

A background image of a network graph with white nodes and dark teal edges on a teal-to-blue gradient background.

# Parameterized Algorithms

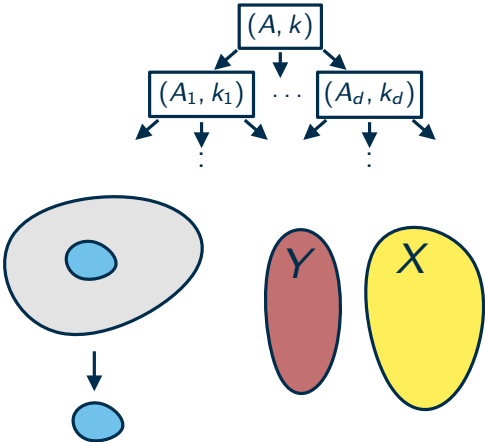
## Color Coding

Thomas Bläsius

# Content

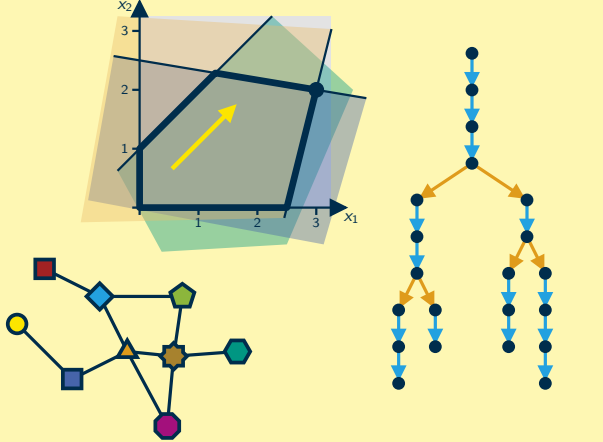
## Basic toolbox

- bounded search trees
- kernelization
- iterative compression



## Extended toolbox

- linear programs
- branch-and-reduce
- color coding



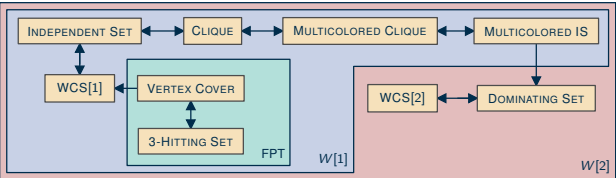
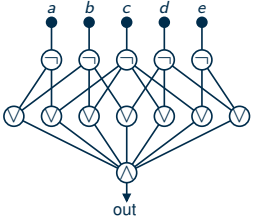
## Tree width

- dynamic programming
- chordal and planar graphs
- Courcelle's theorem



## Lower bounds

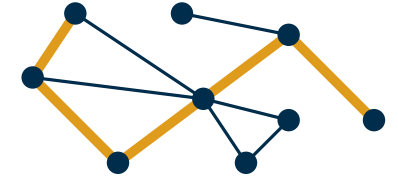
- kernel lower bounds
- parameterized reductions
- circuits and the W-hierarchy
- ETH and SETH



# Best detours

## Problem: LONGEST PATH

Given a graph  $G$  and a parameter  $k$ . Does  $G$  have a  $k$ -vertex path?

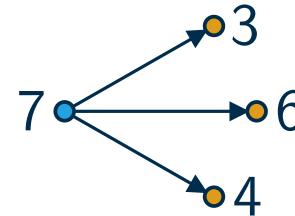


**Complexity:** NP-hard: reduction from HAMILTONIAN PATH → today: FPT

## For directed acyclic graphs (DAGs)

- dynamic program
  - for every vertex  $v$ : longest path starting at  $v$
  - iterate vertices according to topological ordering
- alternative: matrix multiplication
  - let  $A$  be the adjacency matrix and consider  $A^k$
  - entry  $(u, v)$  equals the number of paths of length  $k$  from  $u$  to  $v$

Can we do this in polynomial time?



Why does this not work for undirected graphs?

# Preventing cycles

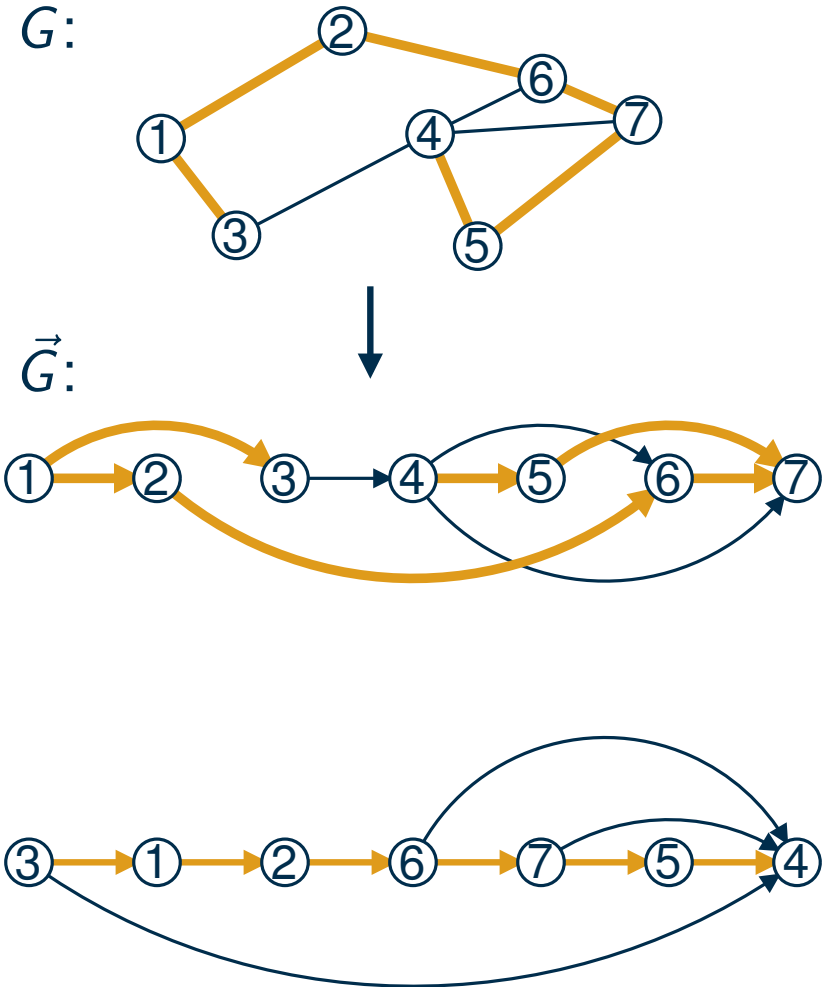
## Idea for undirected graphs

- transform the undirected graph  $G$  into a DAG  $\vec{G}$
- find a longest path in the DAG  $\vec{G}$

**Problem:** not all paths in  $G$  are directed paths in  $\vec{G}$

**But:** there is always a good orientation

**How do we find a good orientation?**



# Randomization

## Construction of the DAG $\vec{G}$

- choose random order for the vertices of  $G$
- direct all edges in  $G$  from front to back

## Proof

- let  $P = v_1, \dots, v_k$
- every order on  $\{v_1, \dots, v_k\}$  has the same probability
- $k!$  events, each happening with the same probability  $\frac{1}{k!}$
- two of these events are good:  $v_1, \dots, v_k$  and  $v_k, \dots, v_1$

## Comments

- success probability only depends on  $k$
- probability can be boosted by repeating the process
- to get constant probability: number of repetitions only depends on  $k$

## Theorem

Let  $P$  be a  $k$ -vertex path  $k$  in  $G$ . Then  $P$  is a directed path in  $\vec{G}$  with probability  $\frac{2}{k!}$ .

# Randomized FPT algorithm

## Theorem

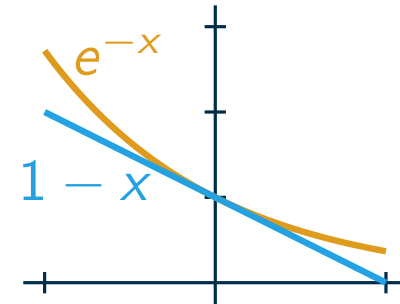
With  $k!$  independent trials of orienting  $\vec{G}$ , the probability to correctly solve LONGEST PATH for  $(G, k)$  is larger than  $\frac{1}{2}$ .

## Theorem

Let  $P$  be a  $k$ -vertex path  $k$  in  $G$ . Then  $P$  is a directed path in  $\vec{G}$  with probability  $\frac{2}{k!}$ .

## Proof

- no-instances are always correctly recognized
- the algorithm fails on yes-instances with probability:
  - $1 - \frac{2}{k!}$  for an individual trial
  - $\left(1 - \frac{2}{k!}\right)^{k!}$  for  $k!$  independent trials



$$\left(1 - \frac{2}{k!}\right)^{k!} \leq \left(e^{-\frac{2}{k!}}\right)^{k!} = e^{-2} < \frac{1}{2}$$

## So what do we have?

- Monte Carlo algorithm with one-sided error

# Taking a step back

## What did we just do?

- wish for some additional structure (vertex order) that makes the problem easier
- for each solution: number of choices for the structure on the solution only depends on  $k$
- probability for bad choice only dependent on  $k$
- probability-boosting: number of trials only depends on  $k$

## Less order, more colors

- color the vertices
- only allow colorful solutions
- show that the colors help:  
FPT-algo for colorful problem
- random colors  $\rightarrow$  error probability only depends on  $k$
- afterwards: derandomization

### Problem: Colorful LONGEST PATH

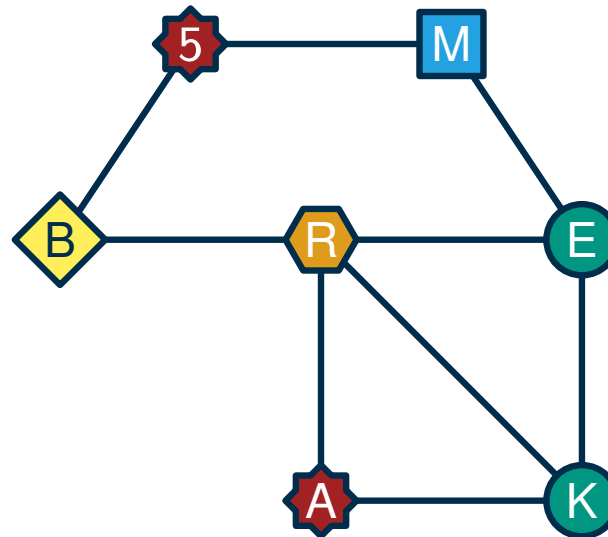
Given a graph  $G = (V, E)$ , a parameter  $k$ , and a partition (coloring)  $V_1, \dots, V_k$  of  $V$ , is there a colorful  $k$ -vertex path?  
(a path is colorful if it contains at most one vertex from each  $V_i$ )

# Colorful paths

## Problem: **Colorful** LONGEST PATH

Given a graph  $G = (V, E)$ , a parameter  $k$ , and a partition (coloring)  $V_1, \dots, V_k$  of  $V$ , is there a colorful  $k$ -vertex path?  
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How long is the longest colorful path?

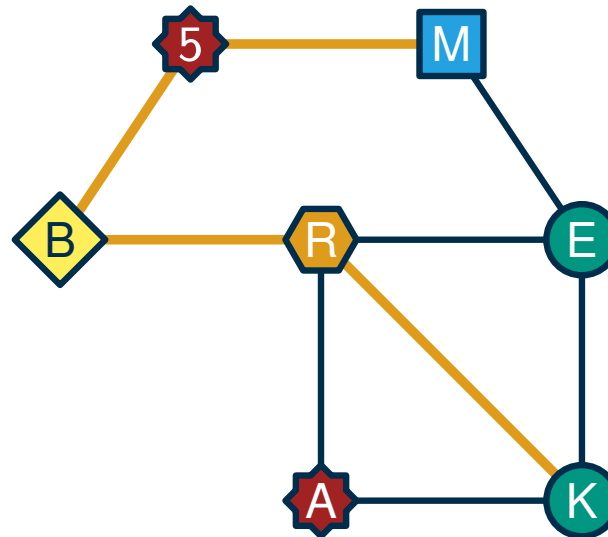


# Colorful paths

## Problem: **C**olorful **L**ONGEST **P**ATH

Given a graph  $G = (V, E)$ , a parameter  $k$ , and a partition (coloring)  $V_1, \dots, V_k$  of  $V$ , is there a colorful  $k$ -vertex path?  
(a path is colorful if it contains at most one vertex from each  $V_i$ )

How long is the longest colorful path?



# How do colors help?

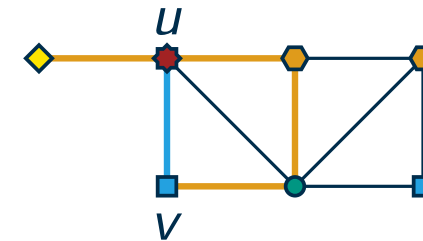
## Solution for DAGs

- path of length  $\ell$  from  $v$  and  $uv \in E \Rightarrow$  path of length  $\ell + 1$  from  $u$



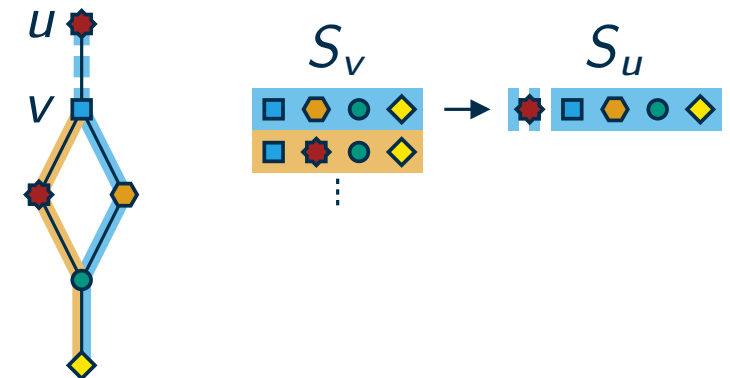
## Undirected graphs

- problem: path of length  $\ell$  from  $v$  might already contain  $u$
- idea: remember vertices visited so far  $\rightarrow n^\ell$  possibilities
- better: remember colors visited so far  $\rightarrow 2^k$  possibilities



## Dynamic program

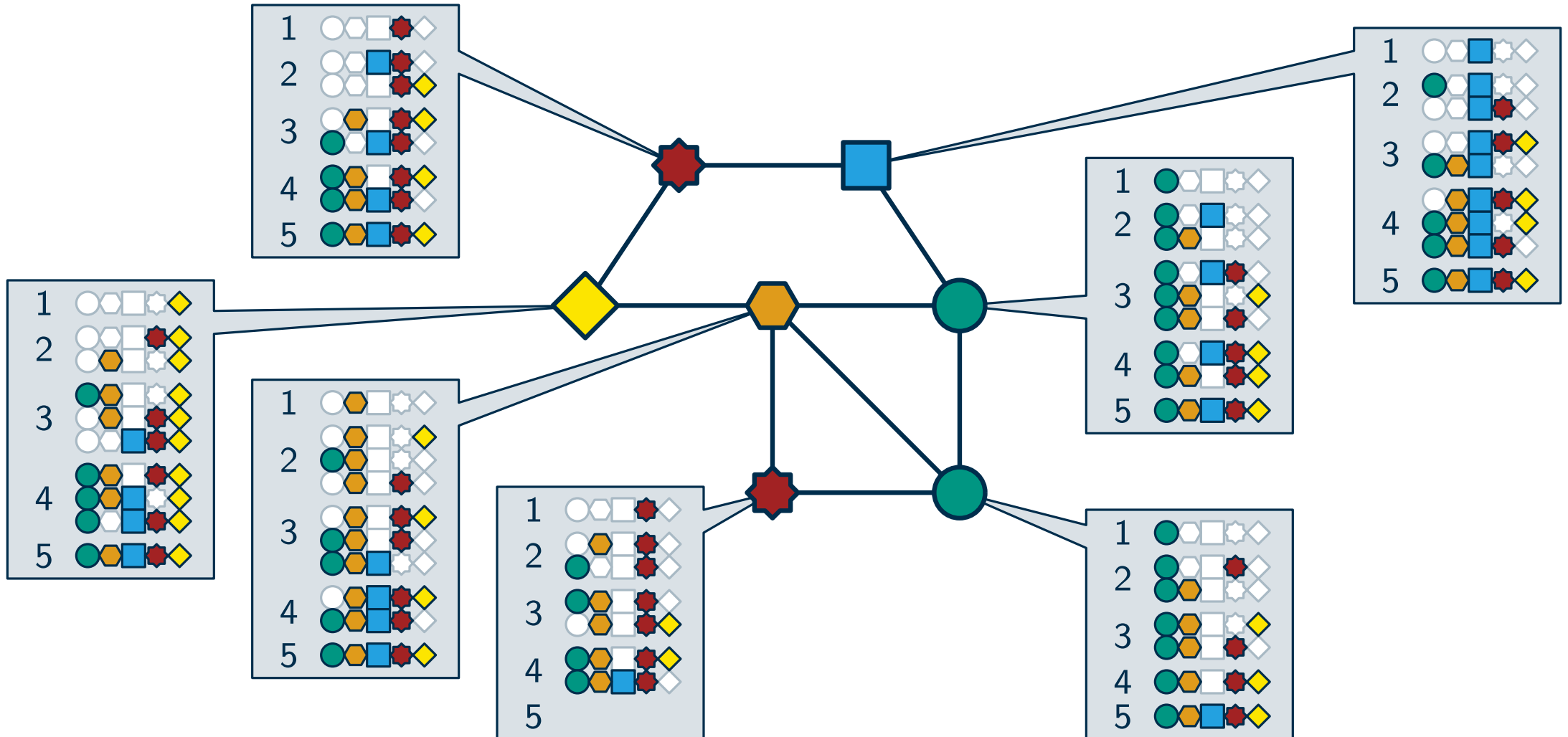
- for every vertex  $v$ : compute for which color sets  $S_v \subseteq [k]$  a path with these colors starting at  $v$  exists
- consider the color sets in increasing size
- color sets of size  $\ell + 1$  can be computed from color sets of size  $\ell$  of the neighbors



# Dynamic program

## Theorem

COLORFUL LONGEST PATH can be solved in  $O(2^k km)$  time.



# Random colors



Wassily Kandinsky: Color Study Squares with Concentric Circles

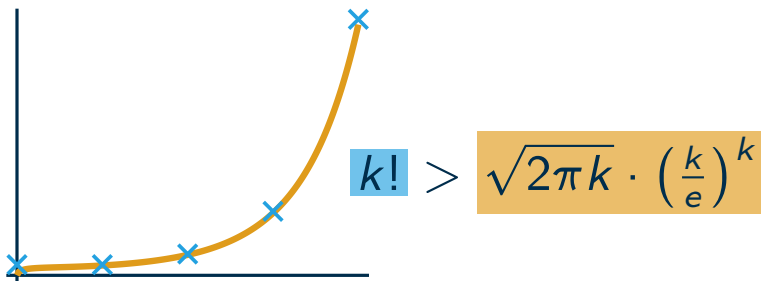
## Plan

- color vertices and solve COLORFUL LONGEST PATH
- problem: a path on  $k$  vertices is maybe not colorful
- solution: for every vertex, randomly choose one of  $k$  colors (uniformly, independent)

## Theorem

Let  $P$  be a  $k$ -vertex path. Then  $P$  is a colorful path with probability  $\geq \frac{1}{e^k}$ .

## Stirling's approximation



## Proof

- let  $P = v_1, \dots, v_k$
- every color combination of  $v_1, \dots, v_k$  is equally likely
- $k^k$  events, each happening with probability  $\frac{1}{k^k}$
- $k!$  of these events are good
- thus:  $\frac{k!}{k^k} > \frac{1}{e^k}$

## Probability boosting

- $\Theta(e^k)$  repetitions  $\rightarrow$  constant success probability

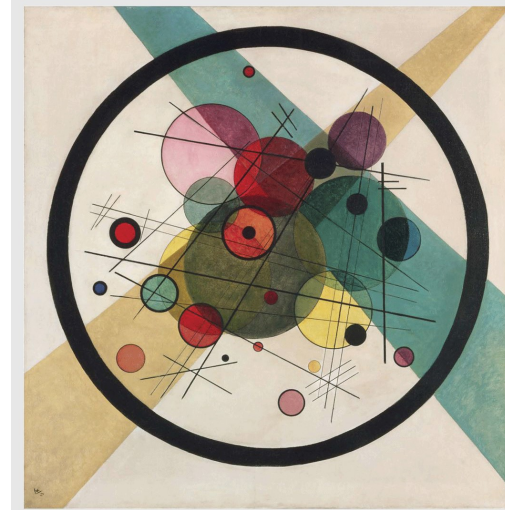
# Derandomization

## Random colorings

- enough random colorings  
→ one is likely good

## Deterministic colorings

- cleverly choose multiple colorings  
such that at least one is good



Wassily Kandinsky  
Circles in a Circle

## Make a wish

- set of colorings of  $n$  elements (vertices) with  $k$  colors
  - for every  $k$ -element subset there is a coloring making it colorful
- }
- $(n, k)$
- perfect hash family

### Theorem (without proof)

An  $(n, k)$ -perfect hash family of size  $O(6.4^k \log^2 n)$  can be constructed in  $O(6.4^k n \log^2 n)$  time.

### Theorem (DP from earlier)

COLORFUL LONGEST PATH can be solved in time  $O(2^k km)$ .

### Theorem (directly follows)

LONGEST PATH can be solved in time  $O(12.8^k km \log^2 n)$ .

# Color Coding

## General Approach

- defined colored problem variant (with prefix COLORFUL or MULTICOLORED)
- design FPT-algorithm for the colored problem
- show:
  - no-instance becomes colored no-instance
  - yes-instance becomes colored yes-instance for  $\geq 1$  coloring from a perfect hash family

## Tips for color coding

- look at a solution of an instance
- identify a witness of size only depending on  $k$  that certifies that it is a solution
- require that this witness is colorful

# SET SPLITTING

## Problem: SET SPLITTING

Given a hypergraph  $\mathcal{H} = (V, \mathcal{E})$  with vertices  $V$  and hyperedges  $\mathcal{E} \subseteq 2^V$ , and a parameter  $s$ .  
Is there a set  $X \subseteq V$  such that  $X$  splits at least  $s$  edges of  $\mathcal{H}$ ?

(essentially MAX CUT for hypergraphs)

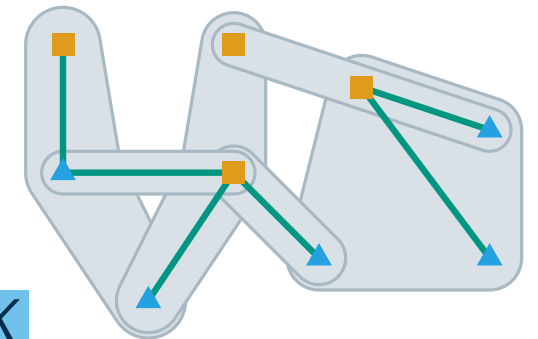
$X$  splits  $E \in \mathcal{E}$ :  $E \cap X \neq \emptyset$  and  $E \not\subseteq X$

**Example:** Is there a set  $X$  that splits all hyperedges?

## First step of color coding

- identify a solution witness of size only depending on  $s$
- here:  $X$  splits  $E \in \mathcal{E} \Rightarrow E$  contains a vertex from  $X$  and one from  $V \setminus X$
- there is a bi-colored pair for each split hyperedge  $\rightarrow$  witness of size  $\leq 2s$

■ :  $X$   
▲ :  $V \setminus X$



## Same but different

- randomly assign one of two colors to each vertex
  - probability of bi-colored witness pairs:  $\approx \frac{1}{2^s}$
- }  $\Theta(2^s)$  trials  $\rightarrow$  constant success probability

# Derandomization: Universal sets

## Random 2-colorings

- enough random 2-colorings  
→ one is likely good

## Deterministic 2-colorings

- cleverly choose multiple 2-colorings  
such that at least one is good

## Make a wish

- set of colorings of  $n$  elements (vertices) with two colors
  - every  $k$ -element subset is colored in every possible way
- }  $(n, k)$ -universal set

### Theorem (without proof)

An  $(n, k)$ -universal set can be computed on  $O(2^{k+o(k)} \cdot n)$  time.

### Theorem

SET SPLITTING can be solved in  $4^{s+o(s)} \cdot n^{O(1)}$  time.

**Note:** witness has size  $2s$   
→ choose  $k = 2s$

# Wrap-Up

## Randomization

- guessing additional structure enables new solution approaches
- combines well with FPT if it suffices to be lucky on a substructure of size  $f(k)$
- randomization is only an intermediate step  $\rightarrow$  we can often derandomize

## Color coding

- coloring or partitioning yields useful additional structure
- central tools: perfect hash families and universal sets
- formally, color coding is some kind of Turing reduction