

Parameterized Algorithms

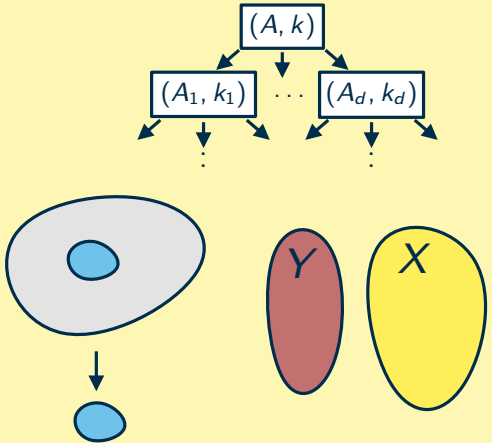
Iterative Compression: FEEDBACK VERTEX SET

Thomas Bläsius

Content

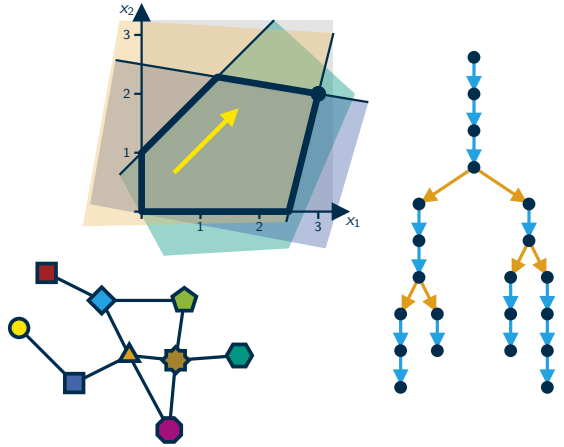
Basic toolbox

- bounded search trees
- kernelization
- iterative compression



Extended toolbox

- linear programs
- branch-and-reduce
- color coding



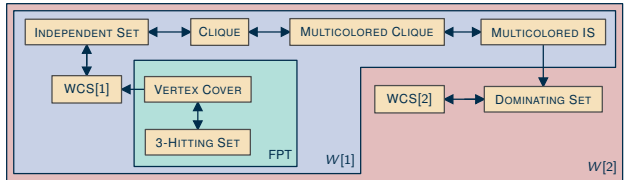
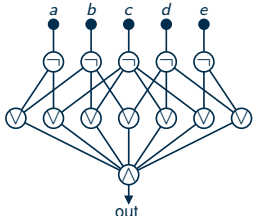
Tree width

- dynamic programming
- chordal and planar graphs
- Courcelle's theorem



Lower bounds

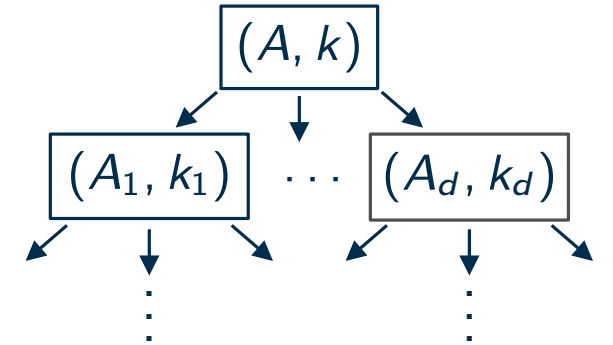
- kernel lower bounds
- parameterized reductions
- circuits and the W-hierarchy
- ETH and SETH



Recap: Basic FPT-techniques

Bounded search tree

- for instance (A, k) compute in time n^c instances $(A_1, k_1), \dots, (A_d, k_d)$, such that:
 (A, k) yes instance $\Leftrightarrow (A_i, k_i)$ yes instance for an $i \in [1, d]$
- bound d by a function $f_1(k)$
- bound tree height by $f_2(k)$ (example: parameter shrinks in each step)
- \Rightarrow FPT-algo with running time $O(f_1(k)^{f_2(k)} n^c)$



Kernelization

- successively apply safe reduction rules
- show: what remains is a core, whose size only depends on k

Iterative compression

- compression algo: solve the problem under the assumption of knowing a slightly larger solution
- grow the instance step by step and maintain a small solution via repeated compression

FEEDBACK VERTEX SET and tournament graphs

Problem: FEEDBACK VERTEX SET

Given a directed graph $G = (V, E)$ and a parameter k .

Is there a feedback vertex set of size k ?

($F \subseteq V$ is a *feedback vertex set* if $G - F$ is acyclic)

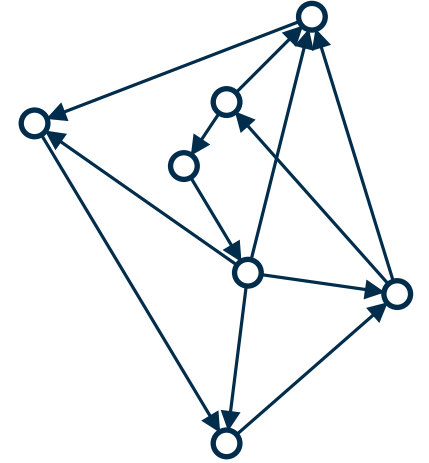
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Example



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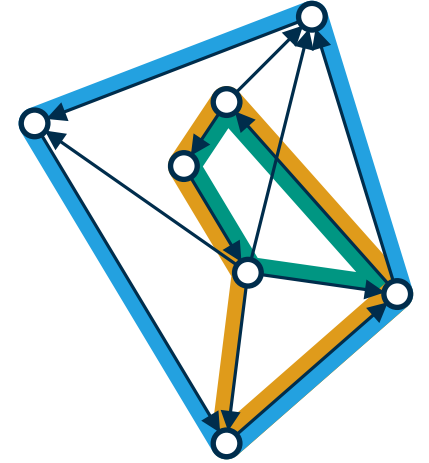
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- not acyclic



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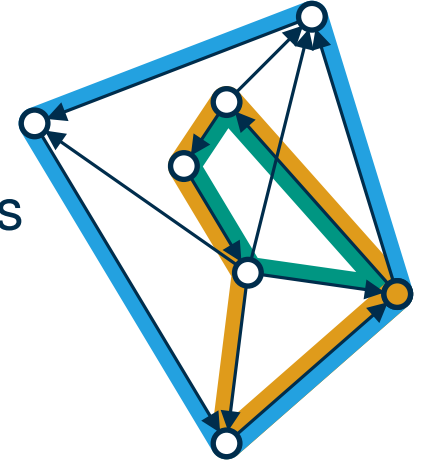
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- all directed cycles include ●



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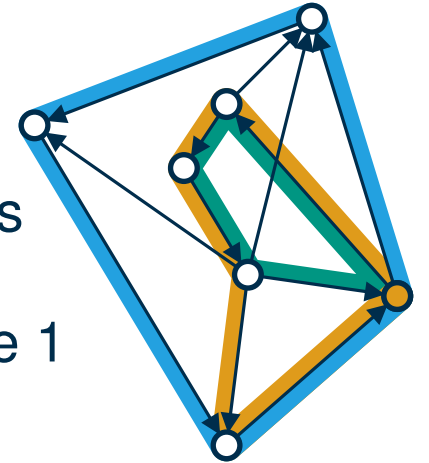
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- {●} is FVS of size 1



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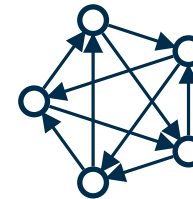
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Definition

A directed graph $G = (V, E)$ is a **tournament graph** if for every two different $u, v \in V$ either $uv \in E$ or $vu \in E$.

Example

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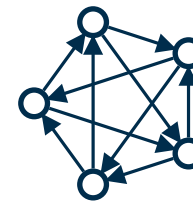
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Goal

- FPT algorithm for FEEDBACK VERTEX SET on tournament graphs
- use iterative compression

Example

- not acyclic
- all directed cycles include ●
- {●} is FVS of size 1



Compression problem

Problem: FEEDBACK VERTEX SET COMPRESSION

Given a directed graph, a parameter k , and a FVS of size $k + 1$. Is there a FVS of size k ?

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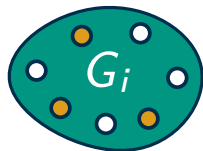
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$$V_i = \{v_1, \dots, v_i\}$$

$$G_i = G[V_i]$$

FVS of size k in G_i



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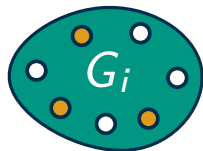
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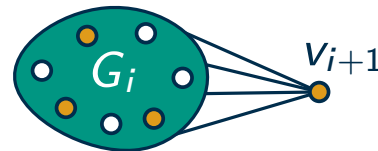
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FVS of size k in G_i



add v_{i+1} to G_i
and to the FVS

FVS of size $k + 1$ in G_{i+1}



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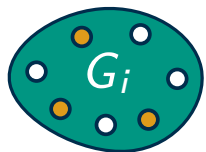
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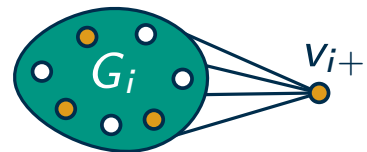
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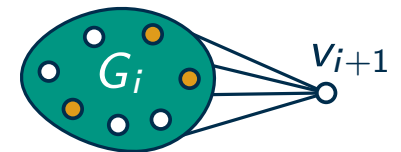
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FVS of size $k + 1$ in G_{i+1}



run compression

FVS of size k in G_{i+1}



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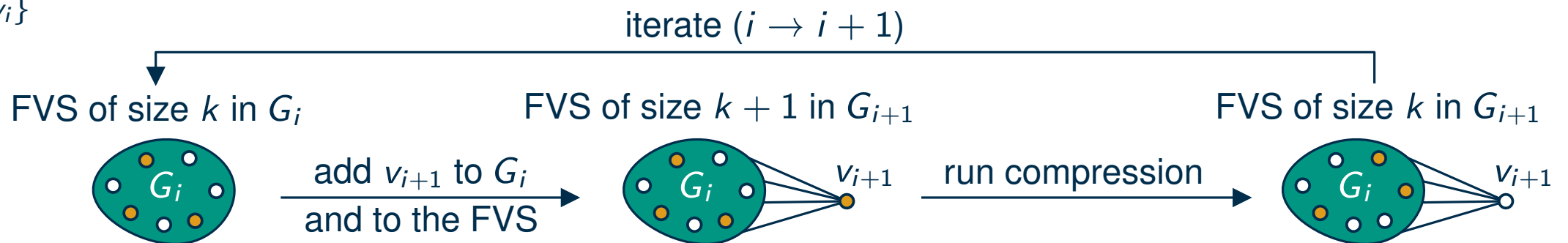
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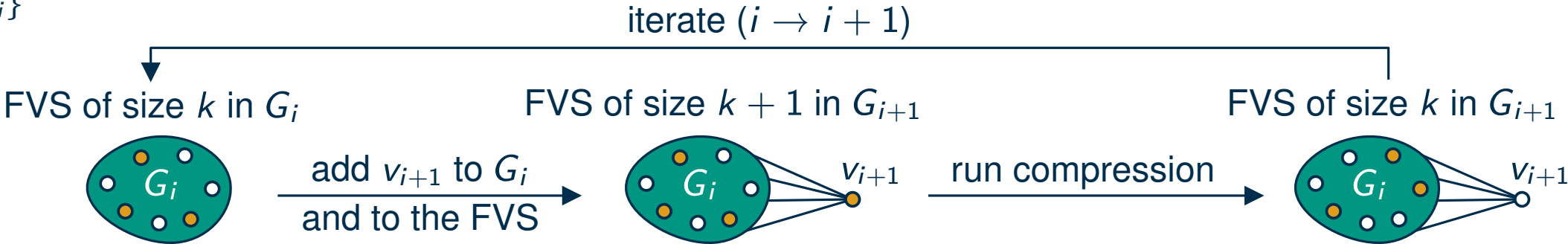
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What is missing for a complete algorithm?

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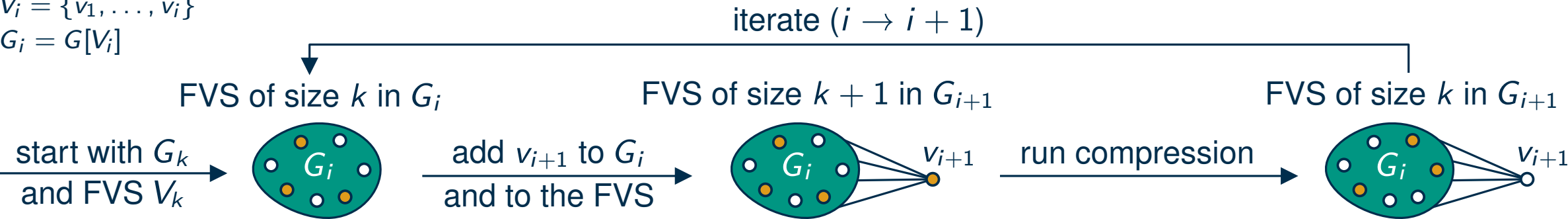
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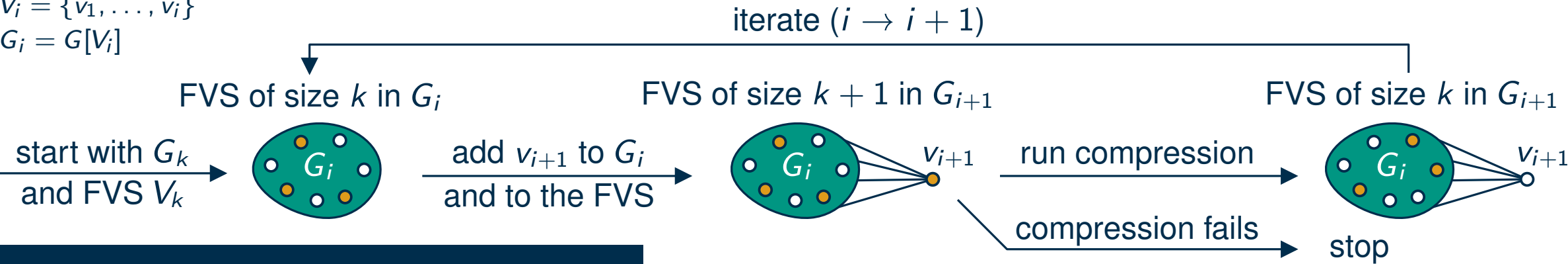
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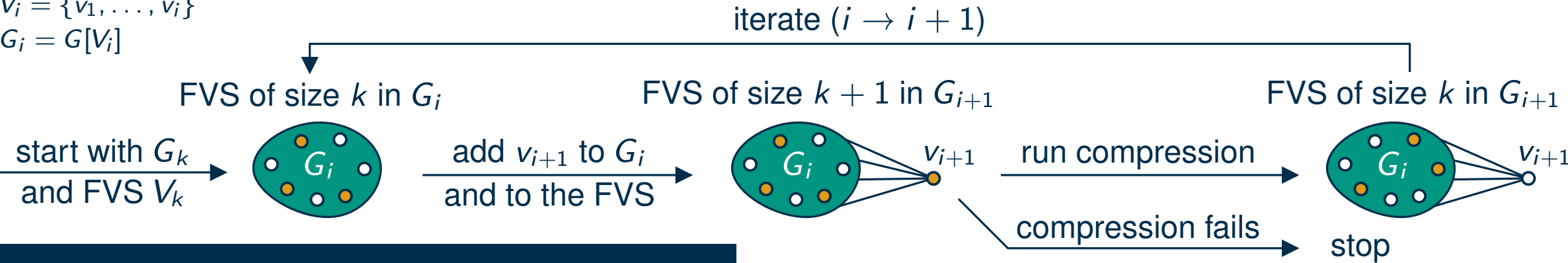
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Where do we need problem-specific arguments?

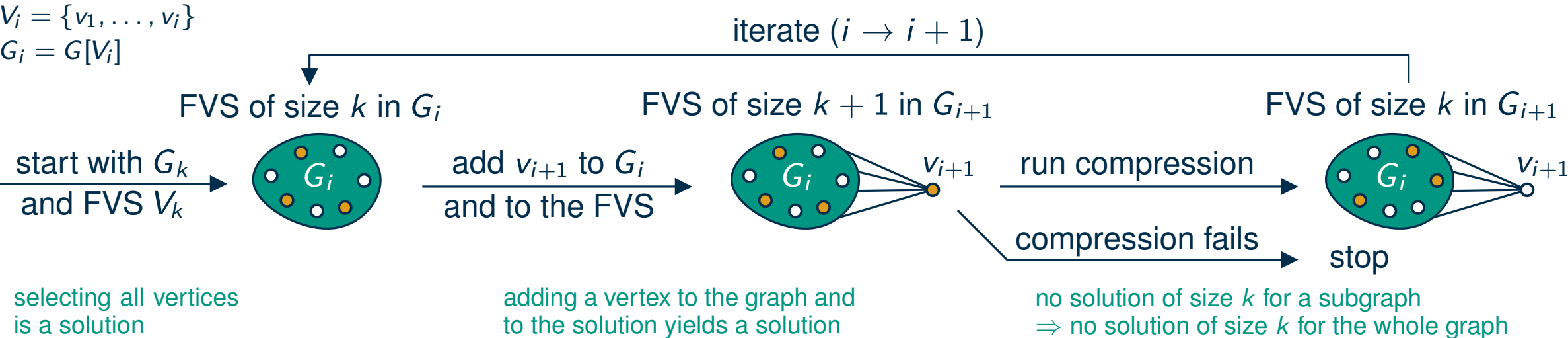
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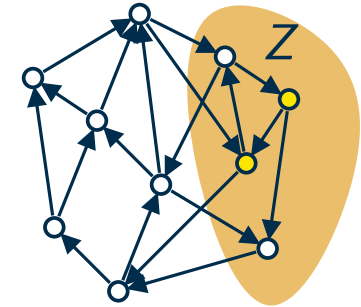


Disjoint solutions

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Idea



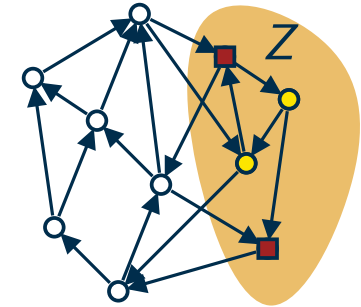
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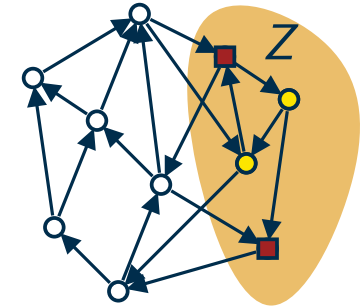
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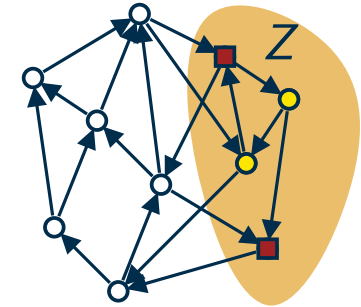
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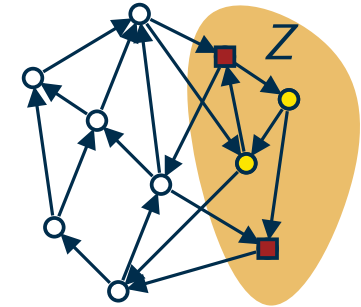
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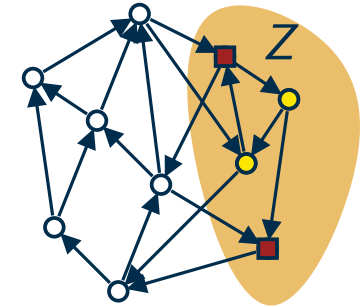
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$f(k) \cdot g(n)$ -algo for DISJOINT FVS $\Rightarrow O\left(\sum_{i=0}^k \binom{k+1}{i} f(k-i) \cdot g(n)\right)$ -algo for FVS COMPRESSION

Side-quest: Specific running times

Lemma

If we can solve DISJOINT FVS in $f(k) \cdot g(n)$ time, then we can solve FVS COMPRESSION in $O\left(\sum_{i=0}^k \binom{k+1}{i} f(k-i) \cdot g(n)\right)$ time.

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- it holds that: $\sum_{i=0}^k \binom{k+1}{i} = 2^{k+1} - 1$
- resulting running time: $O\left(\sum_{i=0}^k \binom{k+1}{i} \cdot g(n)\right) = O(2^k \cdot g(n))$

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- it holds that: $\sum_{i=0}^k \binom{k+1}{i} \alpha^{k-i} \leq (k+1) \cdot \sum_{i=0}^k \binom{k}{i} \alpha^{k-i}$

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Reminder

$$(a + b)^k =$$

Side-quest: Specific running times

Lemma

If we can solve DISJOINT FVS in $f(k) \cdot g(n)$ time, then we can solve FVS COMPRESSION in $O\left(\sum_{i=0}^k \binom{k+1}{i} f(k-i) \cdot g(n)\right)$ time.

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Reminder

$$(a + b)^k = \sum_{i=0}^k \binom{k}{i} a^i b^{k-i}$$

Side-quest: Specific running times

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DISJOINT-(PROBLEM) solvable in time $\alpha^k \cdot g(n)$

- it holds that: $\sum_{i=0}^k \binom{k+1}{i} \alpha^{k-i} \leq (k+1) \cdot \sum_{i=0}^k \binom{k}{i} \alpha^{k-i}$
- resulting running time: $O(k(1 + \alpha)^k \cdot g(n))$

Reminder

$$(a + b)^k = \sum_{i=0}^k \binom{k}{i} a^i b^{k-i}$$

What did we do so far?

Problem: DISJOINT FEEDBACK VERTEX SET

Given a directed graph, a parameter k , and a FVS Y of size $k + 1$. Is there a FVS F of size k with $F \cap Y = \emptyset$?

Lemma

If we can solve DISJOINT FVS in $f(k) \cdot g(n)$ time, then we can solve FVS COMPRESSION in $O\left(\sum_{i=0}^k \binom{k+1}{i} f(k-i) \cdot g(n)\right)$ time.

Lemma

If we can solve FVS COMPRESSION in $f(k) \cdot g(n)$ time, we can solve FVS in $O(f(k) \cdot g(n) \cdot n)$ time.

What did we do so far?

Problem: DISJOINT FEEDBACK VERTEX SET

Given a directed graph, a parameter k , and a FVS Y of size $k + 1$. Is there a FVS F of size k with $F \cap Y = \emptyset$?

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Goal

- FPT-algo for FVS in tournament graphs

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Goal

- FPT-algo for FVS in tournament graphs
- sufficient: FPT-algo for DISJOINT FVS in tournament graphs

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Goal

- FPT-algo for FVS in tournament graphs
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Note

- so far, we did not use that G is a tournament graph

What did we do so far?

Problem: DISJOINT FEEDBACK VERTEX SET

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Goal

- FPT-algo for FVS in tournament graphs
- sufficient: FPT-algo for DISJOINT FVS in tournament graphs

Note

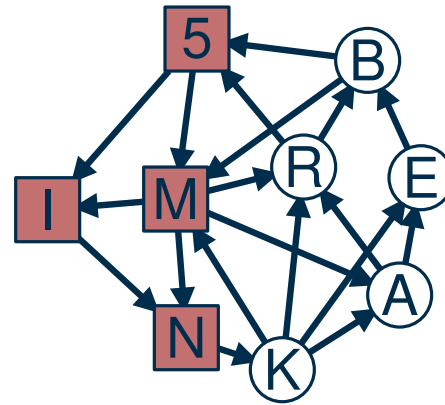
- so far, we did not use that G is a tournament graph
- every induced subgraph of a tournament graph is a tournament graph

Find a minimum FVS disjoint to Y

Problem: DISJOINT FEEDBACK VERTEX SET

Given a directed graph, a parameter k , and a FVS Y of size $k + 1$. Is there a FVS F of size k with $F \cap Y = \emptyset$?

$$Y = \{5, M, I, N\}$$

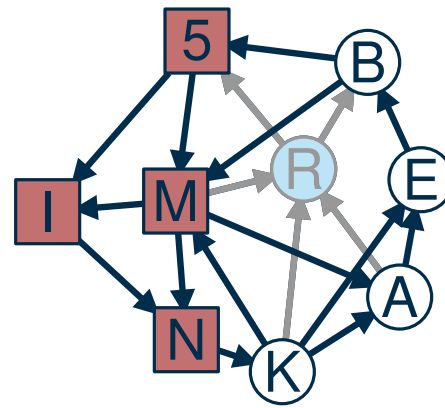


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Solution

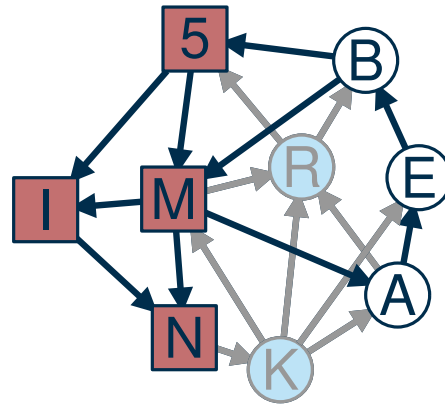
- R must be chosen (because of the triangle $5MR$)

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Problem: DISJOINT FEEDBACK VERTEX SET

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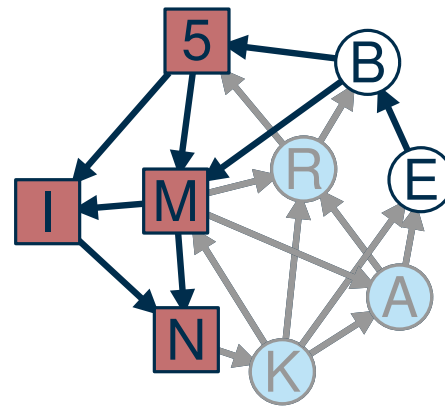
- R must be chosen (because of the triangle $5MR$)
- K must be chosen (because of the triangle MNK)

Find a minimum FVS disjoint to Y

Problem: DISJOINT FEEDBACK VERTEX SET

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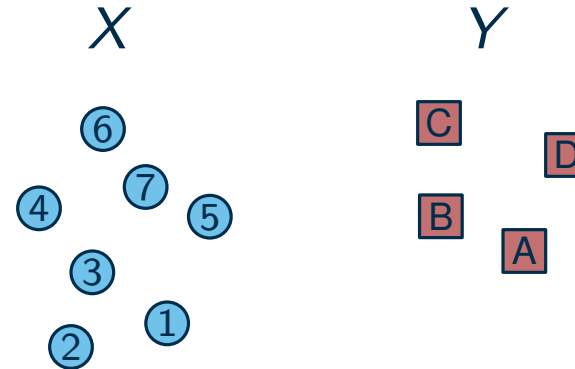
Solution

- R must be chosen (because of the triangle $5MR$)
- K must be chosen (because of the triangle MNK)
- additionally, one must choose B , E , or A

DISJOINT FVS in tournament graphs

Situation and basic observations

- Y is given FVS of size $k + 1 \Rightarrow G[X]$ is acyclic

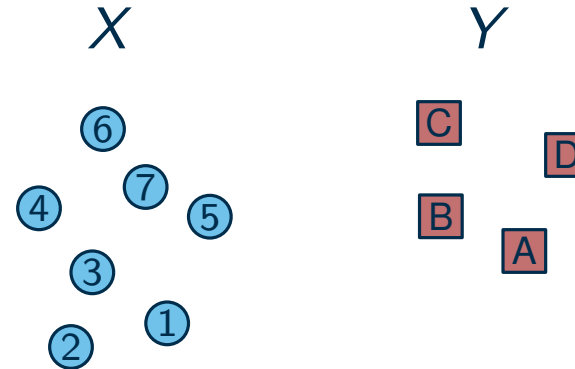


DISJOINT FVS in tournament graphs

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- Y is given FVS of size $k + 1 \Rightarrow G[X]$ is acyclic
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Why?

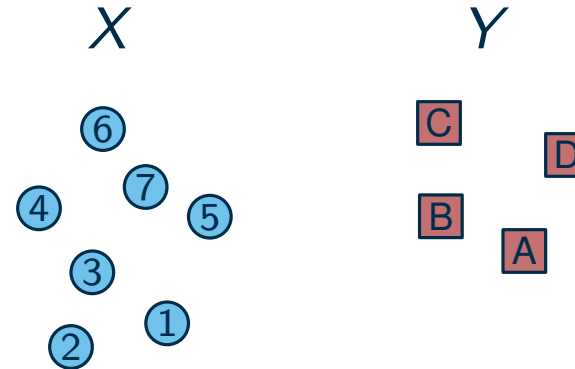


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(otherwise: no-instance)

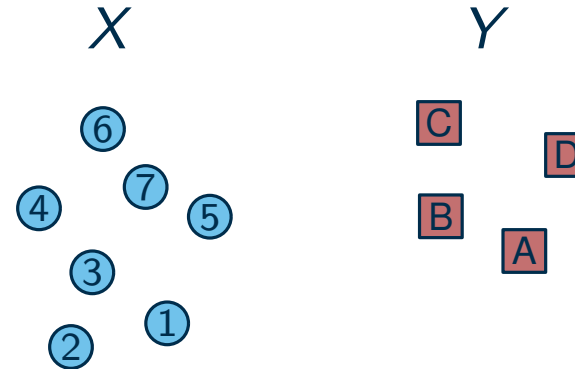
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total order of $G[X]$



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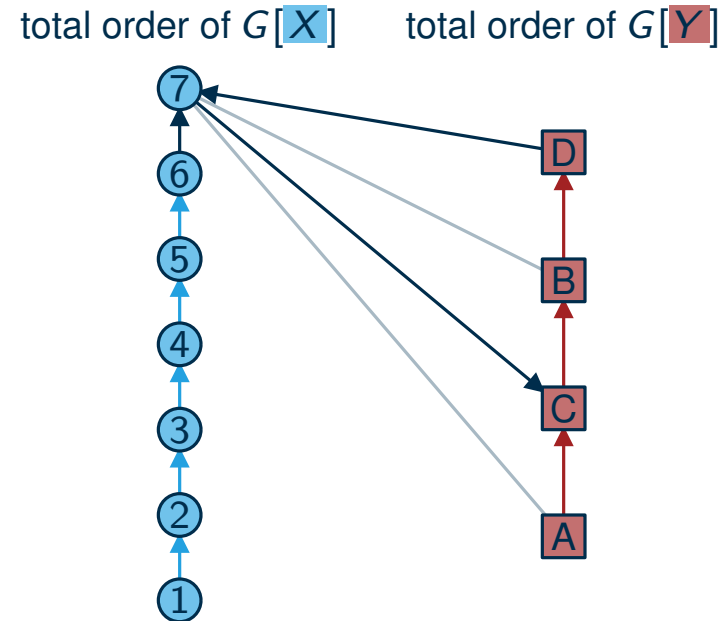
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Single-vertex conflicts for $x \in X$

- $G[Y] + x$ cyclic \rightarrow delete x and reduce k by 1



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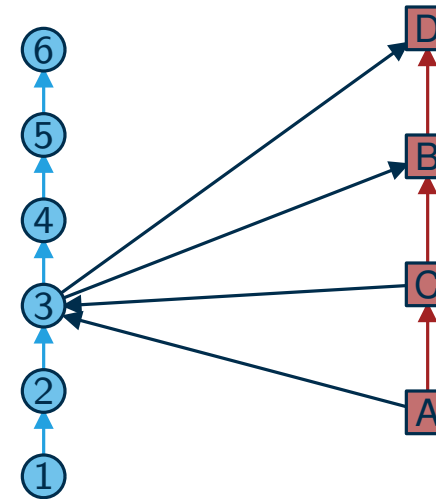
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DISJOINT FVS in tournament graphs

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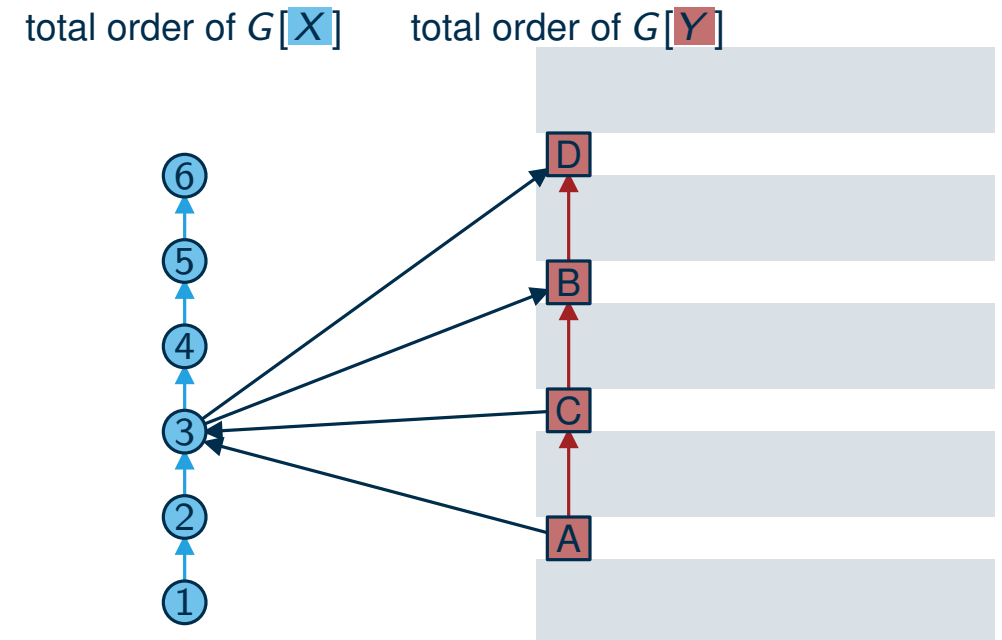
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(Partial) order on X induced by Y

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DISJOINT FVS in tournament graphs

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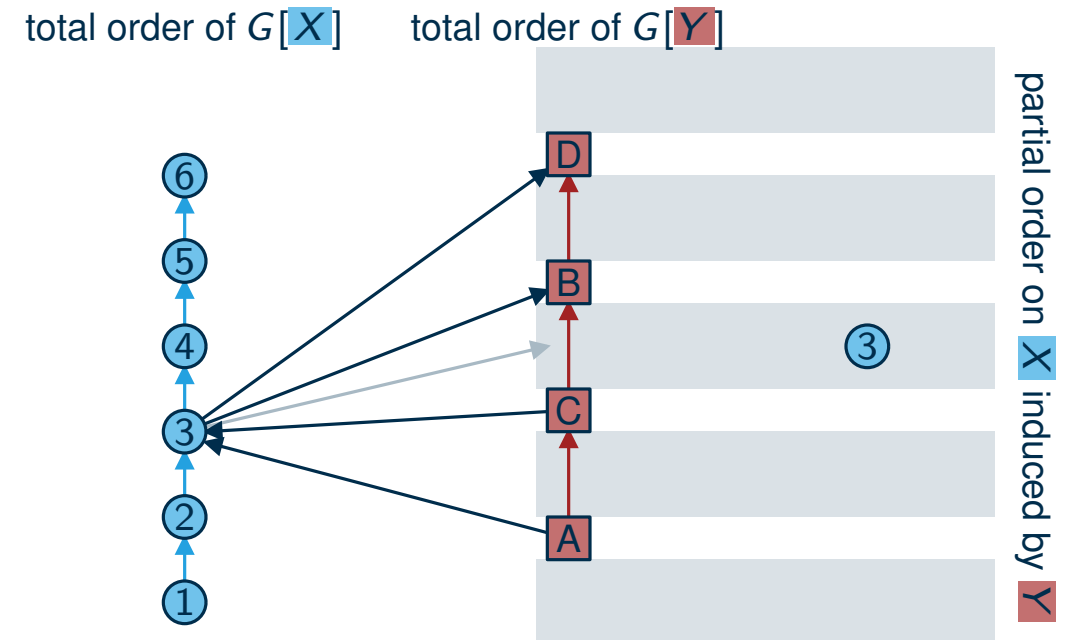
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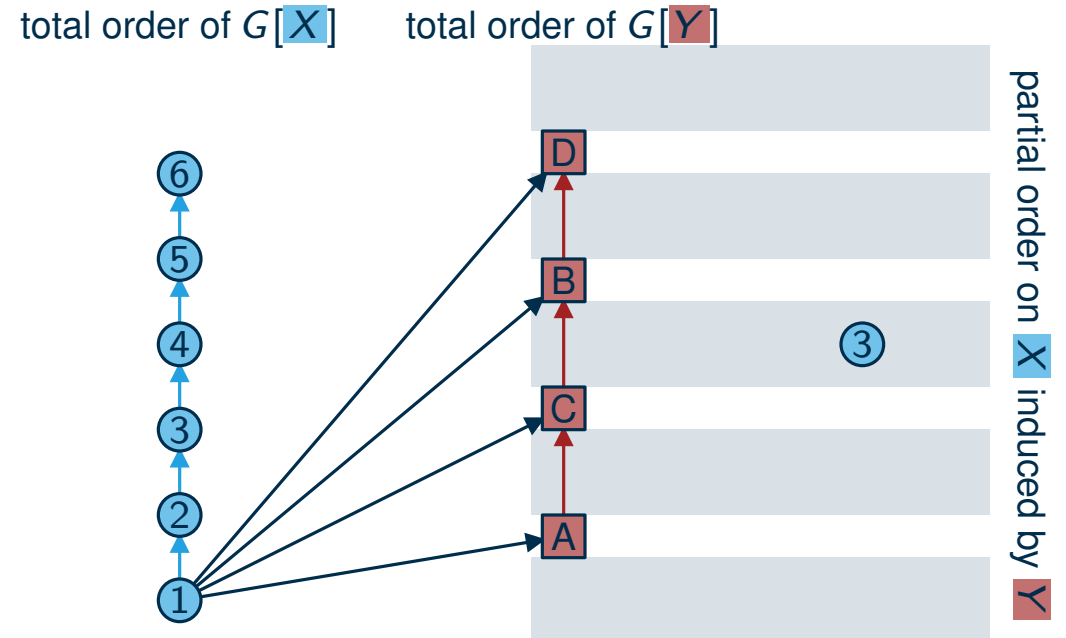
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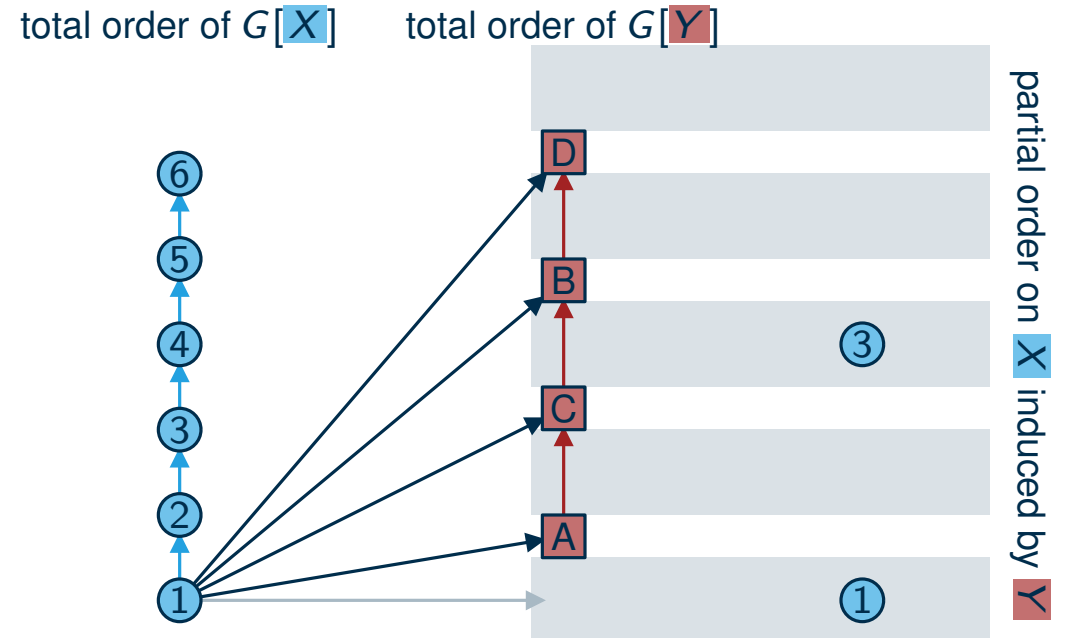
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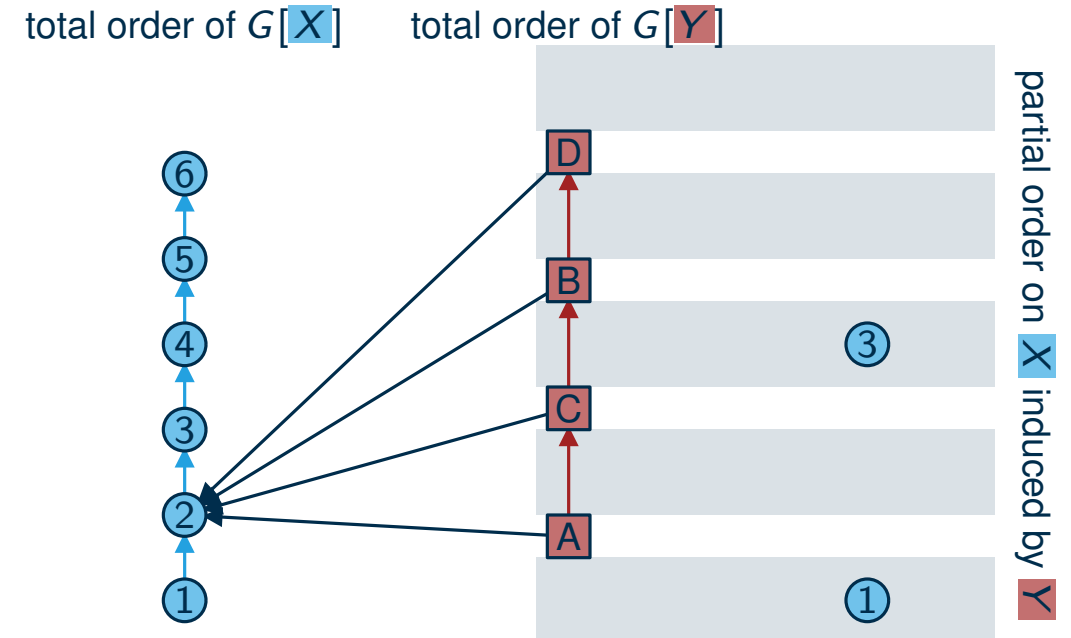
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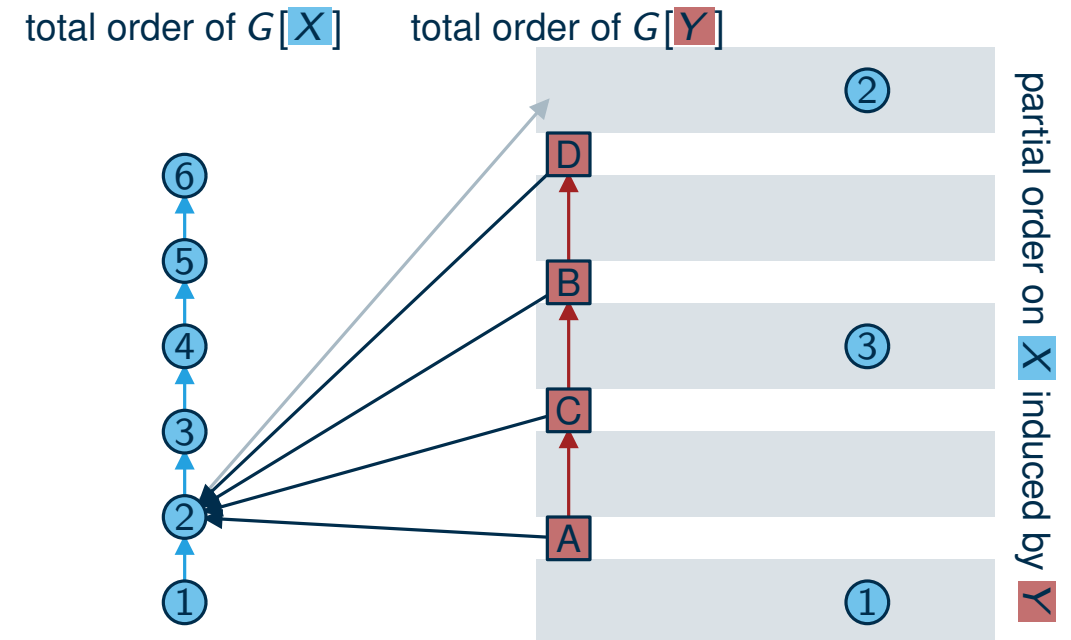
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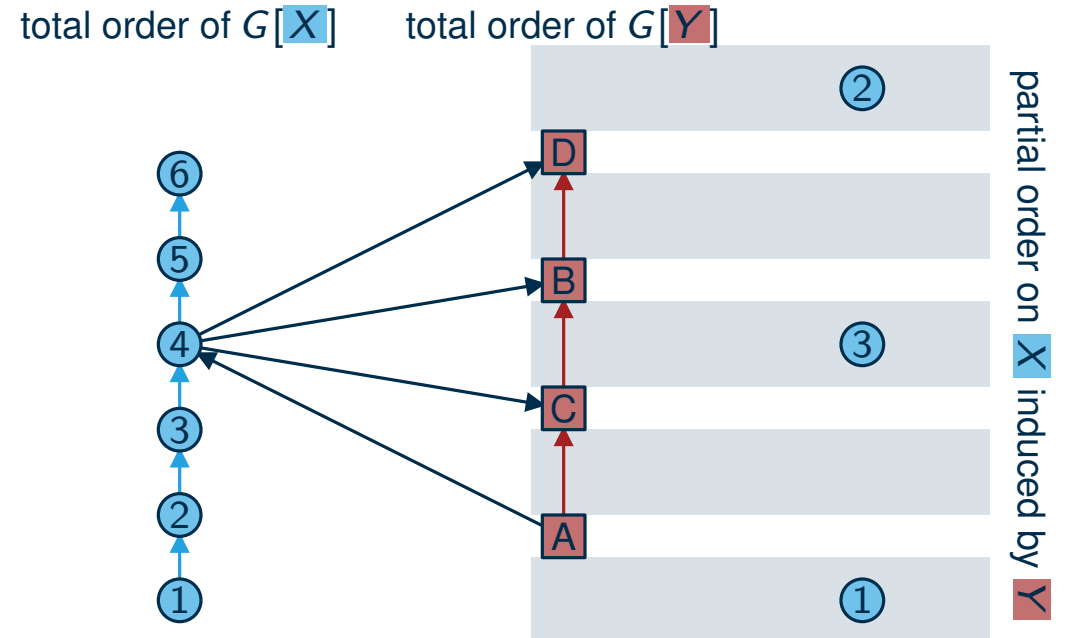
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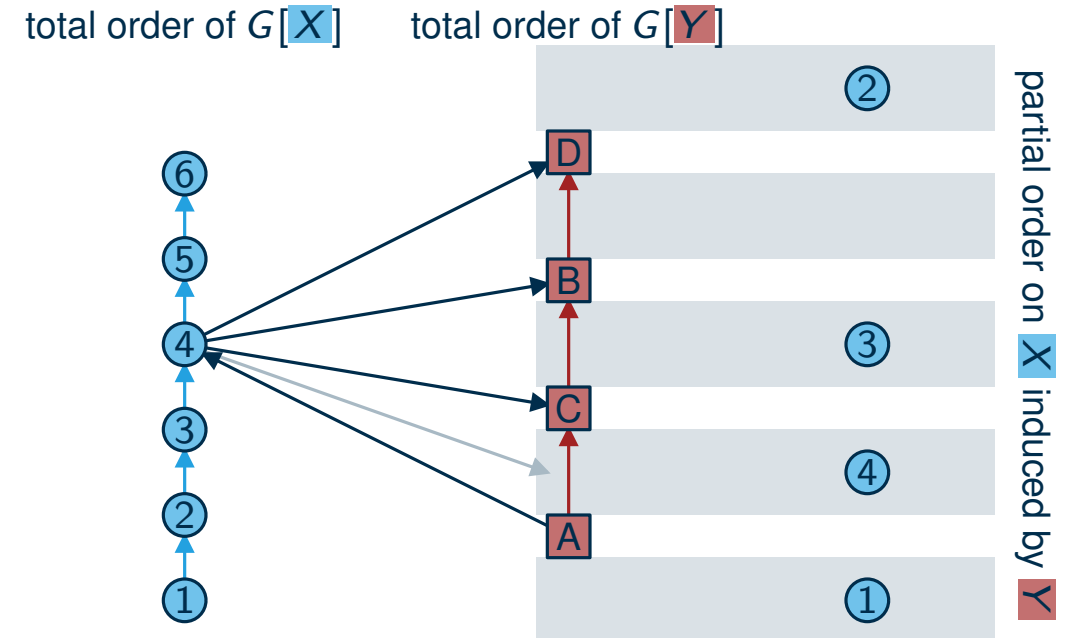
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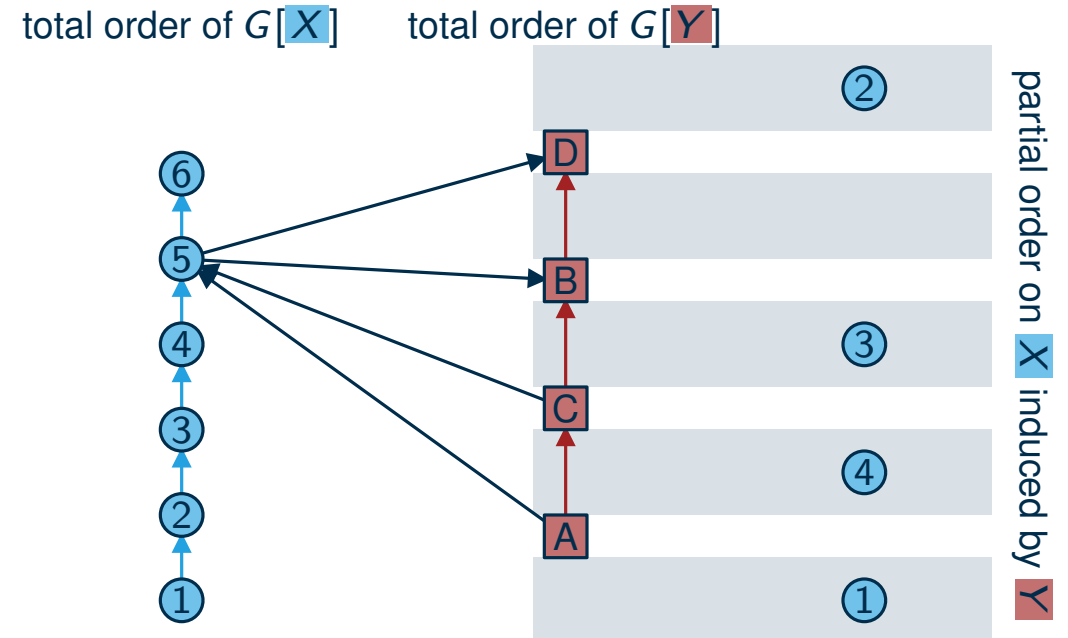
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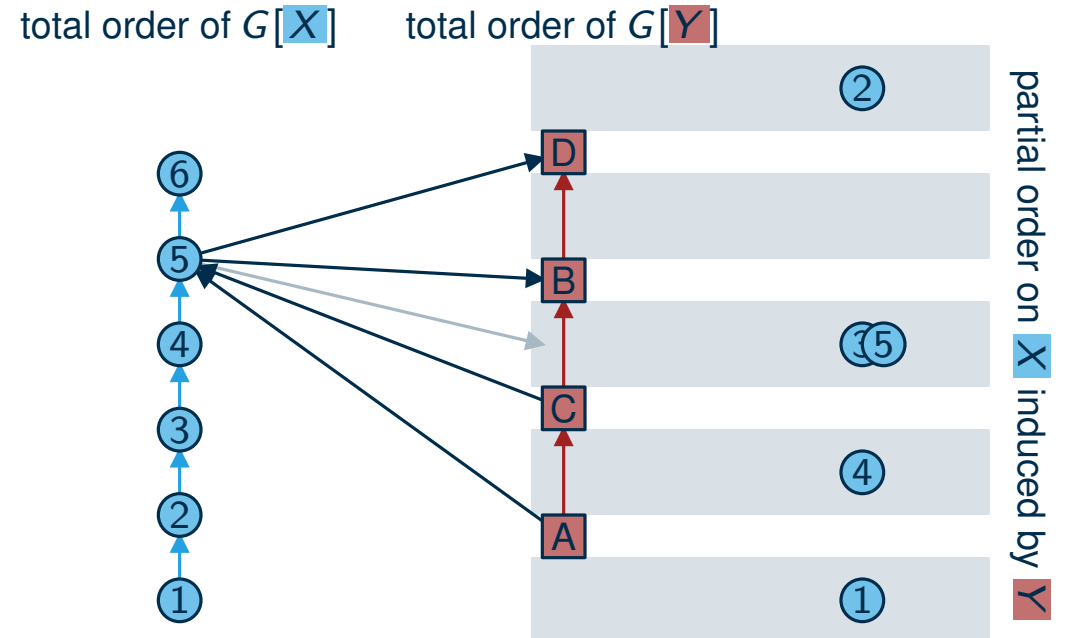
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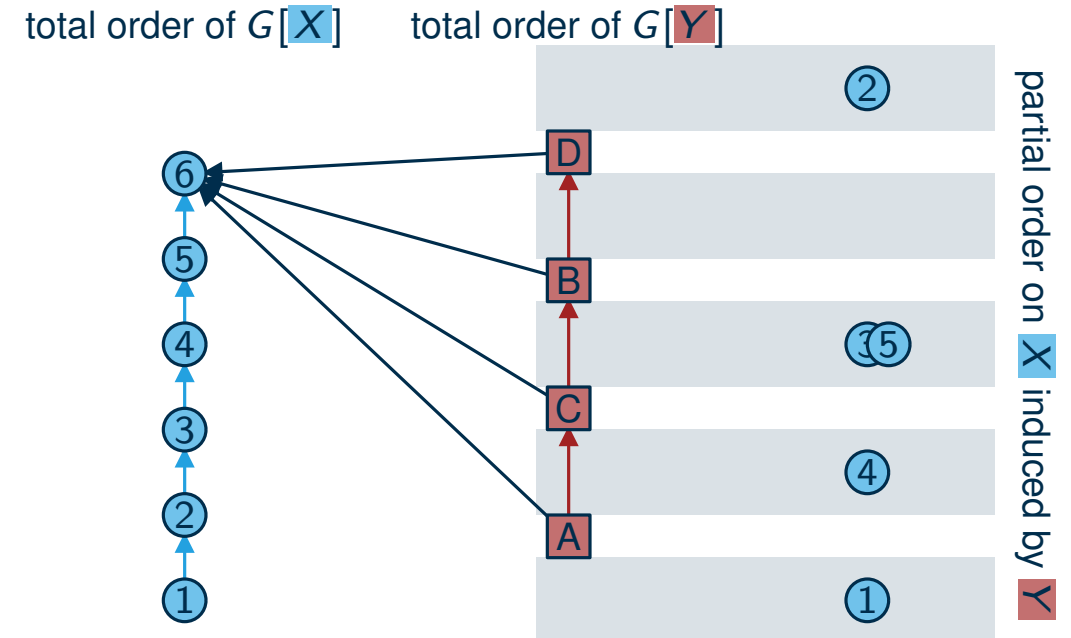
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DISJOINT FVS in tournament graphs

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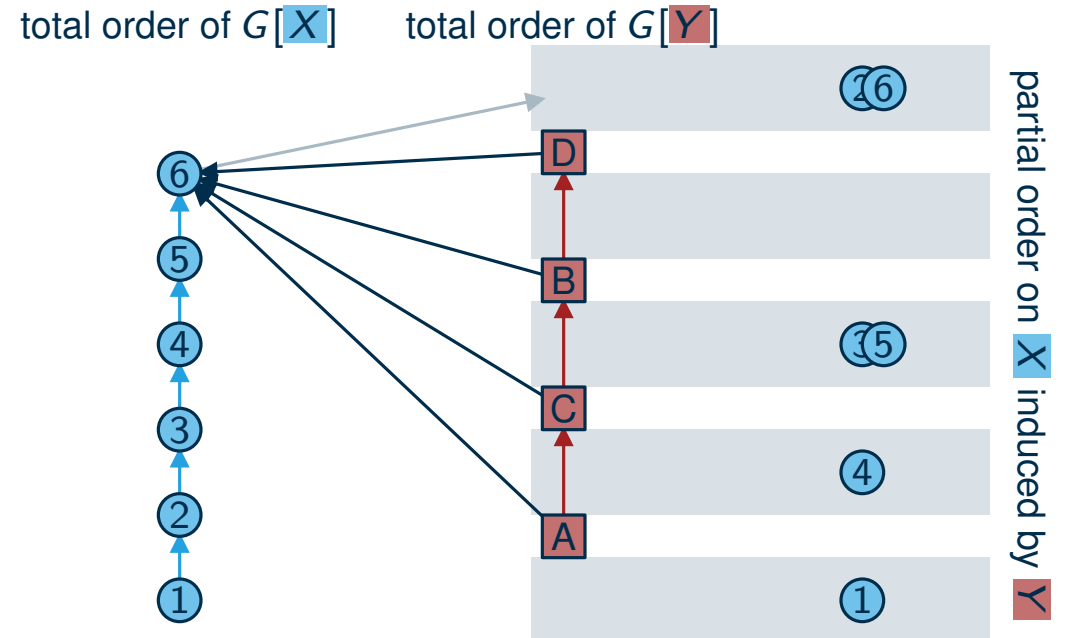
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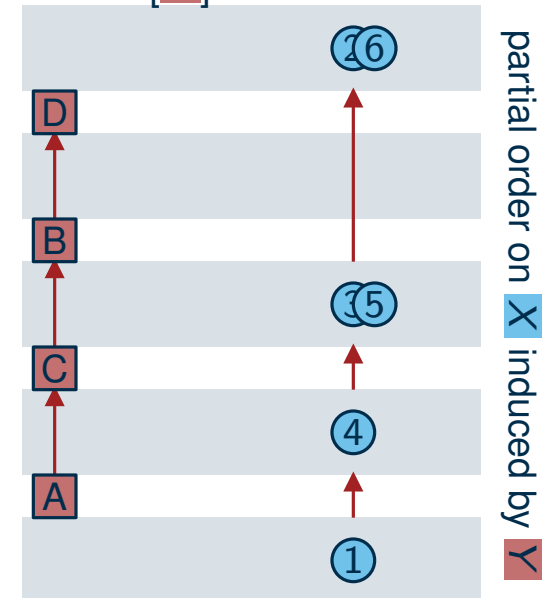
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(Partial) order on X induced by Y

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total order of $G[X]$

total order of $G[Y]$



DISJOINT FVS in tournament graphs

Situation and basic observations

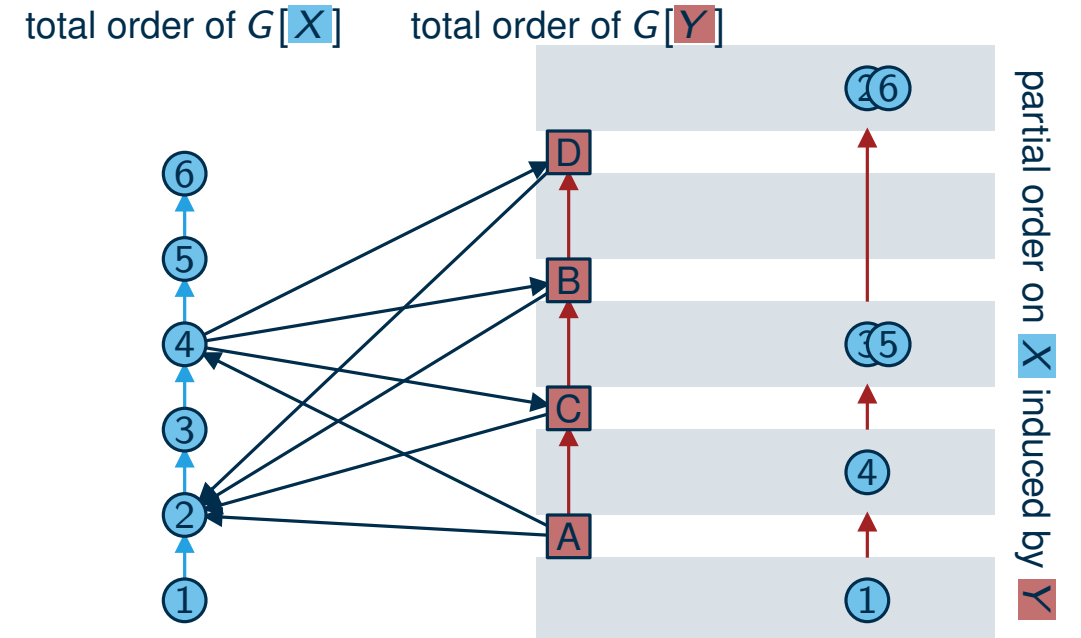
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(Partial) order on X induced by Y

- $x \in X$ not selected for deletion \Rightarrow clear where x fits in total order of $Y \Rightarrow$ partial order on X
- conflict if this partial order on X disagrees with total order on X



DISJOINT FVS in tournament graphs

Situation and basic observations

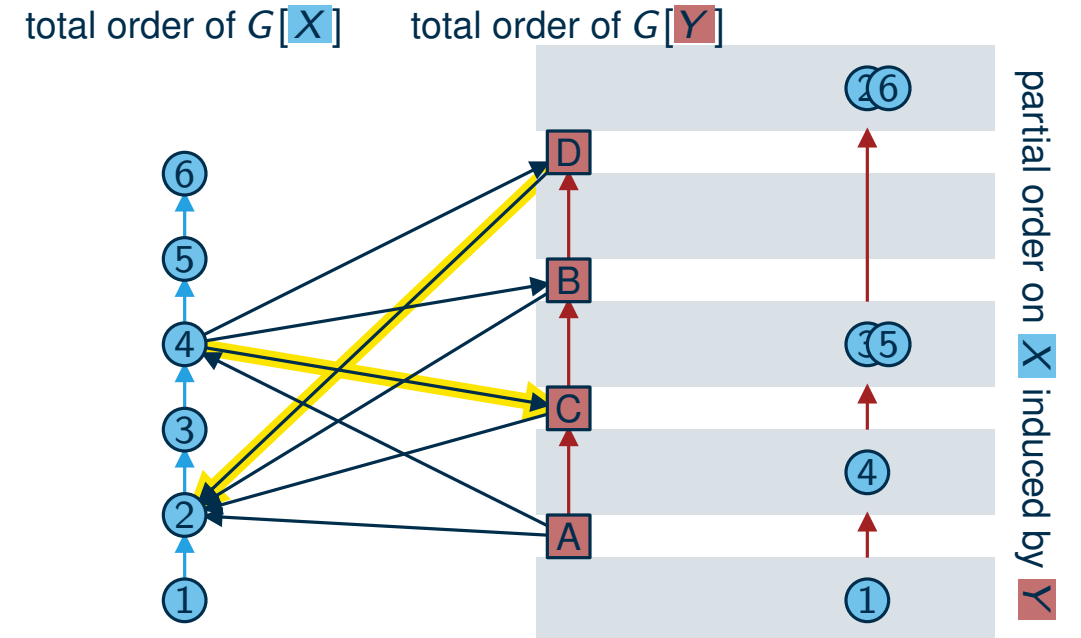
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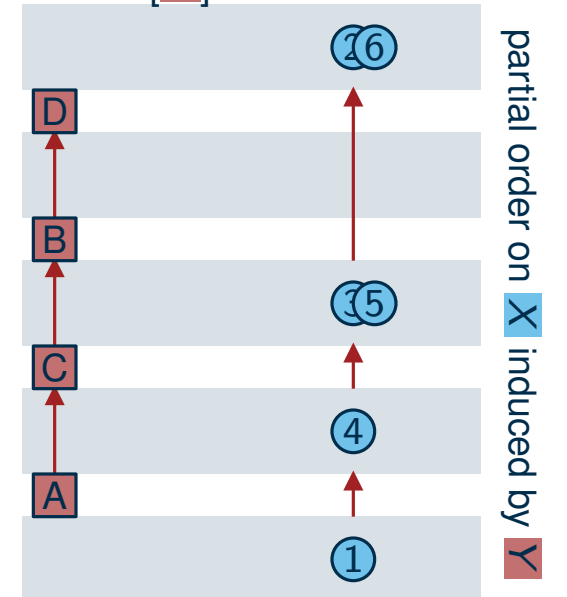
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- goal: keep as many vertices from X as possible such that the two orders agree

total order of $G[X]$



total order of $G[Y]$



DISJOINT FVS in tournament graphs

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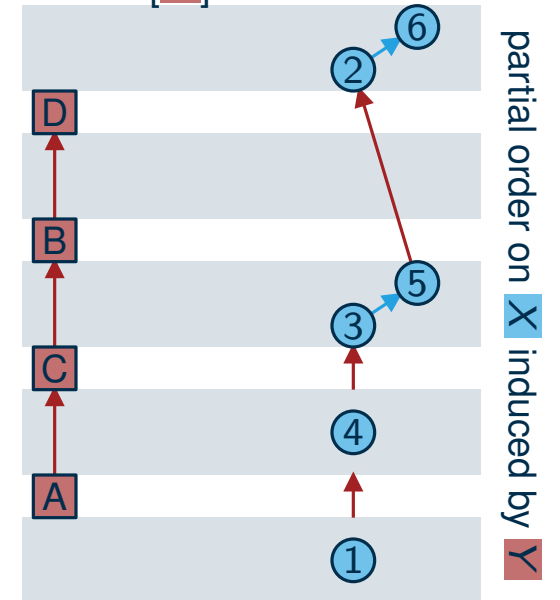
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- goal: keep as many vertices from X as possible such that the two orders agree
- break ties in the partial order according to the total order in $G[X] \rightarrow$ two total orders on X

total order of $G[X]$



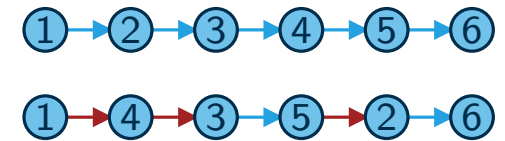
total order of $G[Y]$



LONGEST INCREASING SUBSEQUENCE

Recap

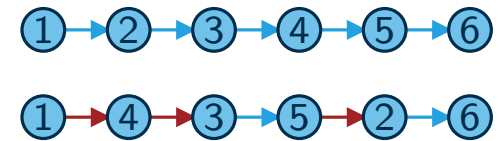
- two orders of the vertices in X :
 - one given by the graph $G[X]$
 - one induced by where they fit into the order of Y given by $G[Y]$
- just seen: F is FVS \Leftrightarrow both orders agree on $X \setminus F$



LONGEST INCREASING SUBSEQUENCE

Recap

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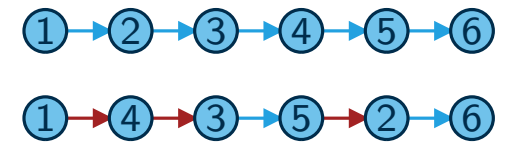
Problem: LONGEST INCREASING SUBSEQUENCE

Given a sequence of numbers a_1, \dots, a_n . Find the longest increasing subsequence, i.e., if a_i and a_j are in the subsequence, then $i < j$ implies $a_i < a_j$.

LONGEST INCREASING SUBSEQUENCE

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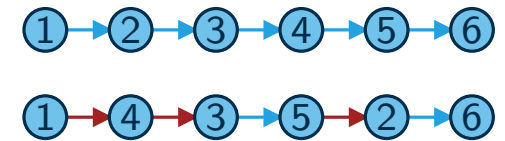
Algorithms for LONGEST INCREASING SUBSEQUENCE

- simple dynamic program (like LONGEST COMMON SUBSEQUENCE): $O(n^2)$

LONGEST INCREASING SUBSEQUENCE

Recap

- two orders of the vertices in X :
 - one given by the graph $G[X]$
 - one induced by where they fit into the order of Y given by $G[Y]$
- just seen: F is FVS \Leftrightarrow both orders agree on $X \setminus F$



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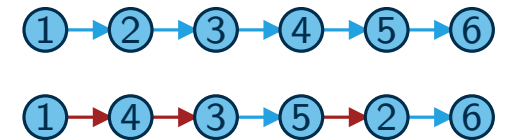
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→ next slide

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Algorithms for LONGEST INCREASING SUBSEQUENCE

- simple dynamic program (like LONGEST COMMON SUBSEQUENCE): $O(n^2)$
- dynamic program with binary search: $O(n \log n)$
- input is a permutation of the integers $1, \dots, n$: $O(n \log \log n)$

→ next slide

<https://dl.acm.org/doi/10.1145/359581.359603>

DP for LONGEST INCREASING SUBSEQUENCE

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- compute for each ℓ the best increasing subsequence of length ℓ
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$\ell = 1$

1	4	3	5	2	6
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Why is S sorted increasingly?

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$S[0]$	$-\infty$
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$S[3]$	5
$S[4]$	6
$S[5]$	∞

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FVS on tournament graphs

DISJOINT FEEDBACK VERTEX SET: $O(n \log n)$ (via LONGEST INCREASING SUBSEQUENCE)

Lemma

If we can solve DISJOINT FVS in $f(k) \cdot g(n)$ time, then we can solve FVS COMPRESSION in $O\left(\sum_{i=0}^k \binom{k+1}{i} f(k-i) \cdot g(n)\right)$ time.

FEEDBACK VERTEX SET COMPRESSION: $O(2^k \cdot n \log n)$

Lemma

If we can solve FVS COMPRESSION in $f(k) \cdot g(n)$ time, we can solve FVS in $O(f(k) \cdot g(n) \cdot n)$.

Theorem

FEEDBACK VERTEX SET can be solved in $O(2^k \cdot n^2 \log n)$ time on tournament graphs.

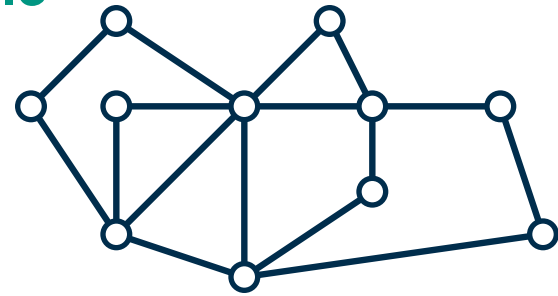
Undirected FEEDBACK VERTEX SET

Problem: FEEDBACK VERTEX SET (undirected)

Given an undirected graph $G = (V, E)$ and a parameter k . Is there a feedback vertex set of size k ?

($F \subseteq V$ is a *feedback vertex set* if $G - F$ is acyclic)

Example



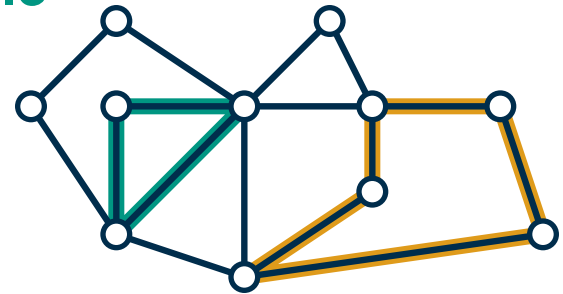
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- we must hit all cycles
- deleting two vertices is necessary

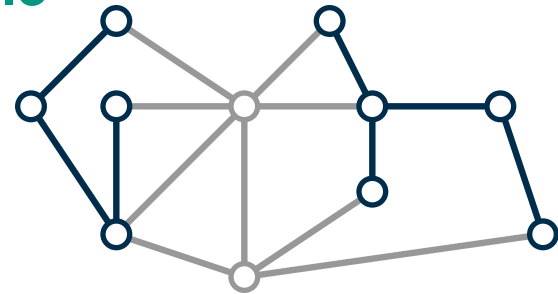
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($F \subseteq V$ is a *feedback vertex set* if $G - F$ is acyclic)

Example



- we must hit all cycles
- deleting two vertices is necessary
- and sufficient

Undirected FEEDBACK VERTEX SET

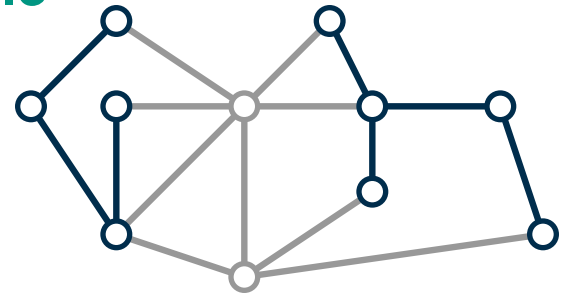
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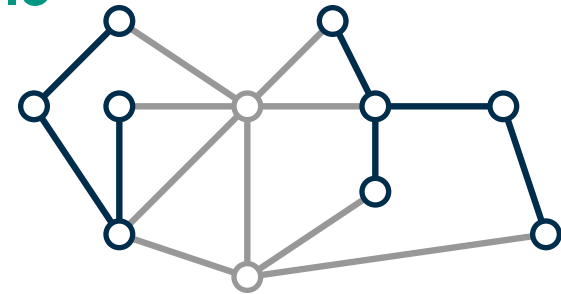
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Given an undirected graph, a parameter k , and a FVS Y of size $k + 1$. Is there a FVS F of size k with $F \cap Y = \emptyset$?

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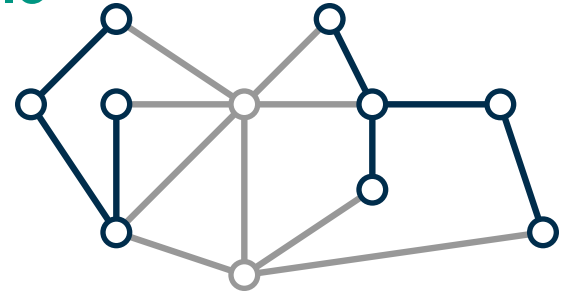
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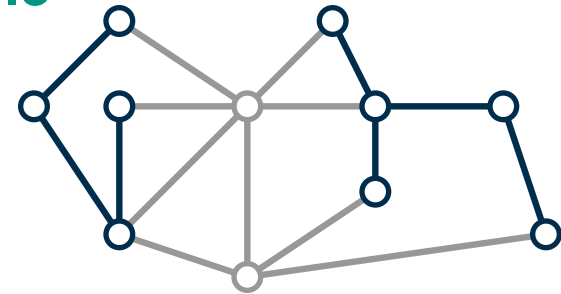
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Does an FPT-algo for DISJOINT FVS yield an FPT- Algo für FVS?

Example



- we must hit all cycles
- deleting two vertices is necessary
- and sufficient

selecting all vertices is a solution

adding a vertex to the graph and to the solution yields a solution

no solution of size k for a subgraph
 \Rightarrow no solution of size k for the whole graph

Yes!

An algorithm for DISJOINT FVS

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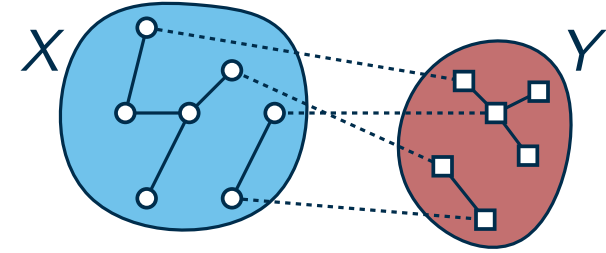
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Plan

- choose vertices from $X = V \setminus Y$ and none from Y



Note: $G[X]$ and $G[Y]$ are acyclic

Why?

An algorithm for DISJOINT FVS

Problem: DISJOINT FVS (undirected)

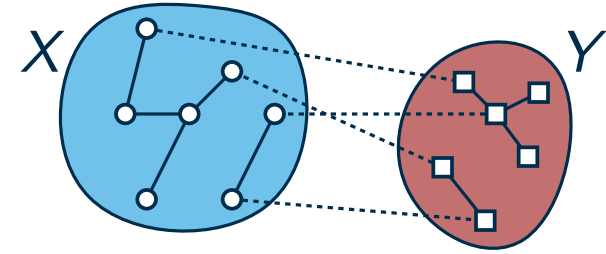
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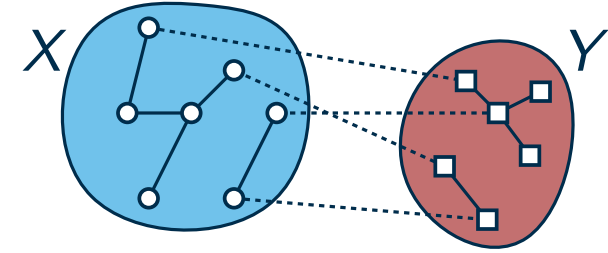
Plan

- choose vertices from $X = V \setminus Y$ and none from Y
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Option 1: choose $v \in F$

- choosing v reduces k by 1
- bounds the height of the tree

Option 2: choose $v \notin F$



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An algorithm for DISJOINT FVS

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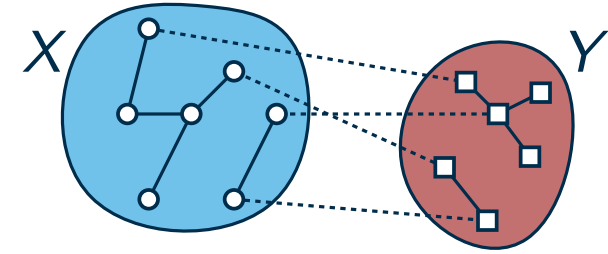
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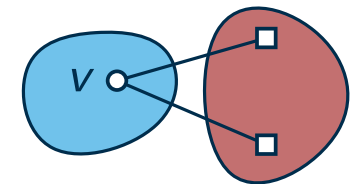
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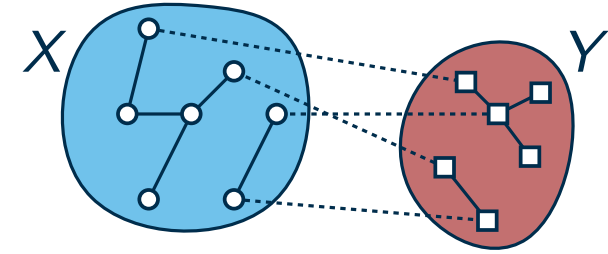
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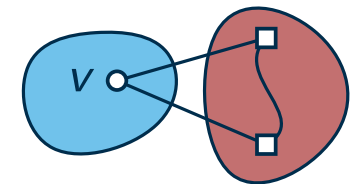
- choosing v reduces k by 1
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Option 2: choose $v \notin F$

- case1: neighbors are connected
 $\Rightarrow v \notin F$ is actually not an option



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An algorithm for DISJOINT FVS

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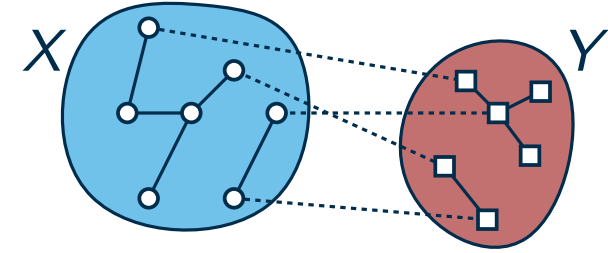
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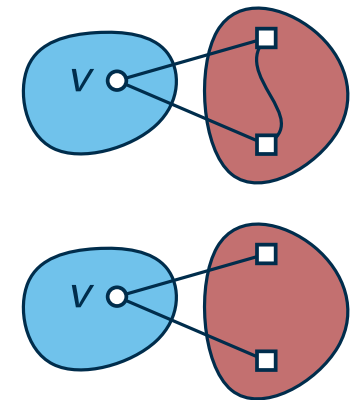
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- case2: neighbors not connected



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An algorithm for DISJOINT FVS

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Given an undirected graph, a parameter k , and a FVS Y of size $k + 1$. Is there a FVS F of size k with $F \cap Y = \emptyset$?

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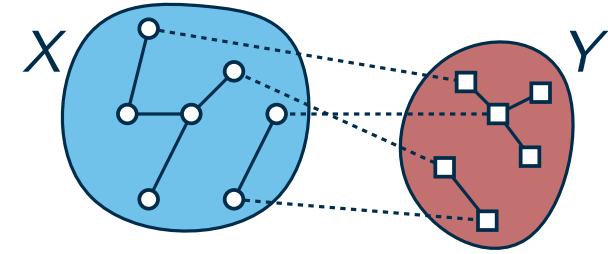
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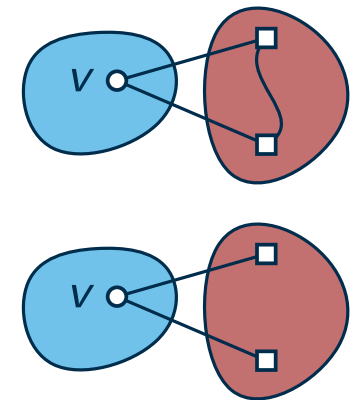
- choosing v reduces k by 1
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Option 2: choose $v \notin F$

- case1: neighbors are connected
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- case2: neighbors not connected
 \Rightarrow reduces number of components in Y
- happens at most k times (as $|Y| = k + 1$)



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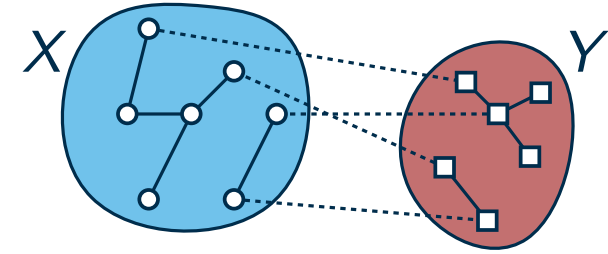
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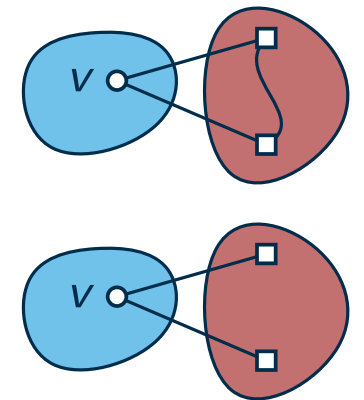
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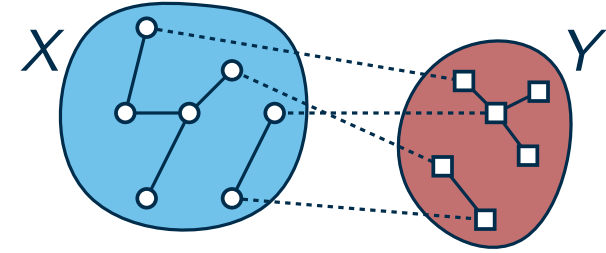
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Why does such a vertex u exist?



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An algorithm for DISJOINT FVS

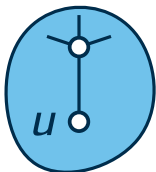
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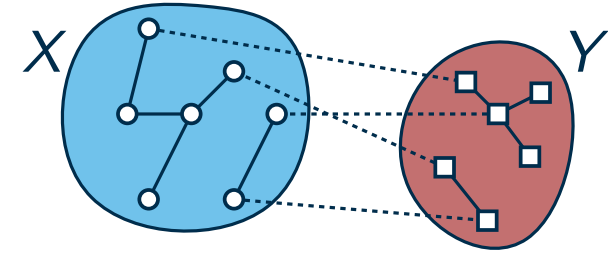
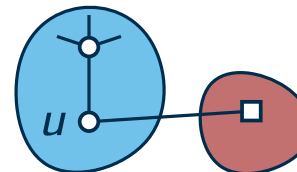
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Case 1: u has no neighbor in Y



Case 2: u has one neighbor in Y

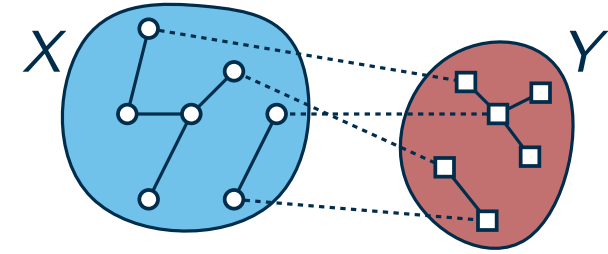


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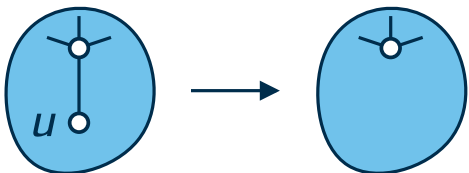
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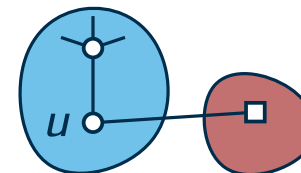
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Case 1: u has no neighbor in Y

- u does not lie on a cycle
- **reduction rule:** delete u



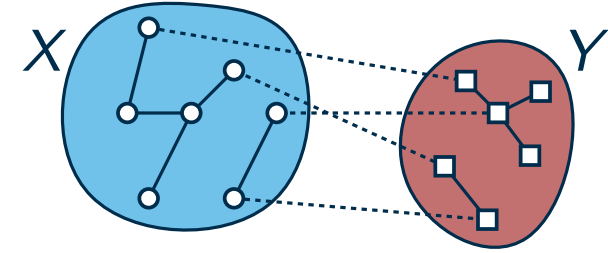
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An algorithm for DISJOINT FVS

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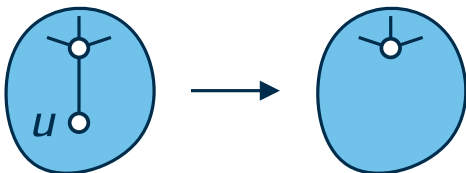
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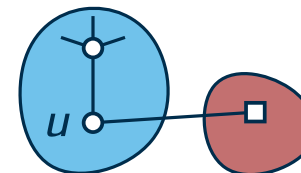
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Case 2: u has one neighbor in Y

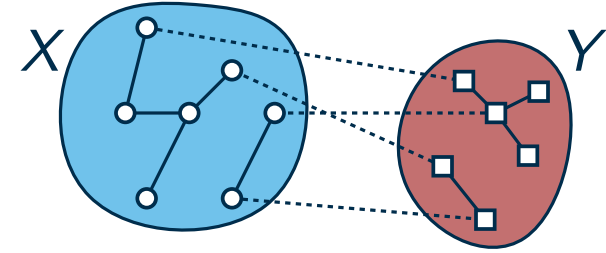
- u has degree 2 in G



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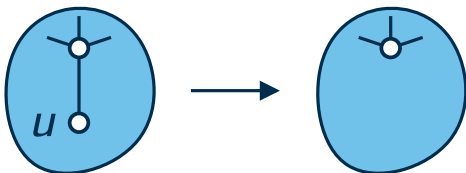
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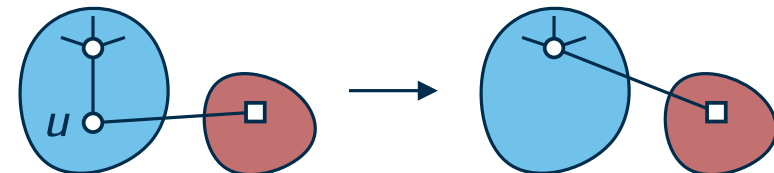
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Case 2: u has one neighbor in Y

- u has degree 2 in G
- **reduction rule:** replace u by an edge



An algorithm for DISJOINT FVS

Problem: DISJOINT FVS (undirected)

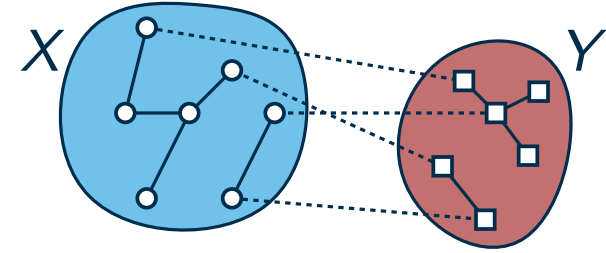
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Size of the search tree

- $v \in F$ reduces k , $v \notin F$ reduces number of components in Y



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An algorithm for DISJOINT FVS

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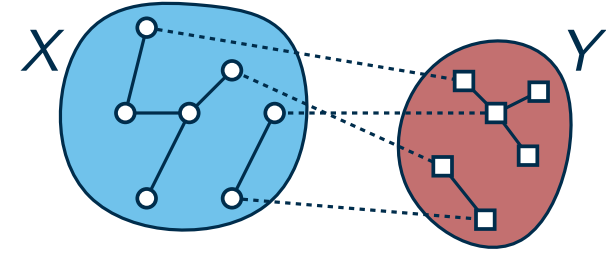
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Size of the search tree

- $v \in F$ reduces k , $v \notin F$ reduces number of components in $Y \Rightarrow \text{height} \leq 2k \Rightarrow \leq 4^k$ leaves



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An algorithm for DISJOINT FVS

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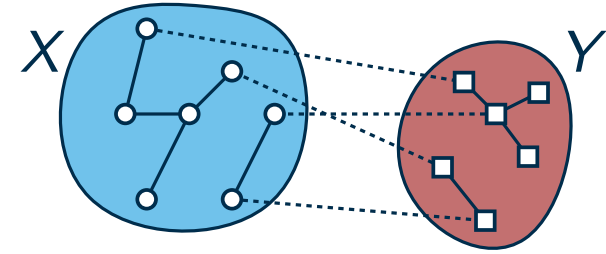
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Theorem

FEEDBACK VERTEX SET (undirected) can be solved in $5^k \cdot n^{O(1)}$ time.

Wrap-Up

Theorem

FEEDBACK VERTEX SET can be solved in $O(2^k \cdot n^2 \log n)$ time on tournament graphs.

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Wrap-Up

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Technique: iterative compression

- show: $\text{PROBLEM} \in \text{FPT} \Leftrightarrow \text{PROBLEM COMPRESSION} \in \text{FPT} \Leftrightarrow \text{DISJOINT PROBLEM} \in \text{FPT}$
- then solve DISJOINT PROBLEM (this is the difficult part!)

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Why is DISJOINT PROBLEM easier than PROBLEM?

- solution Y of size $k + 1$ is known
- new solution must be disjoint to Y
- complement of Y is a solution