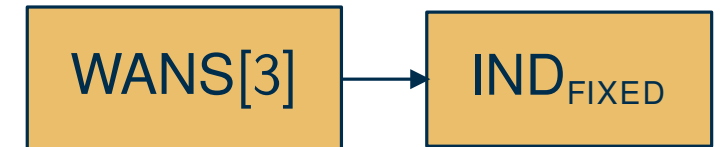
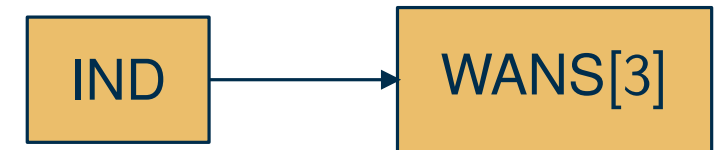
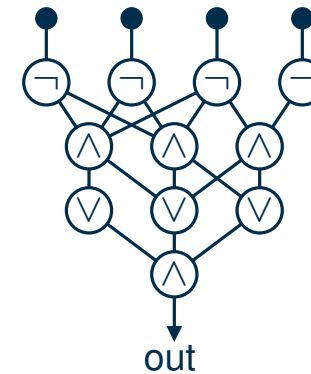
- easy

Reduction from IND to $\text{WANS}[3]$

- model IND-conditions as Boolean formulas
- ensure: conj. of disj. of conj. of negative literals

Reduction from $\text{WANS}[3]$ to $\text{IND}_{\text{FIXED}}$

How?



IND is $W[3]$ -complete

Reduction from $\text{IND}_{\text{FIXED}}$ to IND

- easy

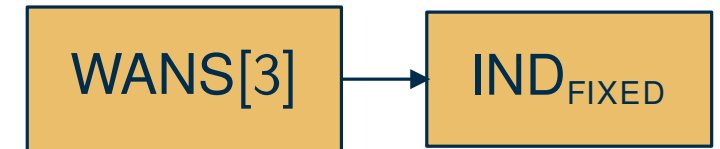
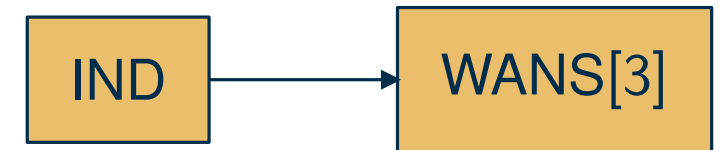
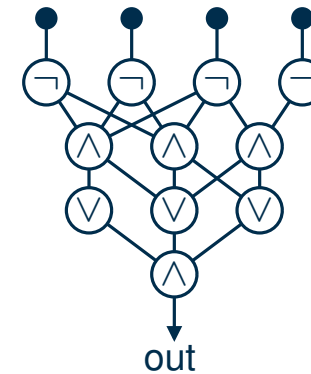
Reduction from IND to $\text{WANS}[3]$

- model IND-conditions as Boolean formulas
- ensure: conj. of disj. of conj. of negative literals

Reduction from $\text{WANS}[3]$ to $\text{IND}_{\text{FIXED}}$

- model antimonotone 3-normalized Boolean formula with two databases

How?



IND is $W[3]$ -complete

Reduction from $\text{IND}_{\text{FIXED}}$ to IND

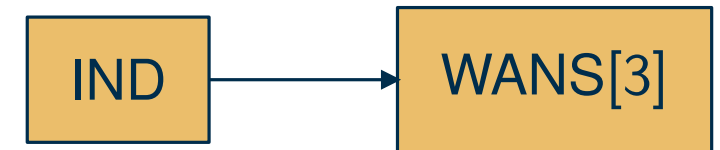
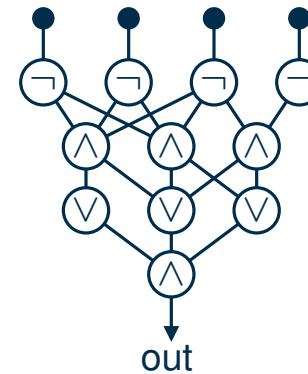
- easy

How?



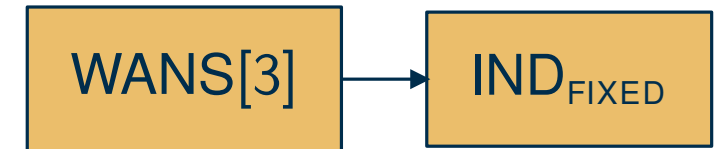
Reduction from IND to WANS[3]

- model IND-conditions as Boolean formulas
- ensure: conj. of disj. of conj. of negative literals



Reduction from WANS[3] to $\text{IND}_{\text{FIXED}}$

- model antimonotone 3-normalized Boolean formula with two databases



Overall plan:



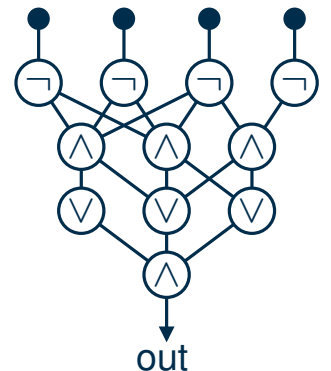
WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string

(e.g., $\{A, C\} \equiv 101$)

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4

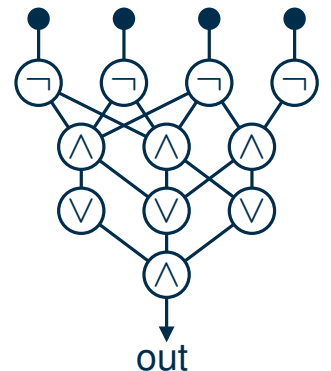


WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string (e.g., $\{A, C\} \equiv 101$)
- Boolean function $f: f(X) = 1 \Leftrightarrow X$ is IND (e.g., $f(101) = 1, f(110) = 0$)

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4



WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

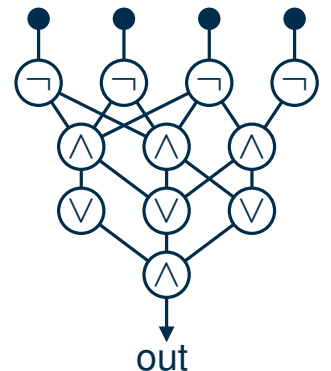
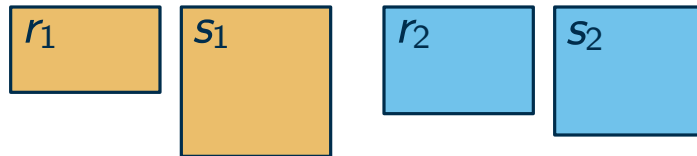
Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string (e.g., $\{A, C\} \equiv 101$)
- Boolean function $f: f(X) = 1 \Leftrightarrow X$ is IND (e.g., $f(101) = 1, f(110) = 0$)

“And”ing two instances (on the same Schema)

- consider two instances (r_1, s_1) and (r_2, s_2) with functions f_1 and f_2

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4



WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

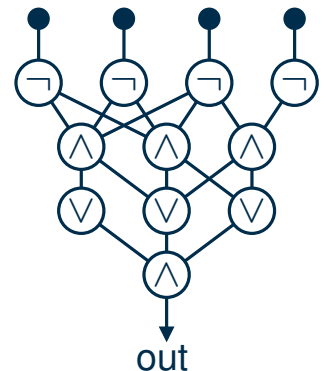
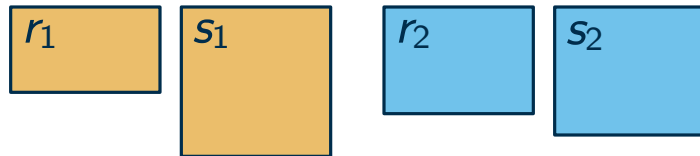
Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string (e.g., $\{A, C\} \equiv 101$)
- Boolean function $f: f(X) = 1 \Leftrightarrow X$ is IND (e.g., $f(101) = 1, f(110) = 0$)

“And”ing two instances (on the same Schema)

- consider two instances (r_1, s_1) and (r_2, s_2) with functions f_1 and f_2
- Is there an instance (r, s) such that $f = f_1 \wedge f_2$?

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4



WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

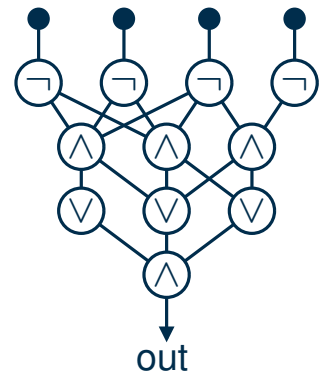
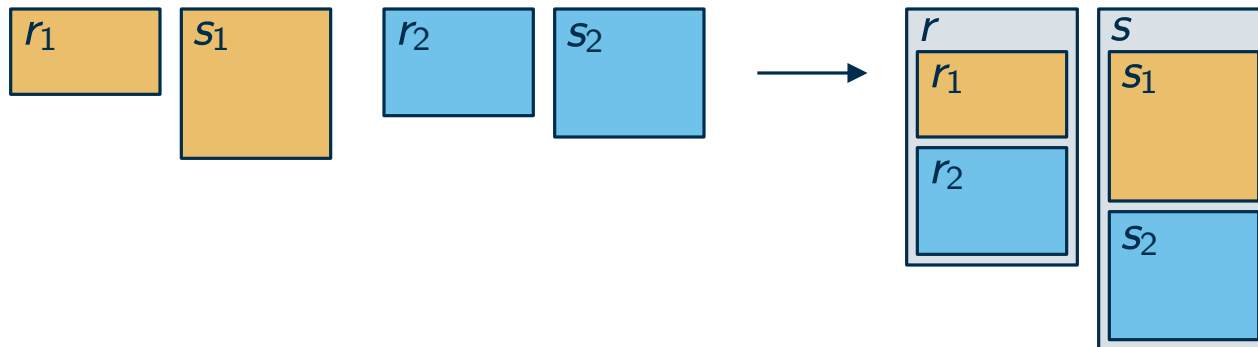
Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string (e.g., $\{A, C\} \equiv 101$)
- Boolean function $f: f(X) = 1 \Leftrightarrow X$ is IND (e.g., $f(101) = 1, f(110) = 0$)

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4

“And”ing two instances (on the same Schema)

- consider two instances (r_1, s_1) and (r_2, s_2) with functions f_1 and f_2
- Is there an instance (r, s) such that $f = f_1 \wedge f_2$?
- make sure that (r_1, s_1) and (r_2, s_2) have disjoint values and concatenate the databases



WANS[3] $\rightarrow$ IND_{FIXED}: the final “and”

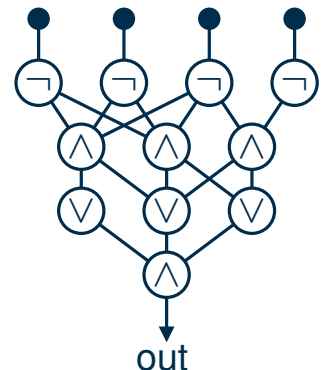
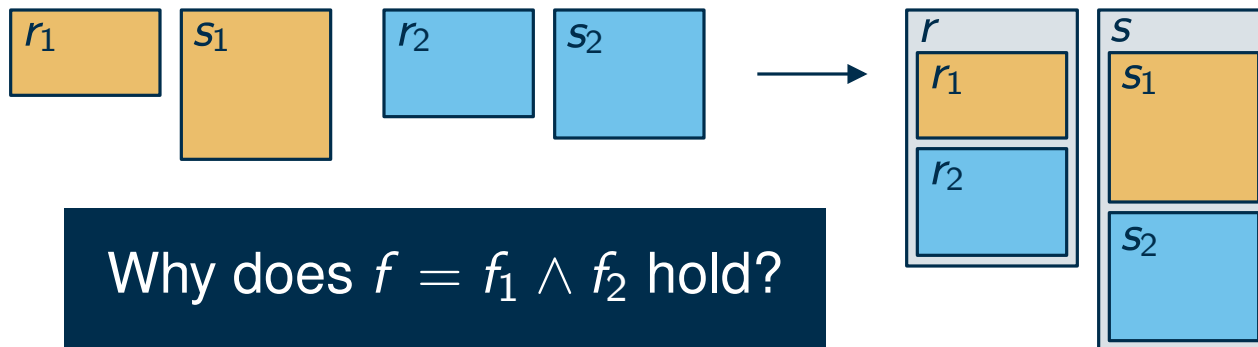
Viewing IND_{FIXED} as Boolean function

- formalize set of columns as 01-string (e.g., $\{A, C\} \equiv 101$)
- Boolean function $f: f(X) = 1 \Leftrightarrow X$ is IND (e.g., $f(101) = 1, f(110) = 0$)

database r			database s		
A	B	C	A	B	C
1	1	1	1	3	1
3	1	2	1	4	3
1	2	1	2	3	3
2	3	3	3	1	2
3	4	4	3	1	4
			3	4	4

“And”ing two instances (on the same Schema)

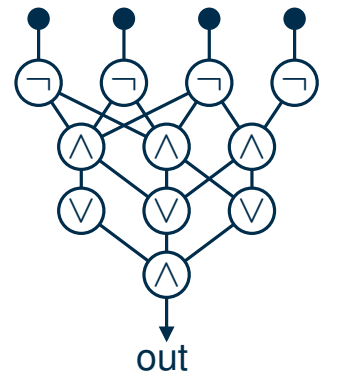
- consider two instances (r_1, s_1) and (r_2, s_2) with functions f_1 and f_2
- Is there an instance (r, s) such that $f = f_1 \wedge f_2$?
- make sure that (r_1, s_1) and (r_2, s_2) have disjoint values and concatenate the databases



$$\text{WANS}[3] \rightarrow \text{IND}_{\text{FIXED}}$$

Lemma

Given two $\text{IND}_{\text{FIXED}}$ -instances with indicator functions f_1 and f_2 , then there is a (not too large) $\text{IND}_{\text{FIXED}}$ -Instanz with indicator function $f_1 \wedge f_2$.



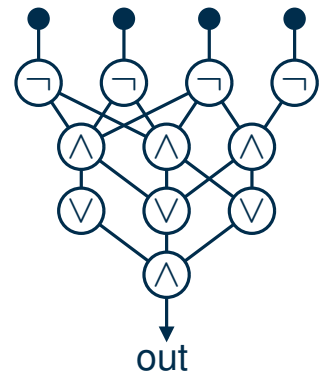
WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?



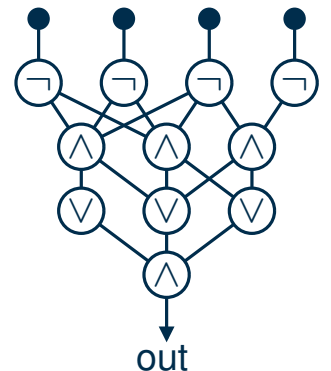
WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?
- would show $W[t]$ -hardness for every t



WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Disjunction of conjunction of negative literals

$$\varphi = c_1 \vee c_2 \vee c_3$$

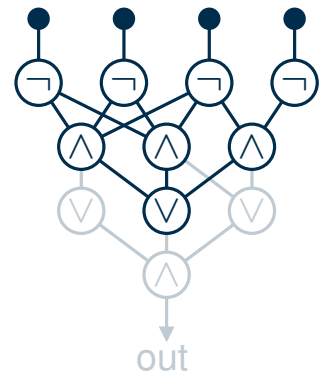
$$c_1 = (\neg x_1 \wedge \neg x_2 \wedge \neg x_3)$$

$$c_2 = (\neg x_2 \wedge \neg x_4 \wedge \neg x_5)$$

$$c_3 = (\neg x_1 \wedge \neg x_3 \wedge \neg x_4 \wedge \neg x_6)$$

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?
- would show $W[t]$ -hardness for every t



WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?
- would show $W[t]$ -hardness for every t

Disjunction of conjunction of negative literals

$$\varphi = c_1 \vee c_2 \vee c_3$$

$$c_1 = (\neg x_1 \wedge \neg x_2 \wedge \neg x_3)$$

$$c_2 = (\neg x_2 \wedge \neg x_4 \wedge \neg x_5)$$

$$c_3 = (\neg x_1 \wedge \neg x_3 \wedge \neg x_4 \wedge \neg x_6)$$

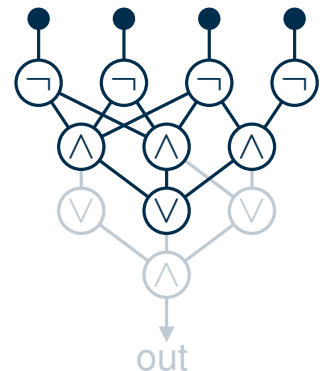
r :

A_1	A_2	A_3	A_4	A_5	A_6
0	0	0	0	0	0

s :

A_1	A_2	A_3	A_4	A_5	A_6
1	1	1	0	0	0

- modeling a single c_i is easy



WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?
- would show $W[t]$ -hardness for every t

Disjunction of conjunction of negative literals

$$\varphi = c_1 \vee c_2 \vee c_3$$

$$c_1 = (\neg x_1 \wedge \neg x_2 \wedge \neg x_3)$$

$$c_2 = (\neg x_2 \wedge \neg x_4 \wedge \neg x_5)$$

$$c_3 = (\neg x_1 \wedge \neg x_3 \wedge \neg x_4 \wedge \neg x_6)$$

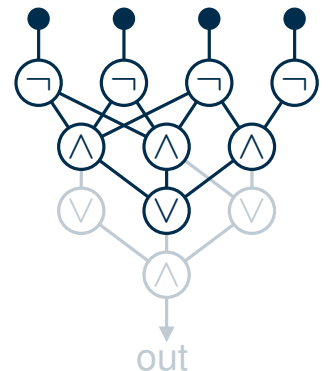
r :

A_1	A_2	A_3	A_4	A_5	A_6
0	0	0	0	0	0

s :

A_1	A_2	A_3	A_4	A_5	A_6
1	1	1	0	0	0
0	1	0	1	1	0
1	0	1	1	0	1

- modeling a single c_i is easy
- for IND it suffices to find the data from r in one row of s
multiple rows in $s \rightarrow$ naturally models “or” between them



WANS[3] $\rightarrow$ IND_{FIXED}

Lemma

Given two IND_{FIXED}-instances with indicator functions f_1 and f_2 , then there is a (not too large) IND_{FIXED}-Instanz with indicator function $f_1 \wedge f_2$.

Achievement so far

- we can model conjunctions ($\wedge$)
- Can we model disjunctions ($\vee$) similarly?
- would show $W[t]$ -hardness for every t

Disjunction of conjunction of negative literals

$$\varphi = c_1 \vee c_2 \vee c_3$$

$$c_1 = (\neg x_1 \wedge \neg x_2 \wedge \neg x_3)$$

$$c_2 = (\neg x_2 \wedge \neg x_4 \wedge \neg x_5)$$

$$c_3 = (\neg x_1 \wedge \neg x_3 \wedge \neg x_4 \wedge \neg x_6)$$

r :

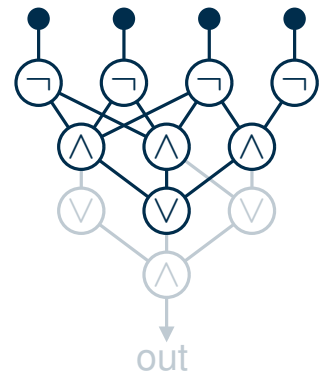
A_1	A_2	A_3	A_4	A_5	A_6
0	0	0	0	0	0

s :

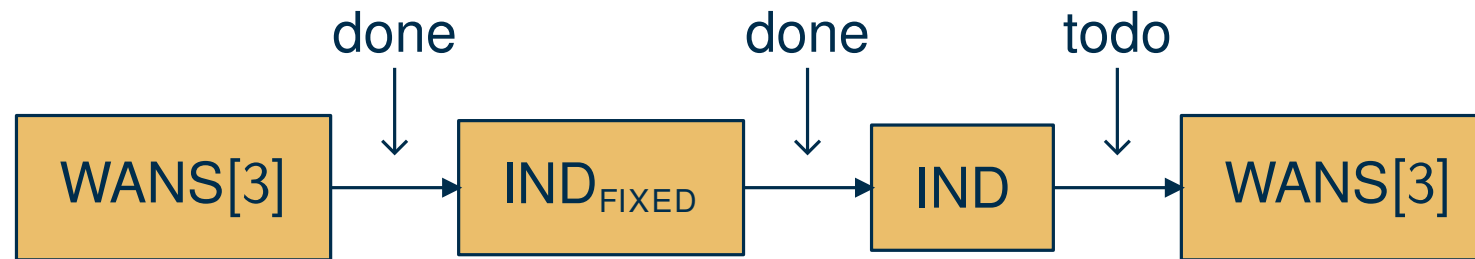
A_1	A_2	A_3	A_4	A_5	A_6
1	1	1	0	0	0
0	1	0	1	1	0
1	0	1	1	0	1

- modeling a single c_i is easy
- for IND it suffices to find the data from r in one row of s
multiple rows in $s \rightarrow$ naturally models “or” between them

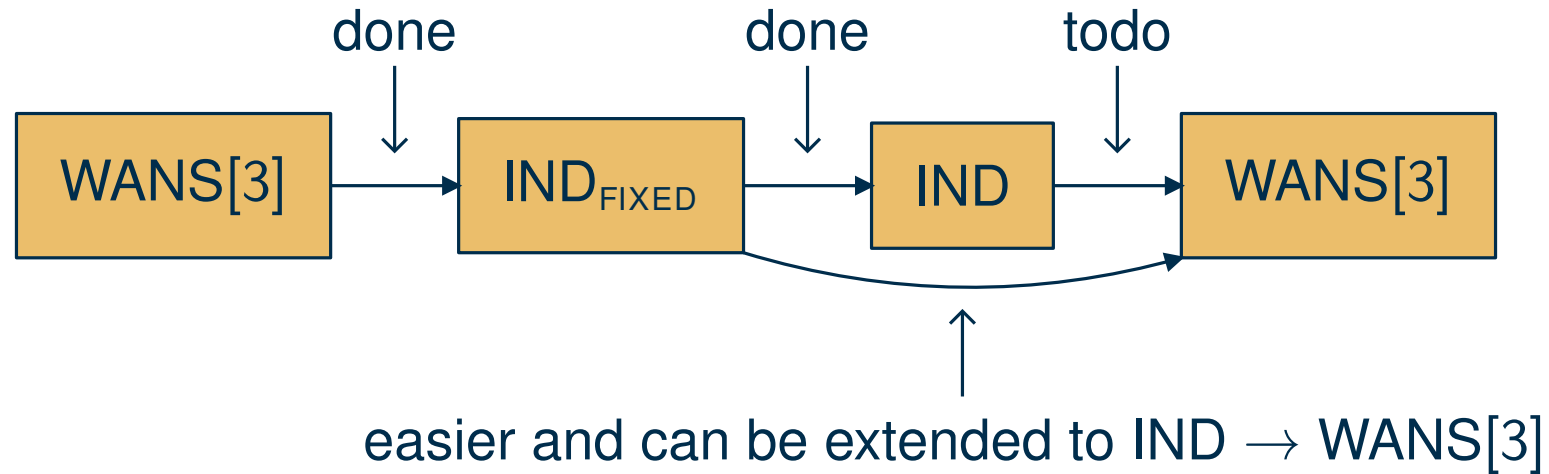
Check: solution for φ yields solution for (r, s) and vice versa



Seen so far

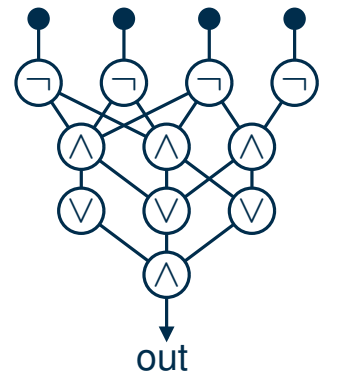


Seen so far



$\text{IND}_{(\text{FIXED})} \rightarrow \text{WANS}[3]$

$\text{IND}_{\text{FIXED}}$ as Boolean formula



$\text{IND}_{(\text{FIXED})} \rightarrow \text{WANS}[3]$

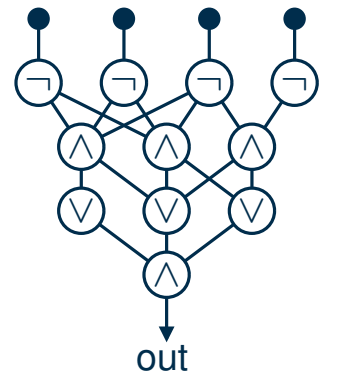
$\text{IND}_{\text{FIXED}}$ as Boolean formula

- single pair of rows (r_i, s_j) :
exclude certain columns

	A_1	A_2	A_3	A_4
r_i	1	0	1	0

	A_1	A_2	A_3	A_4
s_j	1	1	0	0

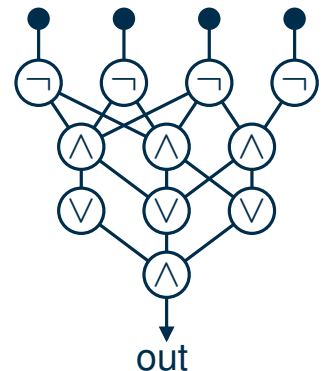
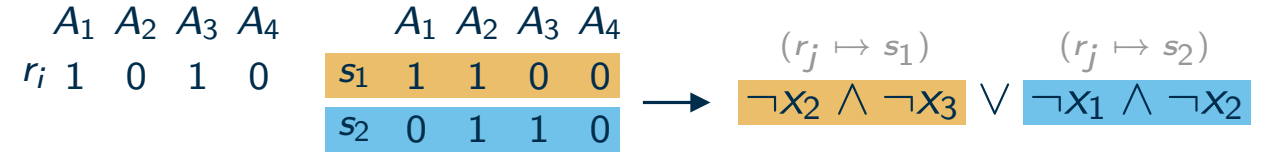
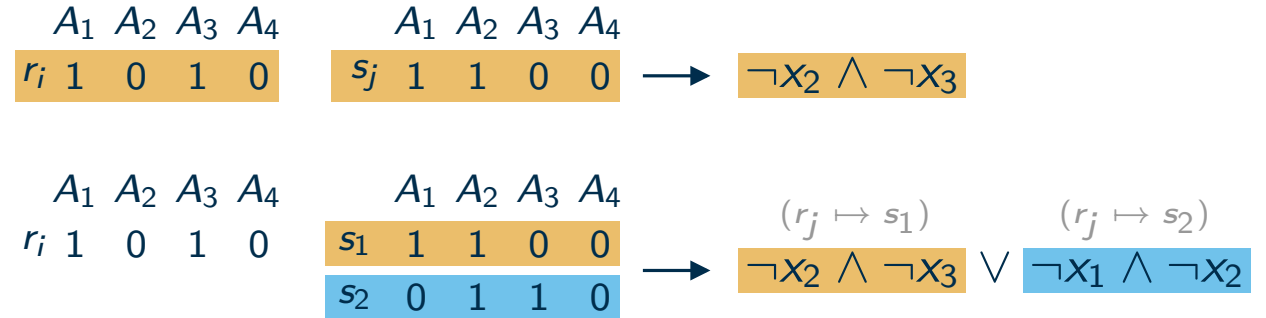
 $\rightarrow \neg x_2 \wedge \neg x_3$



$\text{IND}_{(\text{FIXED})} \rightarrow \text{WANS}[3]$

$\text{IND}_{\text{FIXED}}$ as Boolean formula

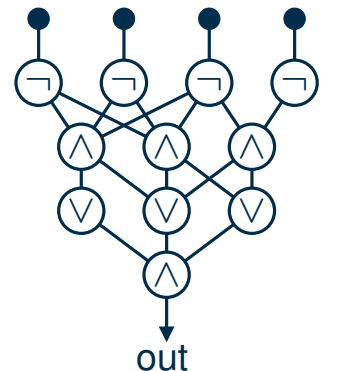
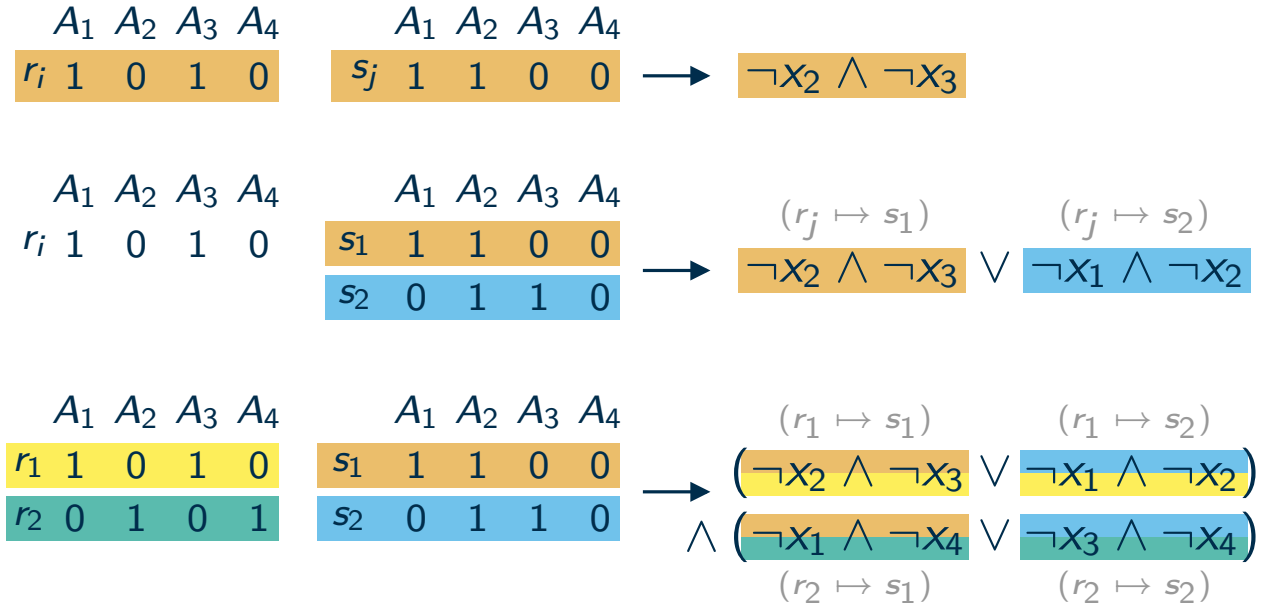
- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”



IND_(FIXED) $\rightarrow$ WANS[3]

IND_{FIXED} as Boolean formula

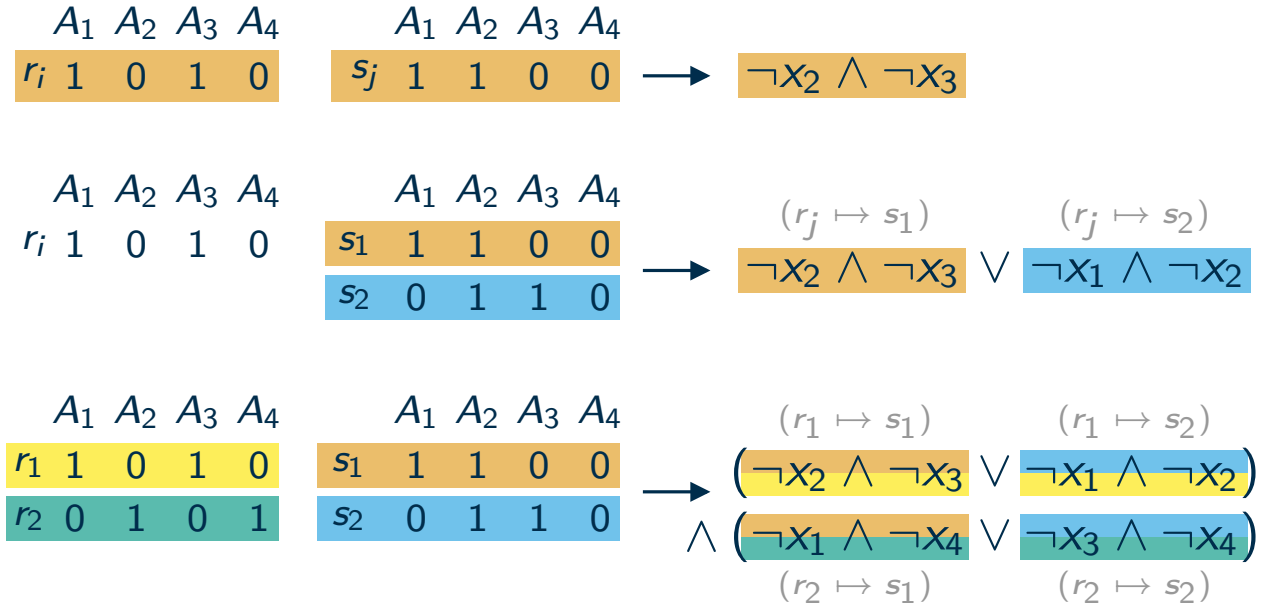
- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”
- also multiple rows in r :
find each row of r in $s \rightarrow$ “and”



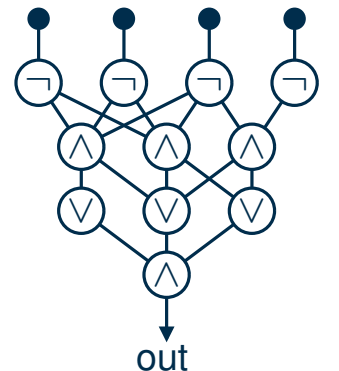
IND_(FIXED) $\rightarrow$ WANS[3]

IND_{FIXED} as Boolean formula

- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”
- also multiple rows in r :
find each row of r in $s \rightarrow$ “and”



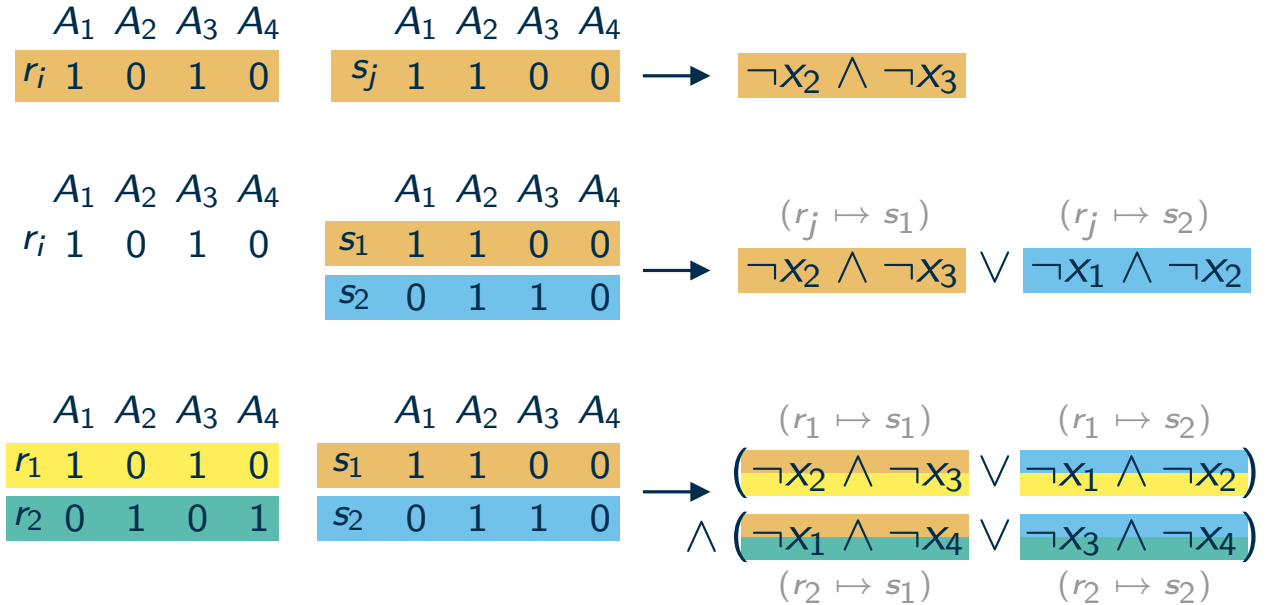
Extension to IND $\rightarrow$ WANS[3]



IND_(FIXED) $\rightarrow$ WANS[3]

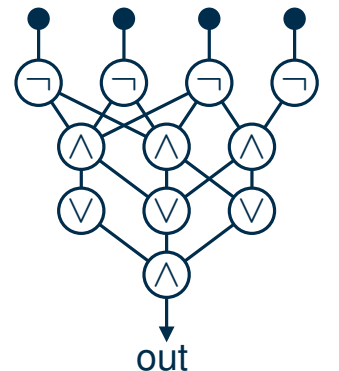
IND_{FIXED} as Boolean formula

- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”
- also multiple rows in r :
find each row of r in $s \rightarrow$ “and”



Extension to IND $\rightarrow$ WANS[3]

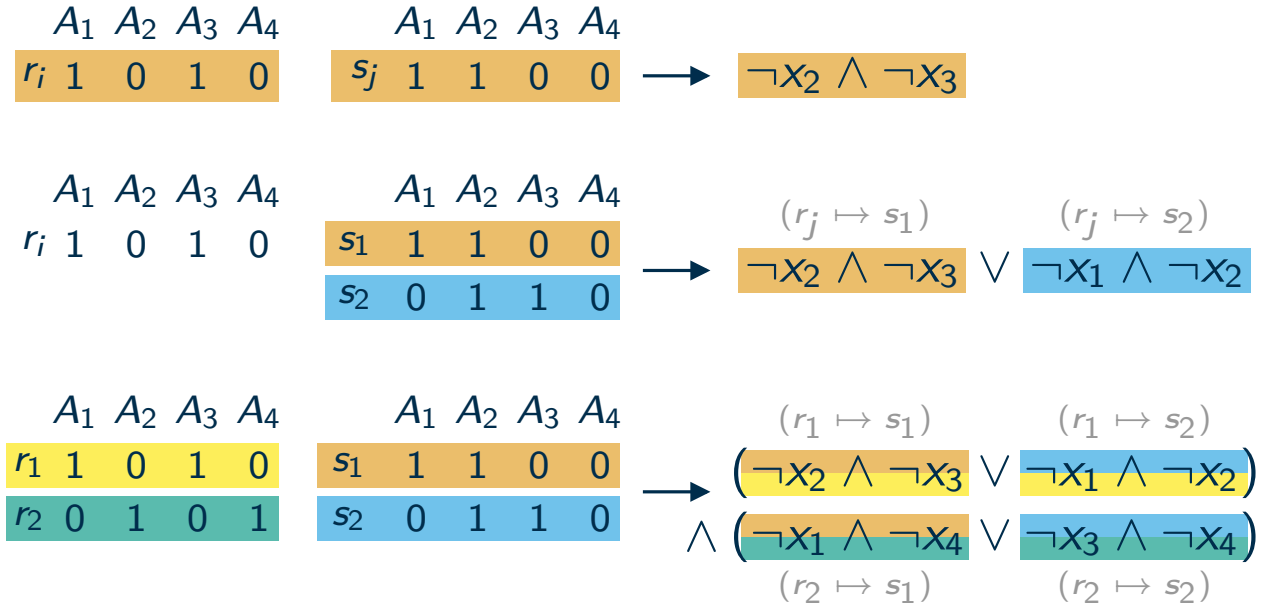
- variables $x_{i,j}$ with: $x_{i,j} = 1 \Leftrightarrow$ col i selected in R and mapped to col j in S
- make sure this gives a bijection



IND_(FIXED) $\rightarrow$ WANS[3]

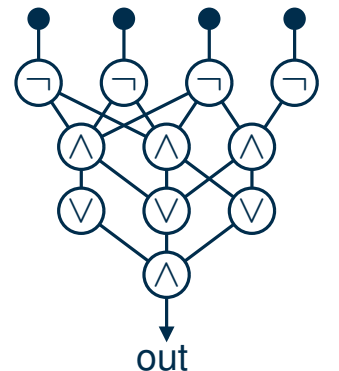
IND_{FIXED} as Boolean formula

- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”
- also multiple rows in r :
find each row of r in $s \rightarrow$ “and”



Extension to IND $\rightarrow$ WANS[3]

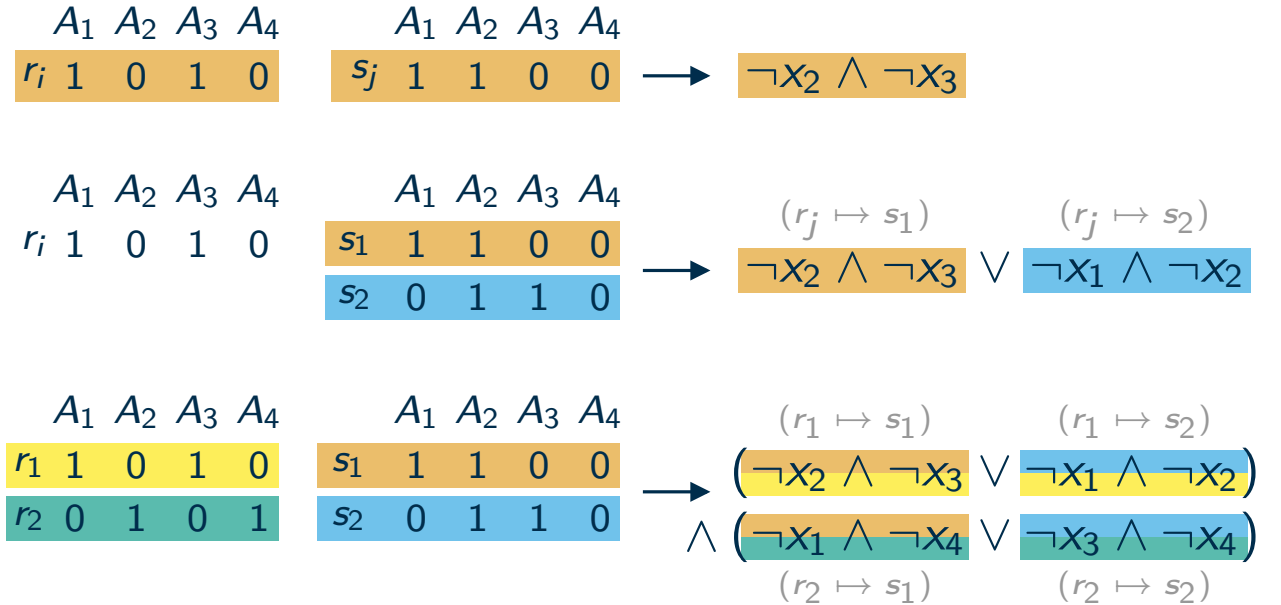
- variables $x_{i,j}$ with: $x_{i,j} = 1 \Leftrightarrow$ col i selected in R and mapped to col j in S
- make sure this gives a bijection
- combine with previous conditions



IND_(FIXED) $\rightarrow$ WANS[3]

IND_{FIXED} as Boolean formula

- single pair of rows (r_i, s_j) :
exclude certain columns
- now multiple rows in s :
find r_i in a row of $s \rightarrow$ “or”
- also multiple rows in r :
find each row of r in $s \rightarrow$ “and”

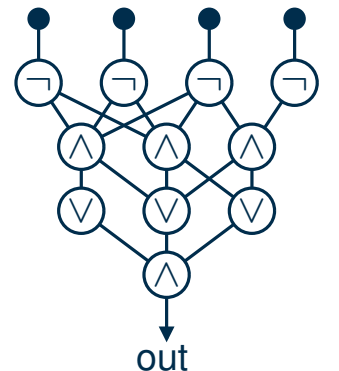


Extension to IND $\rightarrow$ WANS[3]

- variables $x_{i,j}$ with: $x_{i,j} = 1 \Leftrightarrow$ col i selected in R and mapped to col j in S
- make sure this gives a bijection
- combine with previous conditions

Theorem

IND_{FIXED} and IND are $W[3]$ -complete.



Wrap-Up

Normalized circuits

- we can start reductions from WCS with rather clean circuits
- no need to look at weft; just count the layers

Wrap-Up

Normalized circuits

- we can start reductions from WCS with rather clean circuits
- no need to look at weft; just count the layers
- even t : monotone; odd t : antimonotone

Wrap-Up

Normalized circuits

- we can start reductions from WCS with rather clean circuits
- no need to look at weft; just count the layers
- even t : monotone; odd t : antimonotone

Dependency detection

- rare case of a non-graph problem
- there are natural $W[3]$ -complete problems

Wrap-Up

Normalized circuits

- we can start reductions from WCS with rather clean circuits
- no need to look at weft; just count the layers
- even t : monotone; odd t : antimonotone

Dependency detection

- rare case of a non-graph problem
- there are natural $W[3]$ -complete problems
- does not explain why functional dependencies can be computed efficiently in practice
(one can actually enumerate all inclusion-wise minimal UCCs/FDs rather quickly)

Literature

The Parameterized Complexity of Dependency Detection in Relational Databases

- Thomas Bläsius, Tobias Friedrich, Martin Schirneck
- contains the reduction from today

[2016]

doi.org/10.4230/LIPIcs.IPEC.2016.6

Profiling relational data: a survey

- Ziawasch Abedjan, Lukasz Golab, Felix Naumann
- overview on finding dependencies in databases

[2015]

doi.org/10.1007/s00778-015-0389-y

Hitting Set Enumeration with Partial Information for Unique Column Combination Discovery

- Johann Birnick, Thomas Bläsius, Tobias Friedrich, Felix Naumann, Thorsten Papenbrock, Martin Schirneck
- practically efficient algorithm for finding unique column combinations

[2020]

doi.org/10.14778/3407790.3407824

Discovering Functional Dependencies through Hitting Set Enumeration

- Tobias Bleifuß, Thorsten Papenbrock, Thomas Bläsius, Martin Schirneck, Felix Naumann
- practically efficient algorithm for finding functional dependencies

[2024]

doi.org/10.1145/3639298