

The background of the slide is a complex network graph. It features numerous white circular nodes connected by thin, dark teal lines. The nodes are distributed across the frame, with a higher density in the center and some isolated nodes towards the edges. The overall color scheme transitions from a dark teal on the left to a lighter blue on the right.

# Parameterized Algorithms

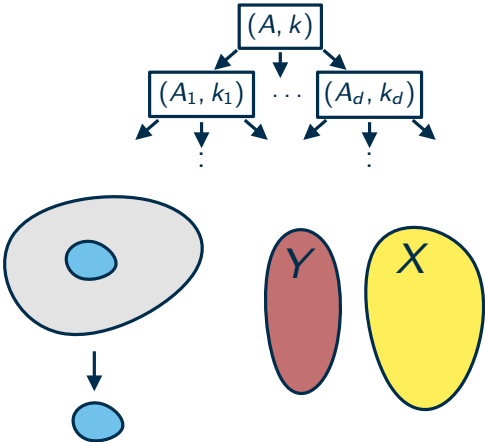
## Treewidth & Dynamic Programming

Thomas Bläsius

# Content

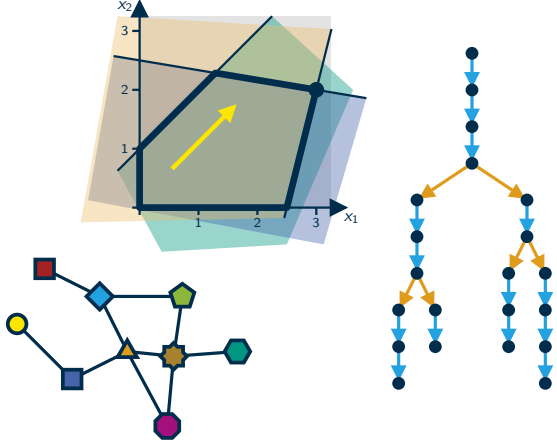
## Basic toolbox

- bounded search trees
- kernelization
- iterative compression



## Extended toolbox

- linear programs
- branch-and-reduce
- color coding



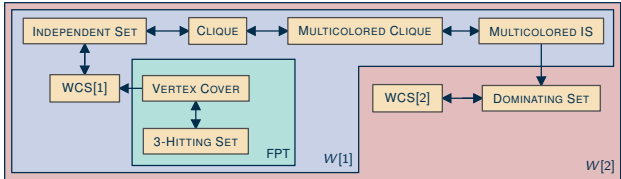
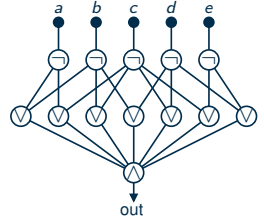
## Tree width

- dynamic programming
- chordal and planar graphs
- Courcelle's theorem



## Lower bounds

- kernel lower bounds
- parameterized reductions
- circuits and the W-hierarchy
- ETH and SETH

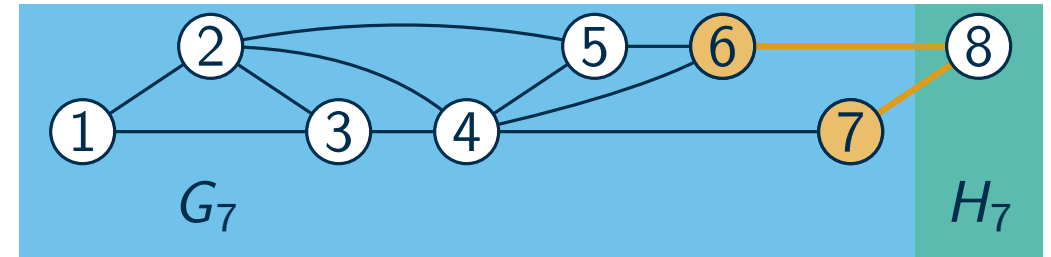


# Graphs with order

Graph  $G = (V, E)$  with vertex order  $V = \{v_1, \dots, v_n\}$

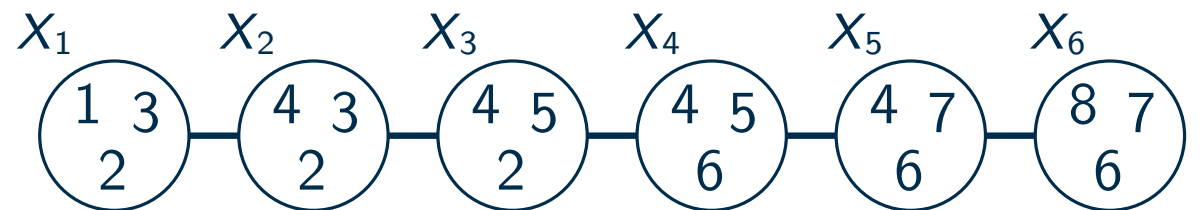
- $V_i = \{v_1, \dots, v_i\}$
- $G_i = G[V_i]$  and  $H_i = G[V \setminus V_i]$
- $k_i = \#\text{vertices in } G_i \text{ with edges to } H_i$
- $k = \max\{k_i\}$

$$k_7 = 2 \\ \Rightarrow k = 2$$



## Alternative perspective: Path decomposition

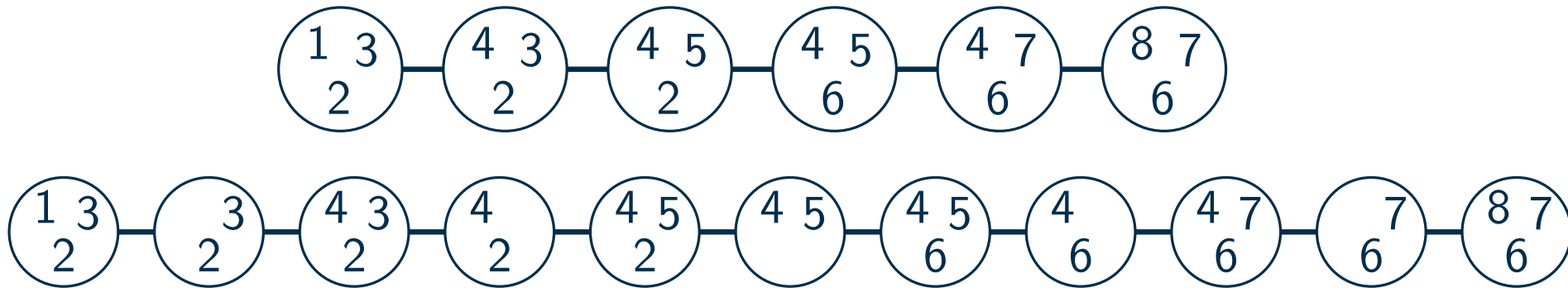
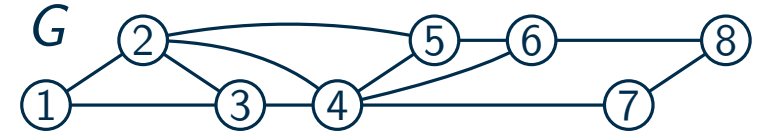
- path  $x_1, \dots, x_r$  with **bags**  $X_1, \dots, X_r$  ( $X_i \subseteq V$ ), such that:
  - $X_1 \cup \dots \cup X_r = V$
  - $\{u, v\} \in E \Rightarrow u, v$  share a bag
  - the bags of every vertex form a subpath



# Pathwidth and nice path decompositions

## Pathwidth

- width of a decomposition:  $\max\{|X_i|\} - 1$
- pathwidth of a graph: minimum width of a decomposition



## Nice path decompositions

- only two node types: introduce and forget
- $x_i$  is introduce node if  $X_i = X_{i-1} \cup \{v\}$
- $x_i$  is forget node if  $X_i = X_{i-1} \setminus \{v\}$

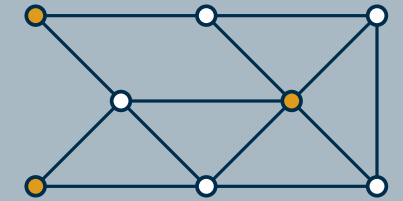
### Lemma

If  $G$  has a path decomposition of width  $p$ , then  $G$  has a nice path decomposition of width  $p$ .

# Dynamic programming

## Problem: INDEPENDENT SET

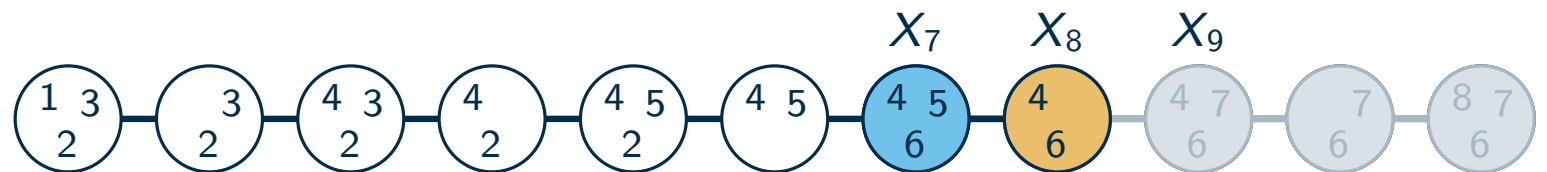
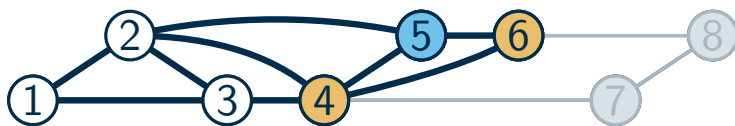
Given a graph, a parameter  $p$ , and a path decomposition of width  $p$ . Is there an independent set of size  $k$ ?  
 (vertices  $V' \subseteq V$  with  $\{u, v\} \notin E$  for  $u, v \in V'$ )



## Dynamic programming over nice path decomposition

- as before:  $V_i = X_1 \cup \dots \cup X_i$  and  $G_i = G[V_i]$
- step  $i$ :  $\forall X'_i \subseteq X_i$ , compute max IS  $U_i$  in  $G_i$  with  $U_i \cap X_i = X'_i$

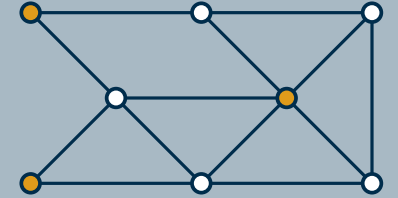
subset $X'_7 \subseteq X_7$	$\emptyset$	{4}	{5}	{6}	{4, 5}	{4, 6}	{5, 6}	{4, 5, 6}
largest IS size in $G_7$	1	2	2	2	$-\infty$	$-\infty$	$-\infty$	$-\infty$
subset $X'_8 \subseteq X_8$	$\emptyset$	{4}		{6}		{4, 6}		
largest IS size in $G_8$	2	2		2		$-\infty$		



# Dynamic programming

## Problem: INDEPENDENT SET

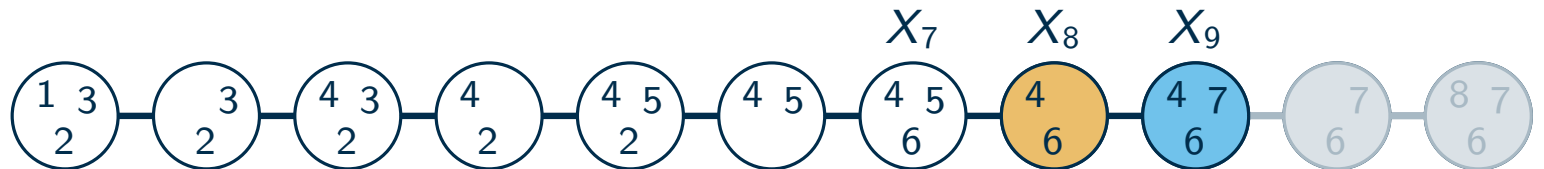
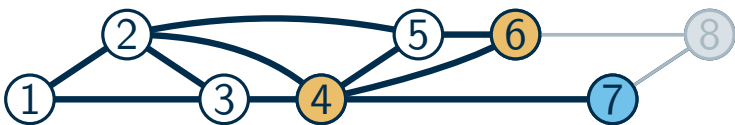
Given a graph, a parameter  $p$ , and a path decomposition of width  $p$ . Is there an independent set of size  $k$ ?  
 (vertices  $V' \subseteq V$  with  $\{u, v\} \notin E$  for  $u, v \in V'$ )



## Dynamic programming over nice path decomposition

- as before:  $V_i = X_1 \cup \dots \cup X_i$  and  $G_i = G[V_i]$
- step  $i$ :  $\forall X'_i \subseteq X_i$ , compute max IS  $U_i$  in  $G_i$  with  $U_i \cap X_i = X'_i$

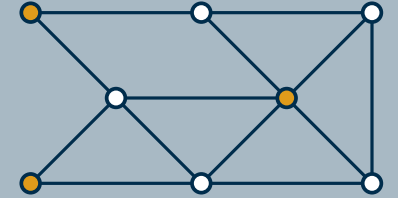
subset $X'_8 \subseteq X_8$	$\emptyset$	$\{4\}$	$\{6\}$	$\{4, 6\}$				
largest IS size in $G_8$	2	2	2	$-\infty$				
subset $X'_9 \subseteq X_9$	$\emptyset$	$\{7\}$	$\{4\}$	$\{4, 7\}$	$\{6\}$	$\{6, 7\}$	$\{4, 6\}$	$\{4, 6, 7\}$
largest IS size in $G_9$	2	3	2	$-\infty$	2	3	$-\infty$	$-\infty$



# Dynamic programming

## Problem: INDEPENDENT SET

Given a graph, a parameter  $p$ , and a path decomposition of width  $p$ . Is there an independent set of size  $k$ ?  
 (vertices  $V' \subseteq V$  with  $\{u, v\} \notin E$  for  $u, v \in V'$ )



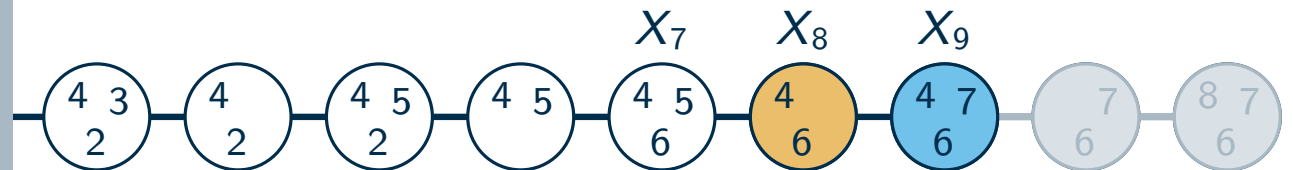
## Dynamic programming over nice path decomposition

- as before:  $V_i = X_1 \cup \dots \cup X_i$  and  $G_i = G[V_i]$
- step  $i$ :  $\forall X'_i \subseteq X_i$ , compute max IS  $U_i$  in  $G_i$  with  $U_i \cap X_i = X'_i$

subset $X'_8 \subseteq X_8$	$\emptyset$	$\{4\}$	$\{6\}$	$\{4, 6\}$				
largest IS size in $G_8$	2	2	2	$-\infty$				
subset $X'_9 \subseteq X_9$	$\emptyset$	$\{7\}$	$\{4\}$	$\{4, 7\}$	$\{6\}$	$\{6, 7\}$	$\{4, 6\}$	$\{4, 6, 7\}$
largest IS size in $G_9$	2	3	2	$-\infty$	2	3	$-\infty$	$-\infty$

## Theorem

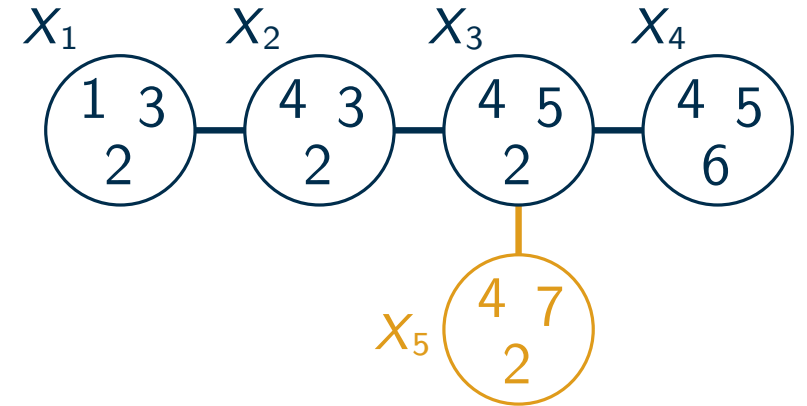
INDEPENDENT SET is FPT with respect to the pathwidth  $p$  (the DP runs in  $O(2^p n^c)$ ).



# Treewidth

## Tree Path decomposition

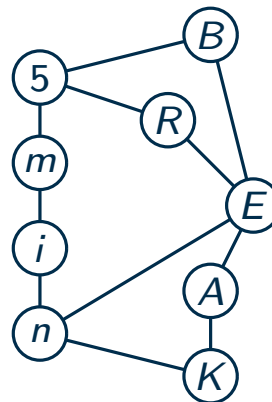
- tree path on nodes  $x_1, \dots, x_r$  with bags  $X_1, \dots, X_r$ , such that:
  - $X_1 \cup \dots \cup X_r = V$
  - $\{u, v\} \in E \Rightarrow u, v$  share a bag
  - the bags of every vertex form a tree subpath



## Treewidth

- width of a decomposition:  $\max\{|X_i|\} - 1$
- treewidth of a graph: minimum width of a decomposition

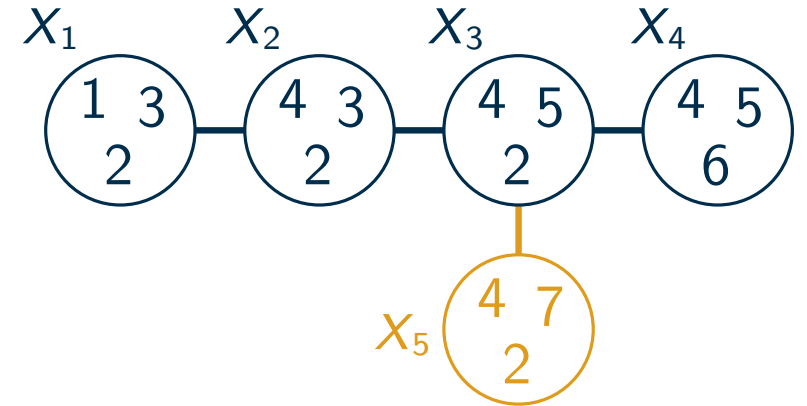
What is the treewidth of this graph?



# Treewidth

## Tree Path decomposition

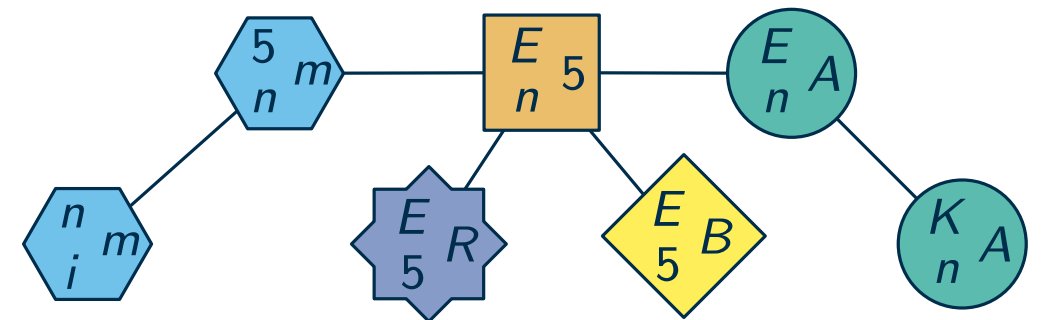
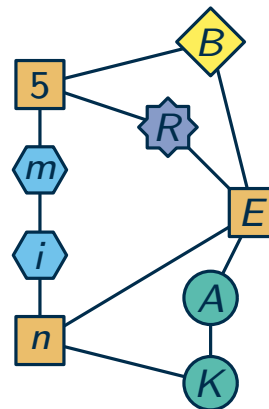
- path on nodes  $x_1, \dots, x_r$  with bags  $X_1, \dots, X_r$ , such that:
  - $X_1 \cup \dots \cup X_r = V$
  - $\{u, v\} \in E \Rightarrow u, v$  share a bag
  - the bags of every vertex form a ~~subpath~~ tree



## Treewidth

- width of a decomposition:  $\max\{|X_i|\} - 1$
- treewidth of a graph: minimum width of a decomposition

What is the treewidth of this graph?



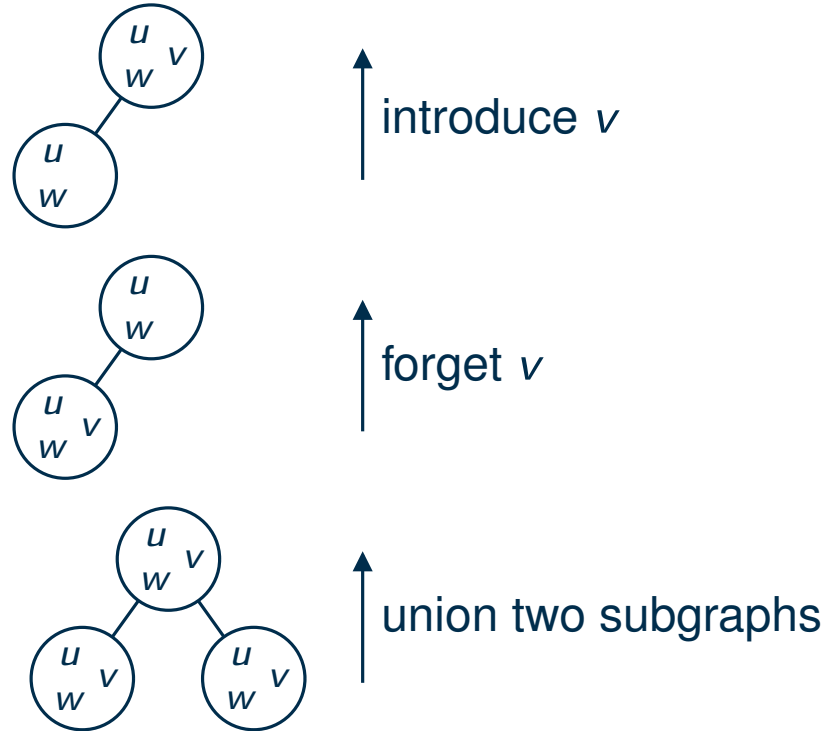
$\Rightarrow$  treewidth 2

# Nice tree decompositions

## Three types of nodes

- $x_i$  is **introduce node** if
  - $x_i$  has exactly one child  $x_j$  and
  - $X_i = X_j \cup \{v\}$  for one vertex  $v \in V$
- $x_i$  is **forget node** if
  - $x_i$  has exactly one child  $x_j$  and
  - $X_i = X_j \setminus \{v\}$  for a vertex  $v \in V$
- $x_i$  is **join node** if
  - $x_i$  has exactly two children  $x_j, x_k$
  - and  $X_i = X_j = X_k$

intuition: DP runs bottom-up



## Theorem

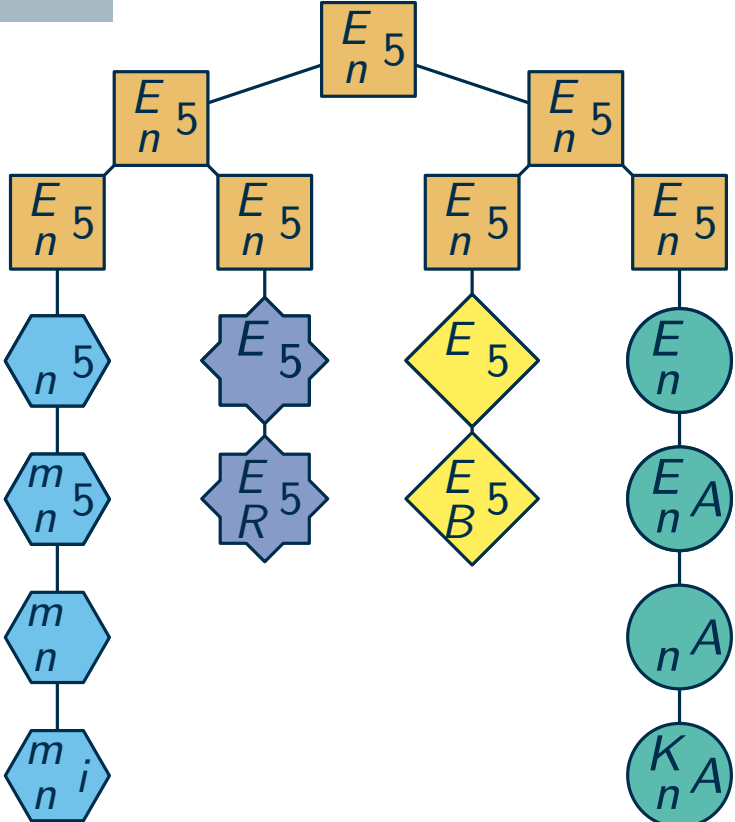
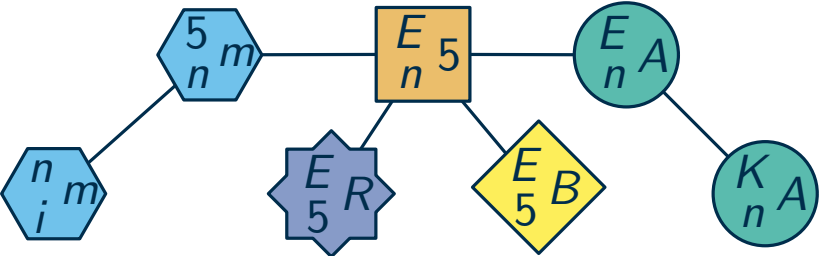
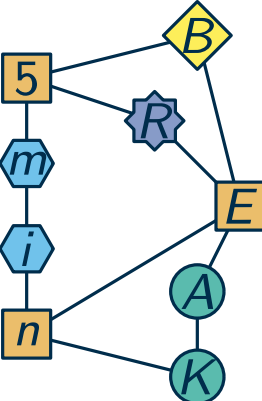
If  $G$  has treewidth  $t$ , then it has a nice tree decomposition of width  $t$ .

# Making things nice

## Theorem

If  $G$  has treewidth  $t$ , then it has a nice tree decomposition of width  $t$ .

## Example



# DP over nice tree decompositions

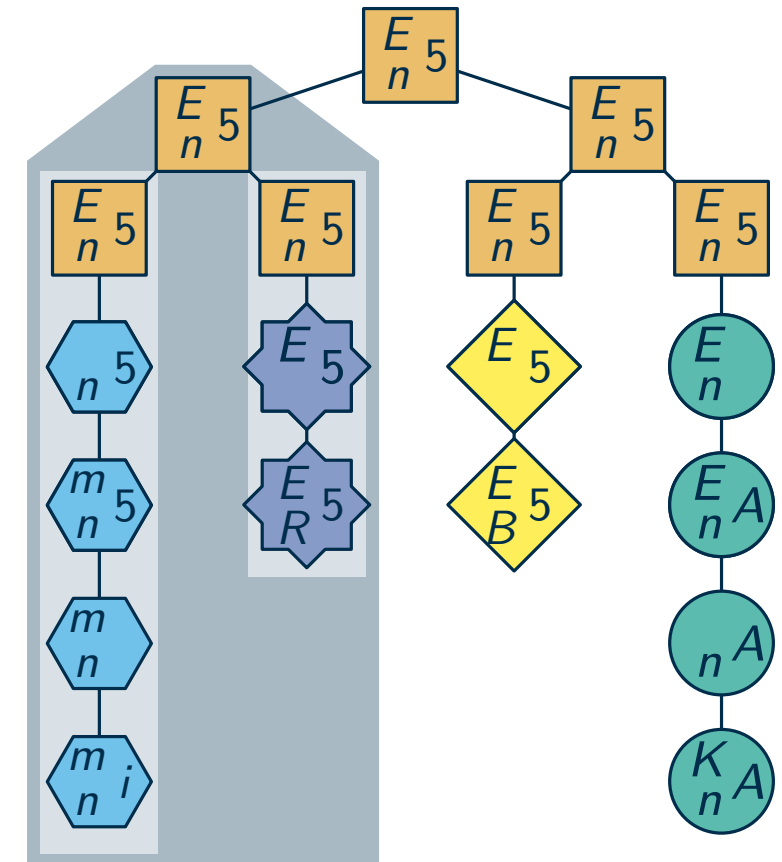
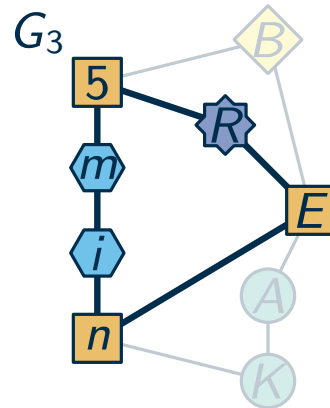
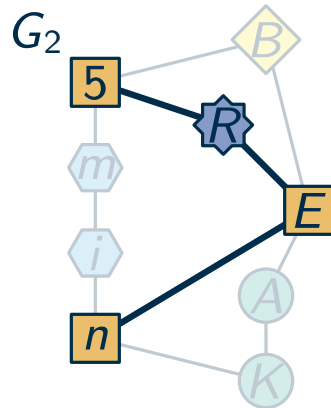
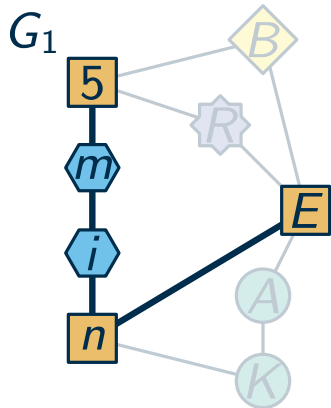
## Reminder: INDEPENDENT SET

- vertex set with no edges
- maximize size
- parameter: treewidth  $t$

## Dynamic programming over a nice tree decomposition

- introduce/forget node: as before for the path decomposition
- join node:  $\emptyset \quad \{E\} \quad \{5\} \quad \{n\} \quad \{E, 5\} \quad \{E, n\} \quad \{n, 5\} \quad \{E, n, 5\}$

$G_1$	1	2	2	2	3	$-\infty$	2	$-\infty$
$G_2$	1	1	1	2	2	$-\infty$	2	$-\infty$
$G_3$	2	2	2	3	3	$-\infty$	2	$-\infty$



# DP over nice tree decompositions

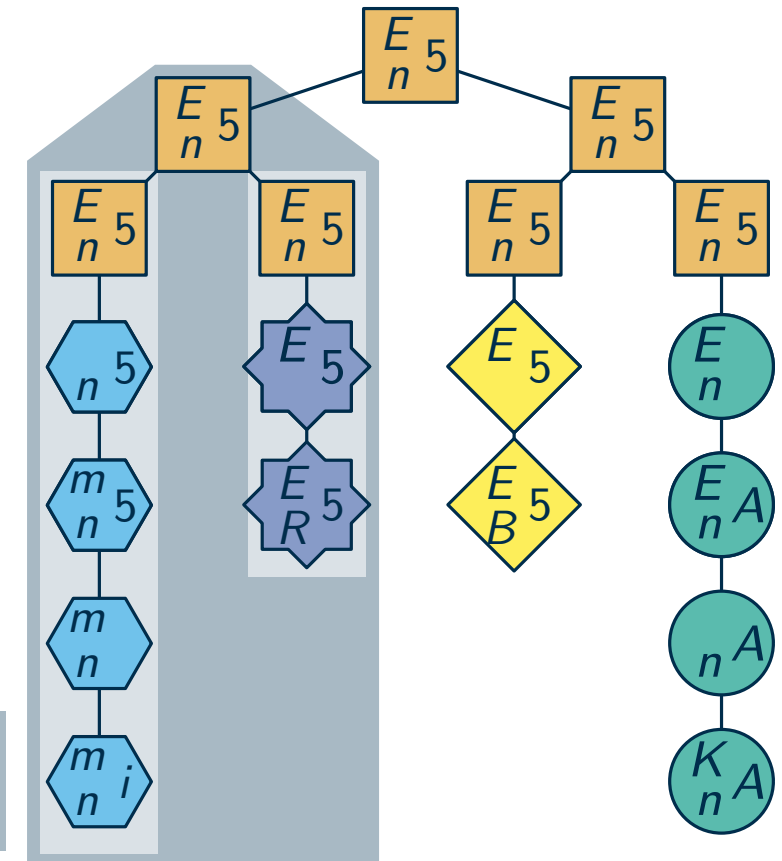
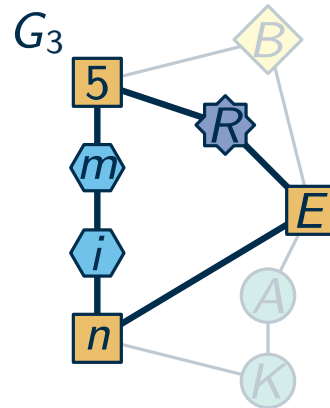
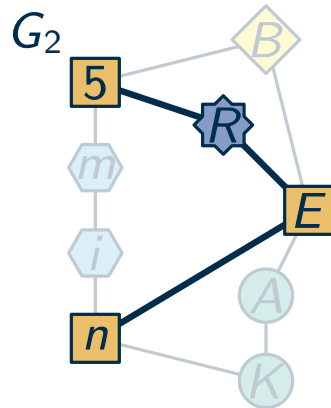
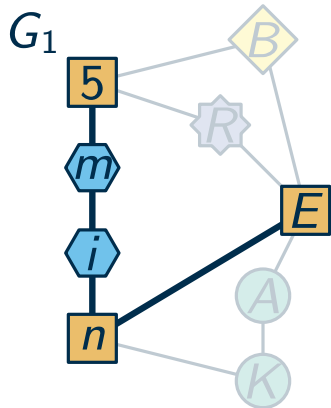
## Reminder: INDEPENDENT SET

- vertex set with no edges
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- introduce/forget node: as before for the path decomposition
- join node:

	$\emptyset$	$\{E\}$	$\{5\}$	$\{n\}$	$\{E, 5\}$	$\{E, n\}$	$\{n, 5\}$	$\{E, n, 5\}$
$G_1$	1	2	2	2	3	$-\infty$	2	$-\infty$
$G_2$	1	1	1	2	2	$-\infty$	2	$-\infty$
$G_3$	2	2	2	3	3	$-\infty$	2	$-\infty$

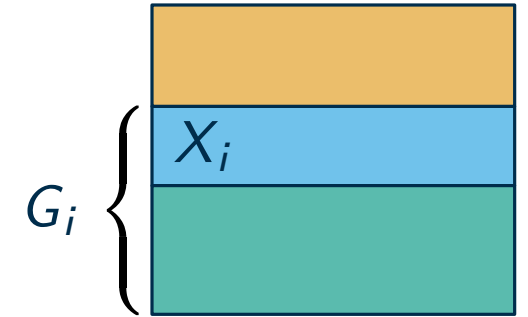


**Theorem:** INDEPENDENT SET is FPT with respect to treewidth.

# DPs on tree decompositions

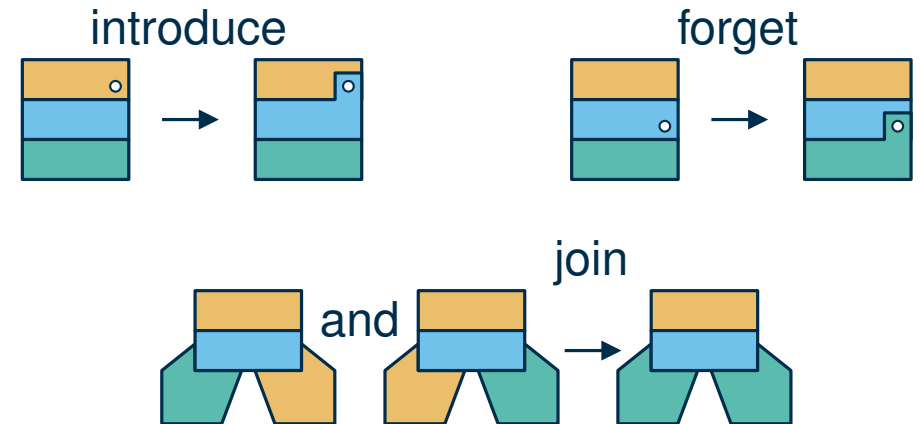
## Notation and basic properties

- for node  $x_i$ :  $V_i = X_i \cup \{v \in V \mid v \in X_j \text{ for successor } x_j \text{ of } x_i\}$
- $G_i = G[V_i]$  and  $X_i$  is the **interface** of  $G_i$
- $X_i$  separates  $G_i$  from the rest



## Node types from the perspective of $G_i$

- **introduce**: add vertex to  $G_i$  and to the interface
- **forget**: remove a vertex from the interface of  $G_i$
- **join**: union two subgraphs with the same interface



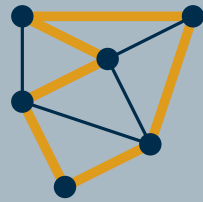
## Desired properties for partial solutions on $(G_i, X_i)$

- for  $G_i = G$ , the actual solution is included
- in every step: all new partial solutions can be computed based on old ones

# DP for Hamiltonian cycle – partial solutions

## Problem: HAMILTONIAN CYCLE

Given a graph  $G$ . Does  $G$  have a cycle that visits all vertices?

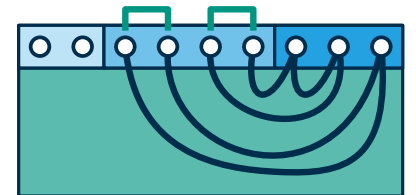
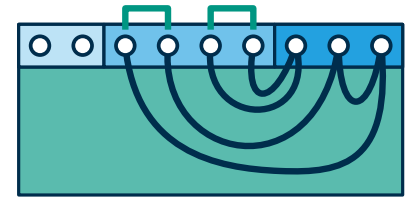
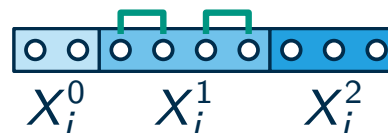


## Goal

- FPT with respect to treewidth
- given: corresponding tree decomposition

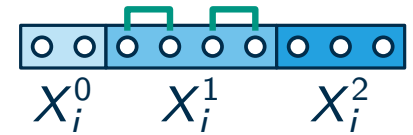
## What is a useful definition for partial solutions?

- observation: Hamiltonian cycle in  $G$  yields a set of paths in  $G_i$
- define partial solution (first try): set of paths in  $G_i$  between interface vertices
- problem: too many sets of paths
- observation: different path sets are indistinguishable from the outside, if
  - same vertices of the interface incident to 0, 1, and 2 selected edges
  - the same pairs of 1-vertices are matched by paths
- define partial solution: partition of  $X_i$  into  $X_i = X_i^0 \cup X_i^1 \cup X_i^2$  and matching on  $X_i^1$



# DP for Hamiltonian cycle – forget node

Partial solution:

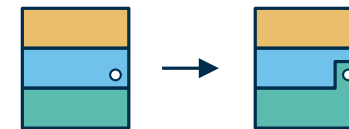


## What does the DP do in general?

- process nodes bottom up
- when node is processed: assume all children have been processed
- invariant after processing  $x_i$ : we know all partial solutions that
  - have corresponding paths in  $G_i$
  - could be extended to a Hamiltonian cycle ( $\rightarrow$  the paths must visit all vertices of  $G_i$  that are not in the interface  $X_i$ )

## Computing new partial solutions: forget node

- let  $v$  be the vertex that is forgotten
- if  $v \in X_i^2$ :

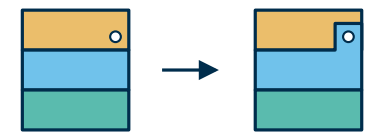


- if  $v \notin X_i^2$ :
  - $v$  has no chance to be part of the Hamiltonian cycle
  - dead end  $\rightarrow$  ignore this partial solution of the child

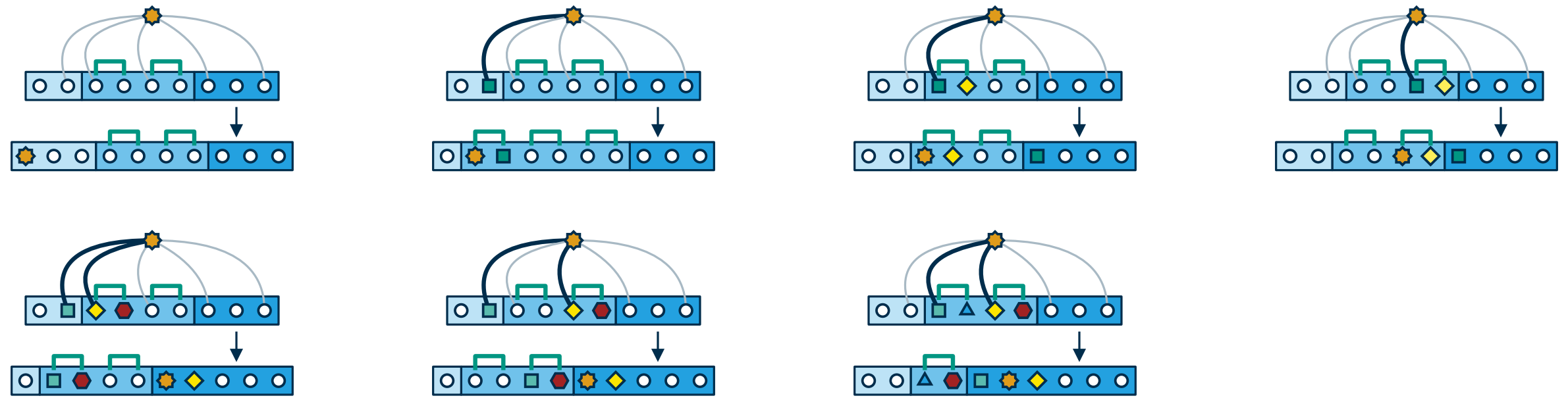
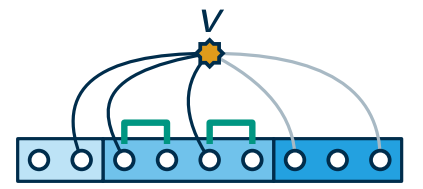
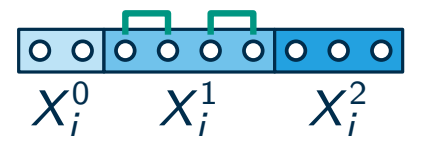
# DP for Hamiltonian cycle – introduce node

## Computing new partial solutions: introduce node

- let  $v$  be the introduced vertex and consider its edges to the interface  $X_i$
- up to two edges incident to  $v$  can be part of a Hamiltonian cycle
  - edges to  $X_i^2$  cannot be selected
  - not both edges to the same matched pair in  $X_i^1$  can be selected



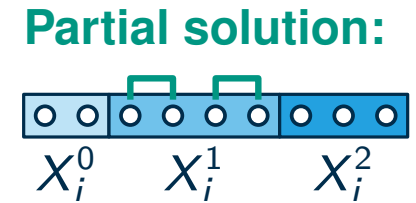
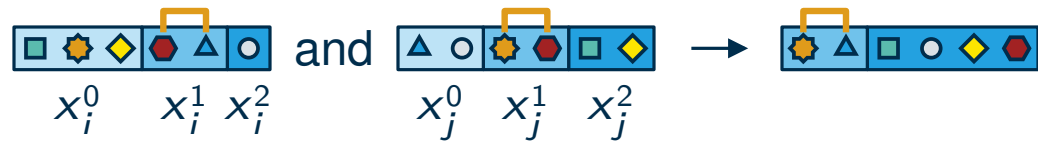
Partial solution:



# DP for Hamiltonian cycle – join node

## Computing new partial solutions: join node

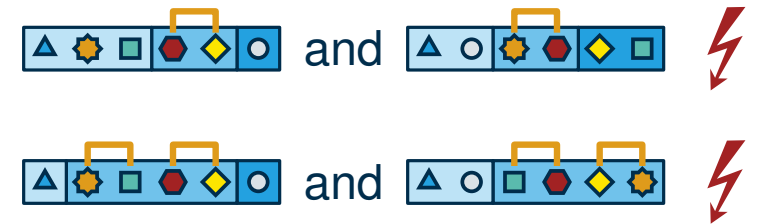
- combining two partial solutions → union of selected edges



- union not possible if:

- for a vertex, the sum of degrees in  $G_i$  and  $G_j$  is  $> 2$
- a cycle is closed

(special case: in the root  $x_r$ , we want to create a single cycle such that all vertices are in  $X_r^2$ )



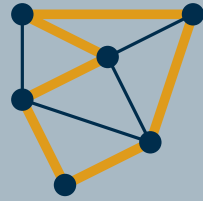
- otherwise:

- sum the vertex degrees to get the partition
- compute which pairs of 1-vertices belong to the same path

# DP for Hamiltonian cycle

## Problem: HAMILTONIAN CYCLE

Given a graph  $G$ . Does  $G$  have a cycle that visits all vertices?



## Goal

- FPT with respect to treewidth
- given: corresponding tree decomposition

## Is the DP's running time FPT?

- for each tree-node: number of partial solutions only depends on bag (aka interface) size
- computing new partial solutions: also only depends on bag size

## Theorem

HAMILTONIAN PATH is FPT with respect to the treewidth  $t$ . (assuming we know a corresponding composition)

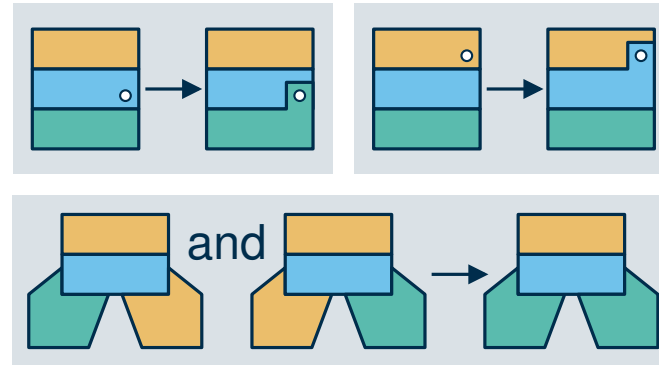
# Wrap-UP

## Treewidth

- structural graph parameter
- measures similarity to trees  
(in regards to separators)

## DP on a tree decomposition

- nice tree decompositions are nice
- difficulty: good notion of “partial solution”
  - number of partial solutions only depends on interface size
  - each node type: all partial solutions of parent computable from all partial solutions of children



## What is the treewidth of real-world graphs?

- a heuristic on two “randomly” chosen graphs yields:
  - links between political blogs:  $n = 642, m = 2280, t \leq 42$
  - co-author network:  $n = 226\,413, m = 716\,460, t \leq 11\,775$